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The Theory of Discontinuous Groups

I think I shall start by making some remarks about the title of this lecture which will seem one that covers a lot of ground. The real title of this lecture was given over the phone from Maine to Dr. Rauch and he, I believe, much to leave the next day for somewhere else so he transmitted it again, presumably by phone, to someone else, and then I met Professor Gelbart in Stockholm; he told me that they hadn't been quite sure how the title really should go so they had it copied down which was probably the sensible thing to do under the circumstances. and Open Problems Recent really should have been something like 'On Certain Developments of the Problems in the Theory of Discontinuous Groups! which I think is somewhat a more modest title." The term, !'discontinous group'! I shall not define in general, in the case that which we shall be considering here although of course, the term has a more general meaning; it shall simply mean a discreet subgroup of a Lie-group, actually one of the semi-simple Lie groups and we shall be dealing only with the discontinuous groups that operate on symmetric spaces. The Historically, the theory, one may say, took the beginning or started with the discovery first of the modular/and then later as the theory of uniformization came over large classed of discontinuous groups operating in the hyperbolic plane or in the unities or the upper half plane of the complex plane, to mention two of the marvels, and already one notices that the, in a sense the

sensible class of groups to restrict oneself to, ix if one wants to do function theory being without erthemorphic forms or forms or other things, is to limit oneself to the class of groups with finite volume or in this case, since it's two-dimensional, area of the fundamental domain. Well, the fundamental domain, if we have a discontinuous group acting on a space, Fundamental domain is simply a set usually chosen of course to be a somewhat sensible set of points, which represent from each equivalence class if we consider them at equivalent under the transformations of the discontinuous group. Well, if we consider the walks class of groups in the hyperbolic plane with finite area of the fundamental domain, or the narrower class, the one with compact fundamental domain, we know, of course, from the uniformization theory that there are very many such Actually the uniformization theory makes it possible to construct depend# families of groups of this kind which xkxxxx in a continuous, even in an analytic way, on as many parameters as we would like. For instance, if you uniformize a Riemann surface in a standard way, the parameters can be a set of metuli. The Riemann surface TAPE CHANGE being greater than 1. Now there are two other ways to offer have been used in the hyperbolic plane, groups that belong in this class with finite

volume of fundamental domain. One is by certain geometric construction using the principle of reflection and the third way is groups that are in a certain way arithmetically defined . Now, when one started to consider the same questions, for the higher dimensional hyperbolic spaces, and I shall at once say that when I speak of higher dimensional hyperbolic spaces, now, I shall limit myself to such spaces that contain no eentast or euclidean factor space because it simplifies the way in which I can make statements, that will be more complicated if I had of this possibility, Now, that in the higher dimensional symmetric spaces, there exists as far as I know in the Literature such a one attempt at constructing a group geometrically namely in the very simplest case, the three dimensional hyperbolic space, there is something known as the of which I can tell you very little because I never studied it, but I think it's the only example of a geometrically constructed group. And all the others knownin higher dimensions are either those that we could construct trivially from the hyperbolic plane, I mean where they would have some factors, I mean let us say that me we have one or more several hyperbolic planes as factors and that WENK We could of course construct a group of the full space by considering groups of various factors but apart from that, the only way has been the arithmetically

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defined groups that were arithmetically defined and I think that I shall rather try to use this thing there and of course, in their very large classes of groups very significanh has been considered in an especially very early years/contributions were made by Siegel; well there also have been earlier things by Hilbert and Hecker and Poincaré a but principally by Siegel, I should say, and in the very last one or two years, the concept of an arithmetic group may have been in a sense Harish Chandra finally, may have reached its final formulation by work by WXXY Harishamba and So this has left then to perhaps as large a class of groups as KKKWAKK we are at present able to define in these higher dimensional spaces and which satisfy the condition of the finite nurser of the volume of the wa fundamental domain. From the other direction one may might want to investigate what properties just a group would have if you downk make the assumption about the finite & nurser of the volume or of the fundamental domain, or stronger, about the compactness of the fundamental domain, what this would imply about the group and especially one might ask the question if there as existed the families depending on continuous parameters in $\frac{\alpha}{an}$ non trivial way [I will define shorthy what I mean by this.] Or you might even ask if there, apart from certain obvious exceptions, whether there even would exist groups that were essentially different from that which we get from

