

On the Bezout problem for entire analytic sets

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1. Introduction

It is well-known that the fields of algebraic geometry and complex analysis frequently have a parallel development and also frequently share the same underlying general principles. For example, the *local theory* of algebraic or of analytic varieties is roughly the same. The global theory of *affine varieties* or of *Stein manifolds* again follows the same general patterns.

A somewhat less obvious parallel arises in the study of the zeroes of an entire holomorphic function $f(z)$ ($z \in \mathbb{C}$). Here the growth of the *maximum modulus function*

$$M(f, r) = \max_{|z| \leq r} \log |f(z)|$$

plays the role of the *degree* of a polynomial. Assuming that $f(0) = 1$, a fundamental result is the bound

$$(1) \quad n(f, r) \leq CM(f, 2r)$$

on the number $n(f, r)$ of zeroes of f in $|z| \leq r$, generalizing the obvious bound on the number of zeroes of a polynomial. Suitably interpreted, the estimate (1) carries over to bound the size of the analytic hypersurface $f(z) = 0$ where $f \in \mathcal{O}(\mathbb{C}^n)$ is an entire holomorphic function of n variables

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(cf. § 3 in [6]).

The purpose of this paper is to discuss one instance where the analogy between analytic and algebraic geometry seemingly breaks down. This is the *transcendental Bezout problem* of estimating the number $n(f_1, f_2; r)$ of common zeroes in $|z_1|, |z_2| \leq r$ of two entire functions $f_1(z_1, z_2)$ and $f_2(z_1, z_2)$ in terms say of $M(f_1, r)$ and $M(f_2, r)$. Cornalba and Shiffman [5] have given examples to show that there is generally no estimate of the form (1), even though such an estimate does hold "on the average" (Stoll [15]). The purpose of this paper is to attempt to clarify the problem in the belief that the analogy between algebraic and analytic geometry might, if properly understood, continue to hold in a suitable form.

More specifically, we consider the Bezout problem in the formulation of trying to estimate the "size" of the intersection

$$V \cap C^*$$

of analytic subvariety $V \subset C^*$ in terms of the "size" of V . In this form, the Bezout problem is already solved in case $\text{codim}(V) = 1$ (cf. § 4 below), and so the first interesting case is that of an *analytic curve* $V \subset C^*$. Our main theorem (Theorem 1 in § 3) is an estimate on the size of the intersection

$$V \cap C^*$$

which is *independent* of the particular C^* . The estimate is in terms of the growth of the areas of V and of the *dual variety* V^* , and in terms of the number and position of the *inflection points*¹⁾ on V . An examination of the Cornalba-Shiffman example shows that consideration of the inflection points is essential.*

2. Formulation of the problem

a) Let $f_1(z), \dots, f_k(z) \in \mathcal{O}(C^*)$ be entire holomorphic functions. For a point $a = (a_1, \dots, a_k) \in C^k$ we let $V_a \subset C^*$ be the analytic set defined by

$$\begin{cases} f_1(z) = a_1, \\ \vdots \\ f_k(z) = a_k. \end{cases}$$

We assume throughout that $\text{codim}_z(V_a) = k$ at every point $z \in V_a$. Letting $V_a[r] = \{z \in V_a : |z| \leq r\}$, the *Bezout problem* is to estimate the "size" of $V_a[r]$

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¹⁾ If V is locally given by $\zeta \rightarrow z(\zeta)$ ($\zeta \in C$, $z(\zeta) \in C^n$), then the inflection points are defined by $z(\zeta) \wedge z'(\zeta) \wedge z''(\zeta) = 0$ in case $z(\zeta) \neq 0$, $z(\zeta) \wedge z'(\zeta) \neq 0$, and by $3 \text{ord}(z(\zeta) \wedge z'(\zeta)) < 3 \text{ord}(z(\zeta)) + \text{ord}(z(\zeta) \wedge z'(\zeta) \wedge z''(\zeta))$ in the general case (the factors of 3 appear because of homogeneity restrictions).

