

3

case  $\Lambda = \frac{1}{2}$ .

Dirichlet's L-functions,  $\chi$  primitive character mod  $q$  (incl. case  $q=1$  and  $\chi(n) \equiv 1$ ).

$$L(s, \chi) = \sum_n \frac{\chi(n)}{n^s},$$

integral function for  $q \neq 1$ ,  $(s-1)L(s)$  integral for  $q=1$ .

Write  $a = \frac{1 - \chi(-1)}{2}$ , and

$$\phi(s, \chi) = \varepsilon_\chi q^{\frac{s}{2}} \pi^{-\frac{s}{2}} \Gamma\left(\frac{s+a}{2}\right) L(s, \chi),$$

then 
$$\phi(s, \chi) = \overline{\phi(1-\bar{s}, \chi)}.$$

For simplicity we look at the case  $\chi$  even ( $a=0$ ), the odd case can be handled in the same way.

Let  $\chi_j, j=1, 2, \dots, m$  be  $m$  distinct primitive even characters, the  $c_j$  real and  $\neq 0$ , and form

$$F(s) = \sum_{j=1}^m c_j \varepsilon_j q_j^{\frac{s}{2}} L(s, \chi_j)$$

(alternatively we might consider

$$F^*(s) = \sum_{j=1}^m c_j \varepsilon_j (1 + q_j^{\frac{s-1}{2}}) L(s, \chi_j).$$

Then 
$$\pi^{-\frac{s}{2}} \Gamma\left(\frac{s}{2}\right) F(s) \sim \pi^{-\frac{s}{2}} \Gamma\left(\frac{s}{2}\right) F^*(s)$$

4

are real for  $s = \frac{1}{2} + it$ ,  $t$  real.

We have in this case

$$N(T, F) = \frac{T}{2\pi} (\log T + B) + O(\log T).$$

For the single  $L(s, \chi)$  it has been proved that a positive proportion of the zeros have real part  $\frac{1}{2}$ , more precisely:

$$N_0(T, L) > c T \log T \text{ for } T > Aq^2,$$

where  $c$  and  $A$  are absolute constants.

For the general linear combination some results are implied in the literature.

From Hardy-Littlewoods work follows

$$N_0(T, F) > cT \text{ for } T > T_0(F).$$

Recently A.A. Karatsuba has considered combinations

$$F(s) = \varepsilon_\chi L(s, \chi) + \bar{\varepsilon}_\chi L(s, \bar{\chi}),$$

where  $\chi$  is a complex character and obtained the result (1994)

$$N_0(T, F) > T (\log T)^{\frac{1}{2}} e^{-c \sqrt{\log \log T}}, T > T_0;$$

with some positive constant  $c$  (for more general combinations he has a much weaker

and more complicated result.

I shall sketch a proof that for the general combination  $F(s)$  we have

(I)  $N_0(T, F) > c(m) T \log T$  for  $T > T_0(F)$ , where  $c(m)$  depends on  $m$  only. Also:

(II) If  $\omega(t) \rightarrow \infty$  as  $t \rightarrow \infty$  then  $F(\frac{1}{2} + it)$  has a zero in the interval  $(t, t + \frac{\omega(t)}{\log t})$  for almost all  $t$ .

First let us see how these results are proved for the single  $L$ -function.

Let  $s = \frac{1}{2} + it$ ,  $t > 0$ ,  $\nu(t) = \arg \pi^{-\frac{1}{2}} \Gamma(\frac{s}{2})$  and write

$$X(t, \chi) = \varepsilon_\chi q^{\frac{it}{2}} e^{i\nu(t)} L(s, \chi).$$

"Approximate functional equation"

$$X(t, \chi) = \varepsilon_\chi q^{\frac{it}{2}} e^{i\nu(t)} \sum_{n < \sqrt{\frac{tq}{2\pi}}} \chi(n) n^{-s} + \bar{\varepsilon}_\chi q^{-\frac{it}{2}} e^{-i\nu(t)} \sum_{n < \sqrt{\frac{tq}{2\pi}}} \overline{\chi(n)} n^{s-1} + O\left(\left(\frac{q}{t}\right)^{\frac{1}{4}}\right).$$