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arithmeticalk construction. Now, when I say essentially different, I shall in the following consider groups as being equivalent if one of them is obtained from the other by subjecting the continuous group to which it belongs or of which it is a discreet subgroup to an inner automorphism and secondly, I would consider two groups as essentially equivalent and the term we will use for that/is comensurate, //If by an inner automorphism of the full group I can bring them on such a form that kin wax their intersection is a finite symbols. Two such groups XXXX I shall x also consider essentially equivalent. And further there is one additional thing in order to for formulate simply the exceptions also I will speak about, we need to speak about the groups that operate on products of symmetric spaces, Mr I mean a symmetric space that can be split into factors. There two possibilities can occur, namely either the discontinous ix group is commensurate to a direct product of a group, discontinuous group, that operates on one factor, and one that operates on the other factor space. I mean there is a split, there may be such a \mathbf{x} splitting and also corresponding $\ell_{\mathbf{y}}$, α splitting of a group thatix is commensurate. If the group has this property, we shall call it reducible and if it x does not have this property, then we shall call it irreducible and it is simplest to just restrict oneself to the irreducible

cases because there are no exceptions in that way . Well, in recent years a number of results have been obtained, Most of them under assumption at that the fundamental domain is compact. These results do not go by any ma means, MM for form they are very hard on indicating that the arithmetically defined groups might be the only ones. That means up to commensurability. But they give some I think, indications in this direction but again I think I will say that ■ none of the methods that have been used to prove the present results have are likely to give anything much further towards proving that theorem, if it be true. But at least one has been able to show the rigidityx of the xxxgroups. If we assume commact and that fundamental domain, the space that I refer to is not the hyperbolic plane and that the game group is an irreducible one, Then one has been able to prove the I mean that the groups can only be deformed in a trivial way. The only continuous deformation possible is what corresponds to an inner automorphisms of the continuous group. Now something could be indicated about the methods that they used and who obtains these results: The names to mention are Calabi, and Resentini. A Actually Calarri obtained this result for the n-dimensional hyperbolic space if n is greater than 3 and Calarbi - Resenting for the bounded complex

domains symmetric

domains, symmptric complex domains in the sense of Cartan; and then there was actually previous, somewhat previous certain results that with certain other symmetric spaces and which were obtained by rather different methods, namely differential obtained results about rigidity using results I shall say rather elementary methods eperating directly on the groups. Now finally the rigidity theory was proved for all the groups with compact fundamental domain, with the obvious plane exception of hyperbolic damain, of course, all the irreducible groups, by Condia Way differential-geometric methods and by certain results in this direction which give, I shall say, intextine more insight the structure of into/the groups by Matsushima. These results were however we the exclusions do not comude with the exclusion of the other theorems. of the spaces, I mean, the spaces that correspond to the real or the complex unit ball Namely what Matsushima has proved in these cases also on the assumption of compact fundamental domain is that the first Retti number of the manifold if you have a group without fixed pointsx necessarily zero and that when the commutator group of the group necessarily has finite index commutator This of course is not true in the hyperbolic plane. It's quite possible that it might

space of dimension at least 3 be true in hyperbolic planes in space for n greater than 3. I don't know if anywne has kin looked at the Lobel example from this point of view, enough to check if that would contradict whether x that x such a theorym or not. A Now I wanted today to speak not of the compact case, but of the case of long compact fundamental domain but still with finite volume. There, results are much more incomplete, and this is not surprising because very little is known, even now, about what the finite volume actually implies, what this condition implies, I mean what type of noncompactness of the fundamental domain is compatible with the finite volume condition. Well, I will mention something about problems now in this connection. One does not know if such necessarily a group is/finitely generated. In the case of compact fundamental domains, that is an obvious and trunal results that it is finitely generated, but one whether does not know in the case of the finite volume * rather the group is/finitely in what I would call generated, and still less, if we construct a fundamental domain by a canonical way by taking a base point, and counting as belonging to a fundamental domain those points in a given equivalence, under the group, that was closest to the base point. It's now known whaterh whether, if we construct a fundamental domain in this way,

