

## Lecture VII

Dirichlet  $L$ -function

$$L(s, \chi) = \sum_n \chi(n) n^{-s};$$

we always assume  $\chi$  primitive to modulus  $q$ . Functional equation:  $a = \frac{1 - \chi(-1)}{2}$ ,  $|\varepsilon| = 1$ , write:

$$\phi(s, \chi) = \varepsilon \pi^{-\frac{s}{2}} q^{\frac{s}{2}} \Gamma\left(\frac{s+a}{2}\right) L(s, \chi),$$

then

$$\phi(s, \chi) = \overline{\phi(1 - \bar{s}, \chi)}.$$

Implies  $\phi(s, \chi)$  real for  $s = \frac{1}{2} + it$ ,  $t$  real.

For simplicity I only consider case  $\chi(-1) = 1$ . Case of odd  $\chi$  can be handled similarly.

If we have  $n$  distinct even  $\chi_j$ ; and form

$$F(s) = \sum_{j=1}^n c_j \varepsilon_j q_j^{\frac{s}{2}} L(s, \chi_j); \quad c_j \text{ real } \neq 0,$$

$$\left( \text{or alternatively } F(s) = \sum_{j=1}^n c_j \varepsilon_j \left(1 + q_j^{s-\frac{1}{2}}\right) L(s, \chi_j) \right),$$

then  $\pi^{-\frac{s}{2}} \Gamma\left(\frac{s}{2}\right) F(s)$  is real for  $s = \frac{1}{2} + it$ .

Apart from trivial zeros implied by the functional equation, the zeros of  $F(s)$  are confined to a vertical strip, and if we let  $N(T, F)$  denote the number of these zeros with imaginary part in  $(0, T)$ , then for large  $T$  we have

$$N(T, F) = \frac{T}{2\pi} (\log T + B) + \mathcal{O}(\log T),$$

where  $B$  is a constant dependent on  $F$ .

It is conjectured that almost all of these zeros have real part  $\frac{1}{2}$ . A proof of this can be given if one assumes some other plausible but at present unverifiable conjectures.

For the single  $L$ -function it has been proved that a positive proportion of the zeros have real part  $\frac{1}{2}$ . More precisely, if we denote the number of zeros with imaginary part in  $(0, T)$  and real part  $\frac{1}{2}$  by  $N_0(T, F)$  for a general linear combination  $F(s)$ , then it has been shown that

$$N_0(T, L) > CT \log T \quad \text{for } T > Aq^2,$$

where  $c$  and  $A$  are positive constants independent of  $\chi$ .

For a general linear combination, there are some results in the literature.  $N_0(T, F) > cT$  is implied in the work of Hardy-Littlewood. Recently A. A. Karatsuba has looked at linear combinations of the form

$$F(s) = \varepsilon L(s, \chi) + \bar{\varepsilon} L(s, \bar{\chi}),$$

where  $\chi$  is a complex character, and has shown

$$N_0(T, F) > T(\log T)^{\frac{1}{2}} e^{-c\sqrt{\log \log T}}, \quad T > T_0,$$

where  $c$  is a positive constant (1993 or 1994). For a more general linear combination he claims a much weaker and much more complicated result.

I shall sketch a proof that for the general combination  $F(s)$  we have

- (1)  $N_0(T, F) > c(n)T \log T$  for  $T > T_0(F)$ , where  $c(n)$  is positive and depends on  $n$  only.
- (2) If  $\omega(t) \rightarrow \infty$  with  $t$ , then  $F(\frac{1}{2} + it)$  has a zero in the interval  $(t, t + \frac{\omega(t)}{\log t})$  for almost all  $t$ .

We go back to the method that was used to show these results for a single  $L$ -function. We write for  $s = \frac{1}{2} + it$ ,

$$\vartheta(t) = \arg \pi^{-\frac{1}{2}} \Gamma\left(\frac{s}{2}\right),$$

and

$$X(t, \chi) = \varepsilon_\chi q^{\frac{it}{2}} e^{i\vartheta(t)} L(s, \chi).$$

We need the "approximate functional equation" for  $L(s, \chi)$ : assume  $t > 0$ , then

$$\begin{aligned} L(s, \chi) &= \sum_{n < \sqrt{\frac{tq}{2\pi}}} \chi(n) n^{-s} + \bar{\varepsilon}_\chi^{-2} q^{-it} e^{-2i\vartheta(t)} \sum_{n < \sqrt{\frac{tq}{2\pi}}} \bar{\chi}(n) n^{s-1} \\ &\quad + \mathcal{O}\left(\left(\frac{q}{t}\right)^{\frac{1}{4}}\right). \end{aligned}$$