Write  $(\xi(s))^{-\frac{1}{2}} = \sum_n \frac{\alpha_n}{n^s}$ ;  $\alpha_1 = 1$ ;  $(L(s, \chi))^{-\frac{1}{2}} = \sum_n \chi(n) \frac{\alpha_n}{n^s}$ ,

$$\beta_n = \alpha_n \left(1 - \frac{\chi(n)}{\log \xi}\right)$$

and for  $T \leq t \leq 2T$ ;  $\xi = T^{1/6}$ ;  $T > 16q^3$   
write

$$\eta(s, \chi) = \sum_{n \leq \xi} \chi(n) \frac{\alpha_n}{n^s} \left(1 - \frac{\log n}{\log \xi}\right).$$

(we shall often write  $\eta(t, \chi)$  for  $\eta(\frac{1}{2} + it, \chi)$ )

For  $\frac{1}{\log T} \leq H \leq \frac{\log \log T}{\log T}$ , consider

the three expressions:

$$I_\chi(t, H) = \int_t^{t+H} \chi(u, \chi) |\eta(u, \chi)|^2 du,$$

$$M_\chi(t, H) = \int_t^{t+H} L(\frac{1}{2} + iu, \chi) \eta^2(u, \chi) du - H,$$

and

$$J_\chi(t, H) = \int_t^{t+H} |\chi(u, \chi) \eta^2(u, \chi)| du.$$

$$J_\chi(t, H) > |I_\chi(t, H)|,$$

$\chi(t, \chi)$  changes sign in  $(t, t+H)$  and  
so has at least one zero there.

$$\text{Also } J_\chi(t, H) \geq H - |M_\chi(t, H)|,$$

so if

$$|M_\chi(t, H)| + |I_\chi(t, H)| < H,$$

there is a zero in  $(t, t+H)$ .

7

Using the approximate functional equation for  $L(s, \chi)$  or  $X(t, \chi)$ , we can show

$$(1) \int_T^{2T} |I_\chi(t, H)|^2 dt = O\left(T \frac{H^{\frac{3}{2}}}{\sqrt{\log T}}\right),$$

$$(2) \int_T^{2T} |M_\chi(t, H)|^2 dt = O\left(T \frac{H^{\frac{3}{2}}}{\sqrt{\log T}}\right),$$

and (as we shall use much later)

$$(3) \int_T^{2T} |X(t, \chi) \eta^2(t, \chi)|^2 dt = O(T).$$

The constants implied by the  $O$  are absolute.

We see now that  $|I_\chi(t, H)| \leq \frac{H}{3}$  and  $|M_\chi(t, H)| \leq \frac{H}{3}$  holds except in a subset of  $(T, 2T)$  of measure

$$O\left(\frac{T}{\sqrt{H \log T}}\right);$$

Choosing now  $H = \frac{\lambda}{\log T}$

with  $\lambda$  a large enough constant we get statement (I) for  $N_0(T, L_\chi)$ , and by choosing  $\lambda = (\omega(T))^{\frac{1}{2}}$  we get statement (II).

7\*

Fourier analyse using

$$\Theta_X(\lambda) = \sum_{n=-\infty}^{\infty} X(n) e^{-\pi \frac{\lambda^2}{T} n}$$

$$\sum_X X^{\frac{1}{4}} \Theta_X(x) = \sum_X X^{-\frac{1}{4}} \Theta_X\left(\frac{1}{x}\right).$$

$$x = e^{-t} \exp(i\delta - 2\eta y) \quad ; \quad \delta = \frac{1}{T}$$

$$f_X(y) = -\sum_X X^{\frac{1}{4}} \sum_{\mu, \nu} \frac{\beta_{\mu} \beta_{\nu}}{\nu} \exp\left(-\frac{\pi X n^2 \mu^2}{T \nu^2}\right) X(\mu \nu) \bar{X}(\mu)$$

$$\int_T^{2T} |I_X(t, H)|^2 dt \leq c \int_0^{\infty} |f_X(y)| \left(\frac{\sin yH}{y}\right)^2 dy$$

$$\leq c H^2 \int_0^{\frac{1}{H}} |f_X(y)|^2 dy + c \int_{\frac{1}{H}}^{\infty} |f_X(y)|^2 \frac{dy}{y^2}$$

$$= O\left(T \frac{H}{\log \frac{1}{\epsilon}}\right) = O\left(T \frac{H}{\log T}\right).$$

To adapt this idea<sup>8</sup> to the linear combination  $F(x)$ , we need some results about the value distribution of  $\log |L(\frac{1}{2} + it, \chi)|$  or  $\log |X(t, \chi)|$ .