finite whether it's necessarily bounded by a/number of phases. This of course will be stronger than the mandikian question of whether it's finitely generated. And a third thing that is now known, if we have a matrix m representation of the, I mean if the semi-simple Lie group is given by a matrix representation, in general does kkk the condition of non-compactness but finiteness of volume, the fund demain does that necessarily always imply that there are what we would call page parabolic transformations in the group or what is the same thing, I mean, unipotent matrices, I mean matraces with all their eigenvalues owl, that cannot be diagonalized that are not identity matrices. This is not known. Alright. Now certain results have been obtained, however, on various assumptions en particular types of symmetricx spaces and I think I should begin by indicating one that is not, week well, it waxxbeen stated in the literature but the proof has not been published anyway and it is actually the oldest written theorem of them all. Namely, if we consider a product of hyperbolic planes and we have a discontinuous group with finite volume of the fundamental domain, then one can prove rigidity of the group without any additional condition and this is the only case left where the rigidity

is proved without any additional condition. The proof of this which I could indicate some points, uses very heavily the particular properties of two by two unimodular group, or the properties of a hyperbolic plane, namely it uses first of all one property that is common for all the irreducible groups, but if we have an irreducible group acting on a product of symmetric spaces, then one can show that, if we work consider the component on any factor, we the each transformation could be split into a number of components, I mean one acting on each component of the space Now, if the group is irreducible and the fundamental domain has finite volume, then the, if we consider the components with that act on one factor then this they form a group whose closure actually contains the full connective component of the group of motions of this component space, Of this factor space, Of this symmetric space. So this will in particular in the present case imply that if I had a group that acts on a product of a hyperbolic planes and I consider the component of a transformation which planes acts on one particular of these kwings, for simplicity we may consider that we have two hyperbolic planes and I consider the part of the transformations that then dense act on the first. So this is done first in the full group of motions in the hyperbolic plane, It follows therefore, it is in particular dense validity?

transformations and now if I consider a transformation of the group that has one, I think I can even give this group the idea of it, without writing anything, If we mand consider the transformation, if I makmay not have the theory. one of whose components is, say the first component is elliptic, then if we had a continuous deformation of this group, depending parameter, retaining the structure of the group, this would necessarily have to preserve the rotation angle of this elliptic transformation. It would not only have to remain elliptic, transformation but the, an elliptic/of course represents a m rotation of a certain angle and this angle would have to remain fixed. Namely, if it changed it would change from rational to irrational or vice versa. And of course whenever a component is the angle is the rational multiple of π . That means a certain multiple of that transformation is the identity. And for any reducible group one can easily see that the one component is the identity only if the other component of the transformation is also the identity. So, the rotation angles of the elliptic transformations contained in the one component of the group would have to remain when took Now, if I went to two elliptic transformations acting on the first components of transformations in the dishyperbolic plane and that House continued group, it is easily seen that I could always form certain power products

of these that would again be elliptic transformations. That's a very simple for show Mussis fue computation. That is true. You have only to show that you can make the trace -2 and +2 . Now, the motation angle of that transformation would have to remain constant too. But, this is very easily seen and this implies that the distance of the centers of rotations of these have to remain constant. And from there on it is quite easy to see that the whole change in what happends happens with the, if I look at the third component of the transformation, they can just undergo an inner automorphism of the continuous, I mean it's a motion of the first hyperbolic plane, And of course the same argument goes for the second component. So, the deformation should have to be a trivial Now, this result does not tell you anything kof course beyond the fact that Whither
It does not tell you that it is finitely generated or it the group is rigid. has any of the other properties or whether it necessarily has parabolic transformations, if the fundamental domain is not compact. If we make however, and I should say the same result in the same form is valued for product of n hyperbolic planes. If we assume, however, that the group contains one transformation which is unipotent, there is one transformation both of whose components are matrices it just for the eigenvalues 1 and this should be a transformation which is not the identity,