in terms of the f_j 's *independently of the point* $a \in \mathbb{C}^n$. By the "size" of $V_a[r]$, we mean as a beginning the Euclidean area

$$(2) \quad v(V_a, r) = \int_{V_a[r]} \omega^{n-k}$$

where

$$\omega = dd^c |z|^2 = \frac{\sqrt{-1}}{2\pi} \partial \bar{\partial} \left(\sum_{i=1}^n |z_i|^2 \right)$$

is the standard Kähler metric on \mathbb{C}^n . In the same way that the growth of

$$M(f, r) = \max_{|z|=r} \log |f(z)|$$

generalizes the degree of a polynomial, the growth of

$$(3) \quad \mu(V_a, r) = \frac{v(V_a, r)}{r^{2n-2k}}$$

generalizes the degree of an algebraic set in \mathbb{C}^n . In fact, it is a fundamental theorem of Stoll ([12] and [13]) that for any codimension k analytic set $V \subset \mathbb{C}^n$, the function

$$\mu(V, r) = \frac{1}{r^{2n-2k}} \int_{V[r]} \omega^{2n-2k}$$

is increasing with r and

$$\mu(V, r) \leq d \quad (d \in \mathbb{Z}^+)$$

if, and only if, V is an algebraic set of degree $\leq d$ in \mathbb{C}^n .³⁾ This result will be discussed below, and deeper insight into it should follow from an understanding of the Bezout problem.

b) A more general form of the Bezout problem is the following: Let $V, W \subset \mathbb{C}^n$ be analytic sets of pure codimensions k, l respectively. Assuming that the intersection $Z = V \cap W$ has pure codimension $k + l$, we want to estimate the size of Z in terms of V and W . Indeed, this version of the problem is closer to the usual algebro-geometric statement.

Two comments are relevant. The first is that the size of $V \times W \subset \mathbb{C}^n \times \mathbb{C}^n$ may be estimated by that of V, W , and thus writing

$$Z = V \cap W \cong (V \times W) \cap C_1^*$$

where $C_1^* \subset \mathbb{C}^n \times \mathbb{C}^n$ is \mathbb{C}^n embedded as the *diagonal* in $\mathbb{C}^n \times \mathbb{C}^n$, we see that it will suffice to take W to be a linear subspace of \mathbb{C}^n . Secondly, referring to

³⁾ Kneser [7] first proved the monotonicity of $\mu(V, r)$ using a form of Stokes' theorem not yet available. Lelong [8] gave another complete proof of monotonicity. In case $0 \neq V$, Stokes' theorem gives $\mu(V, r) = \int_{V[r]} (dd^c \log |z|^2)^{n-k}$ which makes the monotonicity evident, since $dd^c \log |z|^2 \geq 0$.

the first form of the Bezout problem discussed above, if we let

$$W = \{(z; f_1(z), \dots, f_k(z)): z \in \mathbb{C}^n\} \subset \mathbb{C}^n \times \mathbb{C}^k$$

be the graph of (f_1, \dots, f_k) , then on the one hand

$$V_0 \cong W \cap (\mathbb{C}^n \times \{0\}),$$

while on the other hand the growth of W and that of the f_i 's is roughly the same (cf. the Ahlfors-Shimizu form of the Nevanlinna characteristic function given in [9, pp. 171-177]). Thus the more general form of the Bezout problem does indeed contain the first question as a special case.

On the basis of this discussion, then, we shall concentrate on the Bezout problem in the following form: Let $G(l, n)$ be the Grassman manifold of $n - l$ planes through the origin in \mathbb{C}^n . Letting $V \subset \mathbb{C}^n$ be an analytic set of codimension k , and denoting points of $G(l, n)$ by A, B, \dots , we want an estimate on the size of the intersection

$$A \cap V$$

which is independent of A , always assuming that $\text{codim}_z(A \cap V) = k + l$ at all points $z \in A \cap V$.

A recent theorem of Shiffman gives us an *average Bezout Theorem* analogous to the classical *Crofton's Formula* in integral geometry. Letting dA be the measure on $G(l, n)$ invariant under the unitary group and suitably normalized, Shiffman's result is the formula

$$(4) \quad \mu(V, r) = \int_{A \in G(l, n)} \mu(V \cap A, r) dA,$$

where $k + l \leq n$ and μ is given by (3), and where we assume for simplicity that V does not pass through the origin.

In particular taking $l = n - k$, (4) becomes

$$(5) \quad \mu(V, r) = \int_{A \in G(n-k, n)} n(A \cap V[r]) dA$$

where $n(A \cap V[r])$ is the number of points of intersection (counted with multiplicities) of A and V in $|z| \leq r$. If V is algebraic of degree d , then $\mu(V, r) \uparrow d$ as $r \rightarrow \infty$, and Stoll's Theorem is the converse to this statement.