We also write

$$(\zeta(s))^{-\frac{1}{2}} = \sum_n \frac{\alpha_n}{n^s}; \quad \alpha_1 = 1; \quad (L(s, \chi))^{-\frac{1}{2}} = \sum_n \frac{\chi(n)\alpha_n}{n^s},$$

and for  $T \leq t \leq 2T$ ;  $\xi = T^{\frac{1}{10}}$ ,  $T > q^2$ ; we write

$$\eta(t, \chi) = \sum_{n < \xi} \frac{\chi(n)\alpha_n}{n^s} \left(1 - \frac{\log n}{\log \xi}\right).$$

We now consider for

$$\frac{1}{\log T} \leq H \leq \frac{\log \log T}{\log T},$$

the three expressions:

$$I_\chi(t, H) = \int_t^{t+H} X(u, \chi) |\eta(u, \chi)|^2 du,$$

$$M_\chi(t, H) = \int_t^{t+H} L\left(\frac{1}{2} + iu, \chi\right) \eta^2(u, \chi) du - H,$$

and

$$J_\chi(t, H) = \int_t^{t+H} |X(u, \chi) \eta^2(u, \chi)| du.$$

It is clear that if

$$J_\chi(t, H) > |I_\chi(t, H)|,$$

then  $X(u, \chi)$  changes sign in  $(t, t+H)$ , and so has at least one zero there. Clearly

$$J_\chi(t, H) \geq H - |M_\chi(t, H)|.$$

Thus if

$$|M_\chi(t, H)| + |I_\chi(t, H)| < H,$$

there is a zero in  $(t, t+H)$ .

Using the approximate functional equation for  $L(s, \chi)$  it is possible to show

$$\int_T^{2T} |I_\chi(t, H)|^2 dt = \mathcal{O}\left(T \frac{H^{\frac{3}{2}}}{\sqrt{\log T}}\right),$$

and

$$\int_T^{2T} |M_\chi(t, H)|^2 dt = \mathcal{O}\left(T \frac{H^{\frac{3}{2}}}{\sqrt{\log T}}\right),$$

where the constants implied by the  $\mathcal{O}$ -symbols are independent of  $\chi$ . From this it follows that we have  $|M_\chi(t, H)| < \frac{H}{3}$  and  $|I_\chi(t, H)| < \frac{H}{3}$  in  $(T, 2T)$  except in a subset of measure  $\mathcal{O}\left(\frac{T}{\sqrt{H \log T}}\right)$ . Thus, for all  $t$  in  $(T, 2T)$  except for a subset of measure  $\mathcal{O}\left(\frac{T}{\sqrt{H \log T}}\right)$ , the interval  $(t, t + H)$  contains a zero of  $L\left(\frac{1}{2} + it, \chi\right)$ . Choosing  $H = \frac{\lambda}{\log T}$  with  $\lambda$  a large enough constant, both statements made earlier follow easily for the single  $L$ -function.

In order to adapt this idea to the general linear combination, we need some general results that can be proved about the value distribution of

$$\log |L(s, \chi)| \quad \text{for } s = \frac{1}{2} + it.$$

We can show that for  $T > q^2$ ,  $k$  a positive integer, and

$$T^{\frac{1}{2k}} \leq x \leq T^{\frac{1}{k}},$$

then

$$\int_T^{2T} \left| \log |L(s, \chi)| - \Re \sum_{p < x} \frac{\chi(p)}{p^s} \right|^{2k} dt = \mathcal{O}(k^{4k} e^{Ak} T).$$

Again the constant implied by the  $\mathcal{O}$  is independent of  $\chi$ .