First of all, because the group is assumed reducible we can very easily conclude that both of the components have kink to be parabolic. And so we may assume then that we have a parabolic transformatinn. I'd use the upper half plane model of the two hyperbolic planes, so that \mathcal{Z}_1 goes into $\mathcal{Z}_1 + \mathcal{Z}_2$ descent And the other one is something like this. Well, these two numbers then cannot It was first actually shown by Karpetski Shapiro x that from this fact, if one assumes the existence of one single such parabolic transformation we the group, this in combination with the assumption maxkha about khaxasannakiwaxwix the finite volume and also the group of the reducibles made it possible to draw certain conclusions about a larger part of the group, namely he showed that the group necessarily, and I think for this I shall go over to write mandeble these indices on top . He showed that if we have certain parabolic transformations, then we have of necessity a full group, a subgroup of a full group which consists, which is of the following farmxfamex form or can be brought on the following form by means of an inner automorphism that world be that world be of the group, namely that $\frac{2}{i}$, these are the components, of type the 2 = 1/2 + 6 the dx case we have two hyperbolic planes, and this is a group of triangular, I mean these are transformations represented by triangular matrices. Actually if we

write them in the full matrix form as I believe something like this for the various components if we want it to have determinant Well he showed that it could always be brought on such a form by an inner automorphism where the numbers were actually integers in a certain algebraic field, a totally real field of degree and if it were a product of n hyperbolic planes; The γ $^{\prime}$ 5 that could occur, They would form an abelian group of course. Would form a group that had finite index in the group of the totally positive units of the field, and the group of pure parabolic transformations, so that means where the η would be equal to on the η' s would be a group of translations with compact fundamental domain in n dimensional euclidean space. So, and this actually then would be the group that describes a particular cusp or non-compact part of the fundamental domain. represents, I mean we can define a fundamental domain, which has something like a needle or so sticking out towards infinity. Now, however, much more can be said just from the assumption about the single parabolic transformation in the group, namely not only this is true, but one can show that the full group, the whole group actually is such that it is represented by makrices means of matrices with algebraic entries so that the, whose entries are algebraic numbers from the totally real field of degree n that I spoke of. I think that should be delta. And as long as we down do not insist on the determinant being necessarily one. but just some totally positive number from the field, and this is not so very hard to show. Either actually it can be shown simply by assuming that you have some transformation in the group given by its n components one acting on each component space, component of the space, and this could be, 2 well I could write that I have it there, it in the form that I have normalized so that the determinant is one. Then it is very easy to see that if in this matrix was not of the form that I stated KONKO could earlier, I mean that/actually/be eventually by multiplying it by a suitable number brought, on the form where the has entries algebraic numbers from the totally real field and with determinants of course totally positive. If it was not of this form, then adjoining it to what I had earlier would lead to something that was no longer a discreet group. That seems to be a general phenomenon, by the way, that kink if you have arithmetically defined; groups, well this x of course has not been shown to be necessarily an arithmetically defined group.) If you have an arithmetically defined group with compact fundamental domain and of course you will always try to by an inner

automorphism to bring it on such a form that the numbers occurring as elements in the matrices or whatever matrix representation you are considering, that they are of minimal degree. These algebraic numbers belong all the fields of downam, the minimal degrees. But for compact fundamentals, there seems in general to be no bound on this degree. You can construct examples of arbitrary degree for instance if you have a product of two hyperbolic planes, you can construct groups with compact fundamental domain and irreducible groups I shall what says where the minimal/of the field is arbitrarily high and this can be done from certain qualitation algebra. But here you see, if you xkould assume the presence of the parabolic transformation, which, it is very likely, an necessarily present under the assumption of the finiteness but the non-compactness of the fundamental domain, If you assume the presence of the parabolic transformation, then the degree of the field is determined. In this case it has to be n where n that you have. is the number of hyperbolic planes, (Of course I exclude here the case that n = 1, Of course the case of one hyperbolic plane Now, of course, it is well-known that there is a group that are like this that I have described -- the so-called Hilbert max modular group of a totally real field of degree n which simply consists of