3. The first main theorem

Let $V \subset \mathbb{C}^{n+1}$ be an analytic set of pure dimension m (note the $n + 1$ on \mathbb{C}^{n+1}). To estimate the size of $V \cap A$ where A is a linear space, it is sufficient to treat the case when A is a hyperplane and then proceed inductively downward (this is also necessary when using Crofton's Formula (4)). Henceforth, we will thus assume that A, B, \dots , are hyperplanes in \mathbb{C}^{n+1} , and we view

A, B, \dots , as points in the projective space $(P^n)^*$ dual to the projective space P^n of lines through the origin in C^{n+1} .

A technique for approaching this problem is to apply *Nevanlinna Theory* to the *residual mapping* (assuming that $o \notin V$)

$$\begin{cases} f: V \longrightarrow P^n, \\ f(z) = \text{line } \bar{o}z \text{ for } z \in V. \end{cases}$$

This is the method used by Stoll [13] in the original proof of his theorem mentioned above; and even though other proofs of this result have since been given ([2], [11], and [16]), Stoll's argument still has global geometric appeal and also offers one possible means of understanding the general Bezout problem. To state the first main theorem (abbreviated F.M.T.) of Nevanlinna Theory in the present context, we use the following notations:

$$(6) \quad \left\{ \begin{array}{ll} \tau = \log |z|: V \longrightarrow \mathbf{R} & (\text{exhaustion function for } V), \\ \Omega = dd^c \tau & (\text{Levi form of } \tau), \\ V[r] = \{z \in V: \tau(z) \leq \log r\}, & \\ T(r) = \int_0^r \mu(V, t) \frac{dt}{t} & (\text{order function for } V), \\ N(A, r) = \int_0^r \mu(A \cap V, t) \frac{dt}{t} & (\text{counting function for } A \cap V), \\ |z, A| = |\langle z, A \rangle| & (z \in C^{n+1}, A \in (C^{n+1})^*), \\ \rho_A(z) = \frac{|z| |A|}{|z, A|} & (1 \leq \rho_A \leq +\infty), \\ m(A, r) = \int_{\partial V[r]} \log \rho_A d^c \tau \wedge \Omega^{n-1} \geq 0 & (\text{proximity form}), \\ S(A, r) = \int_{r[r]} \log \rho_A \Omega^n & (\text{remainder term}). \end{array} \right.$$

The F.M.T. now reads (cf. [6, Proposition 5.14] and [14])

$$(7) \quad N(A, r) + m(A, r) = T(r) + S(A, r).$$

Remarks. (1) First I want to apologize for the flood of notations and terminology, which anyone familiar with value distribution theory will recognize as standard. In one form or another, the F.M.T. is the technique for proving all known versions of Bezout-type theorems. With reference to (6), the proximity form $m(A, r) \geq 0$ and so (7) gives an inequality

$$(8) \quad N(A, r) \leq T(r) + S(A, r).$$

If we interpret "size" of an analytic variety Z as meaning growth of $\mu(Z, r)$, then the Bezout problem amounts to estimating $N(A, r)$ in terms of $T(r)$

and other quantities independent of A . Inequality (8) suggests that we attempt to estimate $S(A, r)$. This will be done in two cases.

(2) In the counting function $N(A, r)$, *multiplicities* must be taken into account. In case V is smooth, $A \cap V$ is the zero set of a holomorphic function and then it is clear how to define multiplicities. Our Main Theorem deals with the case when V is an analytic curve, and thus has a canonical desingularization (=normalization) \tilde{V} ; in this case, multiplicities are defined by pulling back the holomorphic function A to \tilde{V} . The general case may be treated using recent results of Tung [17], where the F.M.T. for singular varieties is treated.

4. The hypersurface case

With the notation of Section 3, the one case in which the Bezout problem always has an affirmative answer is when $V \subset \mathbb{C}^{n+1}$ is a hypersurface. We will briefly discuss three proofs of this, all of which already exist in the literature.