From this formula, it is possible to prove that

$$\frac{\log |L(s, \chi)|}{\sqrt{\pi \log \log t}}$$

has a normal Gaussian distribution. More precisely, if  $\mathcal{U}_{a,b}^{\mathcal{U}}$  denotes the characteristic function of the interval  $(a, b)$ , we have

$$\int_T^{2T} \mathcal{U}_{a,b}^{\mathcal{U}} \left( \frac{\log |L(s, \chi)|}{\sqrt{\pi \log \log T}} \right) dt = T \int_a^b e^{-\pi u^2} du + \mathcal{O}\left(T \frac{(\log \log \log T)^2}{\sqrt{\log \log T}}\right).$$

If we have two  $L$ -functions  $L(s, \chi)$  and  $L(s, \chi')$ , similar results hold for the difference

$$\log |L(s, \chi)| - \log |L(s, \chi')|.$$

Only here we have to divide by  $\sqrt{2\pi \log \log t}$  to get the normal Gaussian distribution.

From this we see that the subset of  $(T, 2T)$  where

$$|\log |L(s, \chi)| - \log |L(s, \chi')|| \leq (\log \log T)^{\frac{1}{4}},$$

has measure which is  $\mathcal{O}\left(T(\log \log T)^{-\frac{1}{4}}\right)$ . Thus in  $(T, 2T)$  for our  $n$   $L$ -functions we see that when  $j \neq k$ ,

$$|\log |L(s, \chi_j)| - \log |L(s, \chi_k)|| > (\log \log T)^{\frac{1}{4}}$$

except for a set of  $t$  of measure

$$\mathcal{O}(T(\log \log T)^{-\frac{1}{4}}).$$

Outside of this exceptional set, which we will call  $E_1$ , we see that one single term is decisively dominant in the linear combination that forms  $F(s)$ .

We shall see that this dominance is in general fairly stable over longer (compared with  $\frac{1}{\log T}$ ) stretches.

For  $|h| < \frac{1}{\sqrt{\log T}}$ , we can show that

$$\begin{aligned} & \int_T^{2T} \left| \log |L(s+h, \chi)| - \log |L(s, \chi)| \right|^{2k} ds \\ &= \mathcal{O}\left(Tk^k(\log(e + |h|\log T))^k + Tk^{4k}e^{Ak}\right), \end{aligned}$$

which shows that the amplitude of the oscillations of  $X(t, \chi)$  is fairly stable over longer stretches.

Put

$$\Delta = \frac{1}{\log T} e^{(\log \log T)^{\frac{1}{5}}},$$

and let us compare  $\log \left| L\left(\frac{1}{2} + it, \chi\right) \right|$  with the average

$$\Delta(t, \chi) = \frac{1}{2\Delta} \int_{t-\Delta}^{t+\Delta} \log \left| L\left(\frac{1}{2} + iu, \chi\right) \right| du.$$

Using the previous estimate with  $k$  a sufficiently large positive integer, we find that

$$\left| \log \left| L\left(\frac{1}{2} + it, \chi\right) \right| - \Delta(t, \chi) \right| < (\log \log T)^{\frac{1}{5}},$$

except in a subset  $E_2$  of measure that is  $\mathcal{O}\left(\frac{T}{(\log \log T)^N}\right)$  for any fixed positive  $N$ .

We can now conclude that  $(T, 2T)$  apart from a subset of measure

$$\mathcal{O}\left(T(\log \log T)^{-\frac{1}{4}}\right),$$

which we call  $E$ , can be divided into  $n$  sets  $S_j$  such that in  $S_j$   $\log\left|L\left(\frac{1}{2} + it, \chi_j\right)\right|$  exceeds all the other  $\log\left|L\left(\frac{1}{2} + it, \chi_k\right)\right|$  with  $k \neq j$  by at least  $(\log \log T)^{\frac{1}{4}}$  and in addition such that in the interval  $(t, t+H)$  where  $\frac{1}{\log T} < H < \frac{\log \log T}{\log T}$  and  $t$  in  $S_j$  we have

$$\log\left|L\left(\frac{1}{2} + it', \chi_j\right)\right| > \log\left|L\left(\frac{1}{2} + it', \chi_k\right)\right| + \frac{1}{2}(\log \log T)^{\frac{1}{4}},$$

for  $t \leq t' \leq t+H$ , except in a subset of  $(t, t+H)$  of measure

$$\mathcal{O}\left(\frac{H}{(\log \log T)^{\frac{1}{5}}}\right).$$

The measure of  $S_j$  can be shown to be

$$\geq \frac{T}{n} - \mathcal{O}\left(T(\log \log T)^{-\frac{1}{4}}\right).$$

(The  $\log |L(s, \chi_j)|$  are statistically independent, so each dominates about equally often.)