all matrices formed by integers, with determinants equal to a totally positive unit. There are very strong indications that the presence of the one unipotent element in this case even probably means that the group is necessarily commensurate to one of the Hilbert modular groups of degree, And the one that corresponds to that particular field that you have hearthere. However, it was not quite/impossible to prove that. You can prove that a number of things that would seem very strong indications in that direction. Some of this could be generalized. of course a case of hyperbolic planes is a particularly simple one in many ways. Some of this could be generalized in certain cases if we for instance consider Siegel the field symplectic space, so the now a symmetric complex matrix of the iy, y pos. definite, And we assume that n is not equal to one again, Then of course it is known that there is again a continuous group of motions that can be represented in this form by the matrices a, b, c, d are Satisfy Certain conditions Ahat I shall not unter New if we make a certain assumption about the presence of a certain type of element, we may be able to draw certain conclusions. First of all if I assume the presense of one such element of this type where

H, well h will have to be a symmetric matrix necessarily since this should satisfy

E dentes ridentity matrix the conditions of the simplectic group. If H is a definite matrix, then kind conclusions of a somewhat similar kxxx as those made by Karpeski-Shapiro about the presence of a group that actually describes a certain part of the fundamental domain. If the h is either non-definite, or it might even be singular, have dufumunant O, then however, it seems more complecated and quite draw we can't bikexikxallx the same conclusions. Then again if I had reached the fact that there was a group of this form, a subgroup of this form that would describe a cusp where k actually the milpotent elements, where A was the identity matrix should form the abelian group if that has $\frac{2}{N+N}$ generators if the transformations of this that occur are enought to have finite fundamentals domain in the group of all transformations of this kind, In the continuous group, And if furthermore the group of A, matrices A, that occur are such, well you can show that they will have to have determinants one more of them. But if they have finite volume in the smaller space of the real h imes hunimodular group, then again you can easily conclude that first of all that this can be brought on such a form that all the elements are algebraic and furthermore that actually the whole group is rigid, and that once you have brought it on this form

the full group is in such a form that all the matrix elements in the matrices a,b,c, and d are algebraic numbersx from a certain field. This again would be shown simply by xhwwim showing that if we adjoin to this group any element that was not of that kind it would lead to a set of elements that was not discreet. So it seems, and again although it cannot be shown it is a pretty, I would say a rather good bet that khexxx ... Actually if a group in the simplectic space has finite volume of the fundamental domain, but not compactness of it, 'el. by a suitable automorphism can be brought on such a form that it does x contain such a group which will describe one of the cusps. I mean that by and large it seems that the cusps are very often, or the groups that describe the cusps are strong enough to keep the group xixi rigid in itself, and actually imposes a much stronger restriction on the full group than any part of a group with compact fundamental domain does. So that in one sense this would point in the direction that in a certain sense one could say there are probably fewer groups with finite volume of the fundamental domain, but not compact. There are groups with compact fundamental domain, I should say in a very loose sense, there are infinitely many of both & kinds, but there are more possibilities for variation of structure of the group

in one with compact fundamental domain than one with the nonacompact fundamental in domain. (Again excluding the obvious exception, I mean/the hyperbolic pixambx cusp plane the presence of a parabolic transformation of a single/imposes no more restriction or so on the group than the presence of any other kind of transformation. New, I think I shalk look a little ... The Similar things hold for other spaces than the simplectic space, not for all symmetric spaces, with the exclusion of the hyperbolic plane though. I am unable to make any similar kind of argument for the groups that operate from the complex unit to the for more in this is a definiency that is summation of the space of course possible that this is a definiency that is summation of the space fundamental in the simple transformation of the hyperbolic plane though. I mean of non-compactness, might be in a sense restricted for a group in such a skake, but it's also the space of course possible that this is a definiency that is summation of the same transformation of the space in a sense restricted for a group in such a skake, but it's also

method in my type of reasoning. Now the thing that one would very much like to show, of course, would be, that such a group is necessarily a group fulfilling the st condition of finiteness of the volume of the fundamental domain is necessarily commensurate so that it is not we essentially different from .