For  $T > 16q^3$ ,  $k$  a positive integer and  $T^{\frac{1}{2k}} \leq x \leq T^{\frac{1}{k}}$ , we can

show

$$\int_{\frac{T}{2}}^{2T} |\log |X(t, \chi)|| - R \sum_{p < x} \chi(p) p^{-\frac{1}{2} - it} \Big|^{2k} dt =$$

$$= O(T^{\frac{1}{k}} e^{A/k}),$$

The constants implied by the  $O$  are again absolute.

From this we can prove that

$$\frac{\log |X(t, \chi)|}{\sqrt{\pi} \log \log t}$$

has a normal gaussian distribution.

More precisely: let  $\chi_{a,b}$  denote the characteristic function of the interval  $(a, b)$ , then

$$\int_{\frac{T}{2}}^{2T} \chi_{a,b} \left( \frac{\log |X(t, \chi)|}{\sqrt{\pi} \log \log T} \right) dt = T \int_a^b e^{-\pi u^2} du + O\left(T \frac{(\log \log \log T)^2}{\sqrt{\log \log T}}\right).$$

Also: for two distinct characters  $\chi$  and  $\chi'$  similar results hold for the difference

$$\log |X(t, \chi)| - \log |X(t, \chi')|,$$

only here we must divide by

$\sqrt{2\pi \log \log t}$  to get the normal gaussian distribution. Thus if

$0 < \delta < \frac{1}{2}$ , the set in  $(T, 2T)$  where

$$|\log |X(t, \chi)| - \log |X(t, \chi')|| \leq (\log \log T)^\delta,$$

has measure

$$O\left(T (\log \log T)^{-\frac{1}{2} + \delta}\right).$$

Thus most of the time one  $\chi(t, \chi_j)$  dominates all the other decisively.

This dominance is somewhat persistent over stretches long compared to

$\frac{1}{\log T}$ . Define

$$\Delta_{\chi}^H(t, H) = \frac{1}{H} \int_t^{t+H} \log |X(u, \chi)| du,$$

For  $0 \leq h \leq H$ , we can show for any positive integer  $k$  that:



10

$$\int_T^{2T} \left( \Delta_\chi(t, H) - \log |X(t+h, \chi)| \right)^{2k} dt =$$

$$= O\left( T e^{A'h} \left( t^k \log^k(H \log T) + t^{4h} \right) \right).$$

Integrating over  $h$  we get

$$\int_T^{2T} \int_0^H \left( \Delta_\chi(t, H) - \log |X(t+h, \chi)| \right)^{2k} dh dt =$$

$$= O\left( T H e^{A'h} \left( t^k (\log \log T)^k + t^{4h} \right) \right).$$

If we divide by  $W(t, \chi)$  the measure of subset of  $h$  for which

$$|\Delta_\chi(t, H) - \log |X(t+h, \chi)|| > (\log \log T)^{\frac{\delta}{2}},$$

we find choosing  $t$  so large that  $t^\delta > 2N+1$ , that

$$W(t, \chi) \leq \frac{H}{(\log \log T)^N},$$

except for a subset of  $t$  in  $(T, 2T)$  of measure  $O\left(\frac{T}{(\log \log T)^N}\right)$ .

We also can show that for  $\chi \neq \chi'$

$$|\Delta_\chi(t, H) - \Delta_{\chi'}(t, H)| > (\log \log T)^{\frac{\delta}{2}}$$

except for a subset of  $(T, 2T)$  of measure  $O(T (\log \log T)^{-\frac{1}{2} + \delta})$ .