We also have for all  $j$  that

$$\int_T^{2T} \left|L\left(\frac{1}{2} + it, \chi_j\right)\eta^2(t, \chi_j)\right|^2 dt = \mathcal{O}(T).$$

This shows that we have

$$\int_t^{t+H} \left|L\left(\frac{1}{2} + it, \chi_j\right)\eta^2(t, \chi_j)\right|^2 dt < H(\log \log T)^{\frac{1}{5}},$$

except for a subset  $E'_j$  of measure

$$\mathcal{O}\left(T(\log \log T)^{-\frac{1}{5}}\right).$$

If we now exclude from  $S_j$  the  $t$  that may be in  $E'_j$  we get a set  $S'_j$  of measure

$$> \frac{T}{n} - \mathcal{O}\left(T(\log \log T)^{-\frac{1}{5}}\right),$$

and such that for  $t$  in  $S'_j$  we have

$$\log \left| L\left(\frac{1}{2} + iu, \chi_j\right) \right| > \log \left| L\left(\frac{1}{2} + iu, \chi_k\right) \right| + \frac{1}{2}(\log \log T)^{\frac{1}{4}},$$

for  $t \leq u \leq t + H$ , except for an exceptional set of measure at most

$$\mathcal{O}\left(H(\log \log T)^{-\frac{1}{5}}\right).$$

This exceptional set in  $(t, t + H)$  can contribute at most

$$\begin{aligned} & \mathcal{O}\left(H^{\frac{1}{2}}(\log \log T)^{-\frac{1}{10}}\right) \cdot \mathcal{O}\left(H^{\frac{1}{2}}(\log \log T)^{\frac{1}{12}}\right) \\ & = \mathcal{O}\left(H(\log \log T)^{-\frac{1}{60}}\right) \end{aligned}$$

to the integrals

$$I_{\chi_j}(t, H), \quad M_{\chi_j}(t, H) \quad \text{and} \quad J_{\chi_j}(t, H).$$

Calling these integrals with the exceptional set excluded

$$I_{\chi_j}^*(t, H), \quad M_{\chi_j}^*(t, H) \quad \text{and} \quad J_{\chi_j}^*(t, H),$$

we see that we have a sign change of  $X_{\chi_j}(t)$  in  $(t, t + H)$  outside of the excluded subset if

$$J_{\chi_j}^*(t, H) > \left| I_{\chi_j}^*(t, H) \right|,$$

which is equivalent to

$$H > \left| I_{\chi_j}(t, H) \right| + \left| M_{\chi_j}(t, H) \right| + \mathcal{O}\left(H(\log \log T)^{-\frac{1}{60}}\right).$$

For  $T$  large enough this holds in  $(T, 2T)$  outside a set of measure

$$\mathcal{O}\left(\frac{T}{\sqrt{H \log T}}\right),$$

and therefore in most of  $S'_j$  if  $H = \frac{\lambda n^2}{\log T}$  with  $\lambda$  a large enough constant.

This produces more than

$$\frac{c}{n^3} T \log T$$

sign changes in  $\pi^{-\frac{s}{2}} \Gamma\left(\frac{s}{2}\right) F(s)$  for  $s = \frac{1}{2} + it$  and  $t$  in  $S'_j$ . Adding up over the  $j$ , we get in all more than

$$\frac{c}{n^2} T \log T$$

sign changes for  $T > T_0(F)$ . This proves our statement (1); (2) also follows simply by taking  $\lambda = (\omega(T))^{\frac{1}{2}}$ .

The dependence on  $n$  can be sharpened by improving the estimations for

$$\int_T^{2T} |I_x(t, H)|^2 dt \quad \text{and} \quad \int_T^{2T} |M_x(t, H)|^2 dt.$$

If one replaces the estimation

$$\mathcal{O}\left(T \frac{H^{\frac{3}{2}}}{\sqrt{\log T}}\right)$$

by

$$\mathcal{O}\left(T \frac{H^2}{(H \log T)^\alpha}\right),$$

one gets

$$> cn^{-\frac{1}{\alpha}} T \log T$$

sign changes. This can be proved for any  $\alpha < 1$ . I cannot yet show that it holds for  $\alpha = 1$  (which I think is the best one can hope for). So far my best result is ( $n > 1$ )

$$N_0(T, F) > \frac{c}{n \log n} T \log T \quad \text{for} \quad T > T_0(F).$$

Problem of generalizations to  $L$ -functions with higher  $\Gamma$ -factors.