It is commensurate with one of the arithmetically g defined groups. All the case where I would say that one at present would seem close to show anything like that

would be for the case of n hyperbolic plane and by the way, the non-compact case. Namely, there are two things missing. If we could show, on the one hand, that the non-compact, was combined with the finite volume implied the presence of the what 2 parabolic unipotent/transformation so that by stated earlier could be dervied from that then we would just be left with the question of whether we could show that this group then necessarily was commensurate to one of the Hilbert groups modular/or actually to the one that corresponded to the particularly real field. And actually in there's just one fact that would be lacking in order to prove such a thing. Namely, you would have to show, that in this representation which I make gave of the matrices representing the transformations (the various components) integral as matrices with ***** elements from this totally real field, but as I said where the determinant was not necessarily one, which means that if I divide to make the determinant one I introduce certain possible denominators, of course. And the only fact which would be necessary to show such a group was commensurate to the Hilbert modular group, would be the fact that knex this denominator should be bound so that there should be, if I write the elements in such a form, that they have determinant one, there should be some six bounded, say, integers d., but I fill multiply all of these entires with this number of they all become algebraic

If we could prove that the denominations are bounded, then we could also show that the group would be commensurate with the Hilbert modular group. They would have an intersection that had finite index symbols. Now, both of these mandelle. could not be entirely impossible that one could make in the near future. So in this case it might be possible to prove such , but this of course still would leave to completely unresolved result the question of those with compact fundamental domain for which I have no corresponding thing to start with. And similarly in some of the other, for complex instance the n by n KANANAK space of symmetric metrices, I mean the simplectic space that I just defined. Again it might conceivably be possible to prese prove the presence of the necessarily unipotent elements, that we could sort of get the whole group brought on this form which I, well I did not really indicate that form x too precisely actually. But there are xxx a lot more possibilities, I mean I can't there just point to a clearly well-ordered set like the Hilbert mok modular groups are wikkxwi against which I could measure these. So, although you could do some parts of this. Possibly there it would be a very much harder

task to show there are no groups xxxx with non-compact but fundamental domain but finite volume of it, which were not commensurate to some arithmetically defined group. And there again it would leave me entirely -- I would not know anything really about & Ones with compact domain; but of course I know that they are rigid, and it is known also that they can be brought on such a form that the matrices that k define the generators, they are finitely generated, have and algebraic numbers as , algebraic numbers from some field, but I dom not have any kind of defined and ordered set of aritmatically defined groups against which I could kike try to measure any makking that operate on the higher complectic spaces. But I would say the only case where there seems any hope is, at present, the case with the product of hyperbolic planes and where you assume non-compactness. This is the only case where I think anyone Tathink is anything like close to proving the work group is necessarily commensurate to an arithmetically defined group. Now, it is of course possible that this might not in general be true that the groups were necessarily such . Just from the assumption of the finite volume of the fundamental domain. Again I would say I don't know if anyone has looked carefully at the Lubel example from this point

of view whether this is commensurate to any arithmetically defined group that operates on the 3-dimensional hyperbolic space. However, it would seem that if thereix is any exception from this, I mean if there groups that are not commensurate with the arithmetically defined groups and which belong in this class of finite volume, it would seem most tikely unlikely that we should ever devise any way of getting hold of them. Because the only other way would seem to actually present the group by means of generators and relations and I think this, of course, in the higher dimensional cases one can say that this is a very complicated system. The set of generators is of course in general xxxx large but the relations is even much larger and in particular is what serves of course/to hold the group ***XXXXrigid. I mean this is one thing it also imposes a lot of restraints on the structure of the group: These relations; and I would say, if the situation is not as I would say I would hope it is that all the groups in the higher dimension is commensurate khazkha to the arithmetically defined ones. I think that those that are not will probably remain undiscovered. I shouldn't say forever, but at least in any foreseeable future.