For  $\chi_1, \dots, \chi_n$  we now define  $S_{j,k}$  as the subset of  $(T, 2T)$

where  $|\Delta_{\chi_j}(t, H) - \Delta_{\chi_k}(t, H)| \leq (\log \log T)^\delta$

we have  $m(S_{j,k}) = O(T (\log \log T)^{-\frac{1}{2} + \delta})$

If we exclude all of these subsets from  $(T, 2T)$ , the rest consists of

$n$  sets  $S_j$  such that in  $S_j$  for  $k \neq j$

$$\Delta_{\chi_j}(t, H) > \Delta_{\chi_k}(t, H) + (\log \log T)^\delta.$$

If we also from each  $S_j$  exclude all  $t$  for which for any  $k$

$$w(t, \chi_k) > \frac{H}{(\log \log T)^N}, \text{ we get}$$

that  $(T, 2T)$  except for a subset of measure  $O(T (\log \log T)^{-\frac{1}{2} + \delta})$  is divided into  $n$  subsets  $S_j$  such

12

that  $\sum_m m(S_j^*) = T - O(T(\log T)^{-\frac{1}{2} + \delta})$

and for each  $t$  in  $S_j^*$  we have for  $k \neq j$

that

$$\log |X(t+h, \chi_j)| - \log |X(t+h, \chi_k)| > (\log \log T)^\delta - 2(\log \log T)^{\frac{\delta}{2}} > \frac{1}{2} (\log \log T)^\delta$$

except for a set of  $h$  in  $(0, H)$  of

measure  $O\left(\frac{H}{(\log \log T)^N}\right)$ .

From  $\int_0^T$

$$\int_0^T |X(t, \chi) \eta(t, \chi)|^2 dt = O(T)$$

we see that

$$\int_t^{t+H} |X(u, \chi_j) \eta^2(u, \chi_j)|^2 du < H \log \log T$$

except for a subset of  $t$  of measure

$$O\left(\frac{T}{\log \log T}\right), \text{ we exclude also these } t \text{ from the } S_j^* \text{ (without renaming them).}$$

Now look at

$$I_{\chi_j}^*(t, H), M_{\chi_j}^*(t, H) \text{ and } J_{\chi_j}^*(t, H)$$

which are for  $t$  in  $S_j^*$  the integrals  $I_{\chi_j}$ ,  $M_{\chi_j}$  and  $J_{\chi_j}$  but with the bad subset removed. They differ from these at most by

$$\begin{aligned} & \mathcal{O}\left(\sqrt{\frac{H}{(\log \log T)^N}} \cdot \sqrt{H \log T}\right) \\ &= \mathcal{O}\left(\frac{H}{\log \log T}\right), \end{aligned}$$

taking  $N=3$ . We see now that we get a sign change of  $X(u, \chi_j)$  in  $(t, t+H)$  for  $t$  in  $S_j^*$  and

$$J_{\chi_j}^{*(t, H)} > |I_{\chi_j}^*(t, H)| \text{ which is equivalent to}$$

$$H > |I_{\chi_j}(t, H)| + |M_{\chi_j}(t, H)| + \mathcal{O}\left(\frac{H}{\log \log T}\right)$$

For  $T$  large enough this holds outside a ~~subset~~ measure  $\mathcal{O}\left(\frac{1}{\sqrt{H \log T}}\right)$  and so in most of  $S_j^*$  if  $H = \frac{\lambda m^2}{\log T}$  with  $\lambda$  a large enough constant. Produces more than  $\frac{c}{m^2} T \log T$  sign changes of

$$\pi^{-\frac{1}{2}} P\left(\frac{\Delta}{2}\right) F(s); \quad s = \frac{1}{2} + it \text{ in } S_j^*$$

Adding up over  $j$  we get more than  $\frac{c}{n^2} T \log T$  or

$$N_0(T, F) > \frac{c}{n^2} T \log T \text{ for } T > T_0(F).$$

can be improved to

$$\textcircled{1} \quad N_0(T, F) > \frac{c\alpha}{n^2} T \log T \text{ for any } \alpha > 1.$$

Case  $\lambda = 1$ . Some cases of such  $L(s)$  <sup>list (Hofner)</sup> have been handled and

$$N_0(T, L) > c T \log T \text{ for } T > T_0(L)$$

proved. Essentially what is required is that one can estimate expressions

$$\text{like } \int_T^{2T} |L\left(\frac{1}{2} + it\right) P\left(\frac{1}{2} + it\right)|^2 dt$$

where  $P$  is a Dirichlet polynomial

$$P(s) = \sum_{m \leq \xi} \frac{\lambda_m}{m^s}, \text{ where } \xi \text{ is like}$$

some small power of  $T$ . In these cases the  $I_\chi(t, H)$  have been handled but not the  $M_\chi(t, H)$ , which were

avoided using a simpler device which does not work for the linear combination. By slightly modifying the way one defines the analog of  $\eta(s)$  for  $L(s)$ , we

$$\text{put } (L(s))^{-\frac{1}{2}} = \sum_n \frac{\alpha_n}{n^s} ; \alpha_1 = 1$$

$$\text{and } \eta(s) = \sum_{\alpha < \sqrt{\frac{1}{2}}} \frac{\alpha_n}{n^s} + \sum_{\sqrt{\frac{1}{2}} \leq n < \xi} \frac{\alpha_n}{n^s} (2 - 2 \frac{\log n}{2 \log \xi})$$

This new  $\eta(s)$  <sup>should work.</sup> works equally well for  $I(t, H)$ , and much better

$$\text{for } M(t, H) = \int_t^{t+H} L(\frac{1}{2} + iu) \eta(\frac{1}{2} + iu) du - H,$$

We can actually get

$$\int_T^{2T} |M(t, H)|^2 dt = O\left(\frac{T}{\log^2 T}\right)$$

uniformly for  $0 < H < T$ . Everything else works as before.

Briefly sketched: We write

$$|M(t, H)| = \left| \int_t^{t+H} (\alpha(\frac{t}{\xi} + iu) \eta^2(\frac{t}{\xi} + iu) - 1) du \right| \leq$$

$$\leq \left| \int_{\frac{t}{\xi} + it}^{2+it} (\alpha(s) \eta^2(s) - 1) ds \right| + |(t \rightarrow t+H)|$$

$$+ O(\xi^{-\frac{1}{3}}).$$

also  $\left| \int_{\frac{t}{\xi} + it}^{2+it} (\alpha(s) \eta^2(s) - 1) ds \right|^2 \leq$

$$\int_{\frac{t}{\xi}}^2 \xi^{\frac{1}{4}(\frac{t}{\xi} - \sigma)} d\sigma \cdot \int_{\frac{t}{\xi}}^2 \xi^{\frac{1}{4}(\sigma - \frac{t}{\xi})} |\alpha(\sigma + it) \eta^2(\sigma + it) - 1|^2 d\sigma.$$

If we write

$$J_\sigma = \int_T^{2T} |\alpha(\sigma + it) \eta^2(\sigma + it) - 1|^2 dt,$$

we have

$$J_{\frac{t}{\xi}} = O(T) \quad \text{and} \quad J_2 = O(T \xi^{-1}).$$

A converse argument now gives

$$J_\sigma = O(T \xi^{-\frac{1}{2}(\sigma - \frac{t}{\xi})}),$$

the estimation

$$\int_T^{2T} |M(t, H)|^2 dt = O\left(\frac{T}{\xi^2 \xi}\right) = O\left(\frac{T}{\xi^3}\right)$$

now follows easily.

The drawback is that with this  $\eta(s)$ , we have to go through the estimation of

$$\int_T^{2T} |I(t, H)|^2 dt$$

anew, since it does not follow from our earlier result. The same approach can however be adapted to our old  $\eta(s)$  defined as

$$\eta(s) = \sum_{n \leq \xi} \frac{\alpha_n}{n^s} \left(1 - \frac{\log n}{\log \xi}\right),$$

by observing that the Dirichlet series for  $L(s) \eta^2(s)$  is identical with that of

$$\left(1 - \frac{1}{2 \log \xi} \frac{L'(s)}{L(s)}\right)^2 \text{ for } n \leq \xi.$$

Subtracting from the expansion of  $L(s) \eta^2(s) - 1$  the terms with  $1 < n \leq \xi$ , and handling



18

this part separately on the line  $\sigma = \frac{1}{2}$ , the remainder with the method just outlined one get easily for  $H > \frac{2}{\log T}$

$$\int_T^{2T} |M(t, H)|^2 dt = O\left(T \frac{\log(H \log T)}{\log^2 T}\right)$$

a result only slightly worse (and much better than we actually need).

where we can prove

$$\int_T^{2T} |I(t, H)|^2 dt \leq O\left(\frac{T \log F}{\log T}\right); T > T_0$$

uniformly for  $\log T$  get again.

$$N_0(F, T) > \frac{c}{n^a} T \log T \text{ for } T > T_0(F)$$

with absolute  $c$ .