First proof. We begin by remarking that

$$(9) \quad \int_{r[r]} \Omega^n = \mu(V, r).$$

This follows from Crofton's Formula (4) applied to the projective space \mathbb{P}^n of lines through the origin in \mathbb{C}^{n+1} because

(i) $\Omega = dd^c \log |z|$ is the pull-back under f of the Kähler metric on \mathbb{P}^n , and

(ii) We evidently have the equality

$$\int_{r[r]} \Omega^n = \int_{A \cdot \mathbb{P}^n} n(A \cap V[r]) dA.$$

Next, since the residual mapping $f: V \rightarrow \mathbb{P}^n$ is *equidimensional* and the form

$$\rho_A \Omega^n$$

is integrable on \mathbb{P}^n , we have for the remainder

$$\begin{aligned} S(A, r) &= \mu(V, r) \left\{ \frac{1}{\mu(V, r)} \int_{r[r]} \log \rho_A \Omega^n \right\} \\ &\leq \mu(V, r) \log \left\{ \frac{1}{\mu(V, r)} \int_{r[r]} \rho_A \Omega^n \right\} \end{aligned}$$

by the *concavity of the logarithm*. Now the integral

$$\int_{r[r]} \rho_A \Omega^n$$

may be directly estimated by standard methods in Nevanlinna Theory (cf.

the second proof of Proposition 4.1 in [6]). This leads to a bound on $S(A, r)$ which is independent of A , and thence to an estimate

$$(10) \quad N(A, r) \leq CT(r)^{1+\varepsilon} + C', \quad (\varepsilon > 0).$$

(Actually, (10) is an easily stated but crude form of the estimate in [6].)

Second proof. For each point $z \in \mathbb{C}^{n+1} - \{0\}$, we let $L_z = \bar{o}z$ be the line connecting z to the origin, and we consider the counting function

$$N(z, r) = N(L_z \cap V, r|z|) = \int_0^{r|z|} n(L_z \cap V, t) \frac{dt}{t}$$

which measures the number of points on $L_z \cap V$ in the disc of radius $r|z|$. By Crofton's Formula (4) we can estimate the average

$$\int_{|z| \leq 1} N(z, r) d\mu(z)$$

($d\mu(z)$ = Euclidean measure) of $N(z, r)$ over $|z| \leq 1$ in terms of

$$\int_0^r \mu(V, t) \frac{dt}{t}.$$

On the other hand, $N(z, r)$ is a *pluri-subharmonic* function of z a fact which may most easily be seen as follows: By the second problem of Cousin for \mathbb{C}^{n+1} , we may write $V = \{z: f(z) = 0\}$ for some entire function $f \in \mathcal{O}(\mathbb{C}^{n+1})$ with $f(0) = 1$. Then by *Jensen's theorem* ([6] and [15])

$$N(z, r) = \frac{1}{2\pi} \int_0^{2\pi} \log |f(re^{i\theta}z)| d\theta,$$

which is obviously pluri-subharmonic in z . Now pluri-subharmonic functions satisfy the *sub-mean-value property*, and thus the value of $N(z, r)$ may be estimated by its average over a ball around z . This coupled with the estimate on $\int_{|z| \leq 1} N(z, r) d\mu(z)$ leads to an estimate on $N(z, r)$ in terms of $T(2r)$ for all z with $|z| = 1$. The inequality (10) follows by averaging over z with $z \in A$, $|z| = 1$.

Third proof. Given V , we may solve the second problem of Cousin with growth conditions (cf. Skoda [11]) to write $V = \{z: f(z) = 0\}$ where $f \in \mathcal{O}(\mathbb{C}^{n+1})$ satisfies an estimate

$$M(f, r) \leq CT(2r)(\log r)^2 + C'.$$

Given a line $A \in \mathbb{P}^n$, we then apply Jensen's theorem to $f|_A$ to obtain the variant of (10):

$$N(A, r) \leq M(f, r) \leq CT(2r)(\log r)^2 + C'.$$

With further work, the referee remarks that the estimate

$$N(A, r) \leq CT(2r) + C'$$

can also be proved.

Remark. The Bezout estimate (10) is easily stated but somewhat crude. A more careful argument gives the inequality (cf. § 4 in [6]).

$$(10') \quad N(A, r) \leq T(r) + o(T(r)) \quad //$$

where the meaning of “//” is explained preceding the statement of Theorem I in Section 5 below. Using (10') we may prove Stoll's theorem for hypersurfaces as follows: Assuming that $\mu(V, r) \leq d$, we have $T(r) \leq d \log r + c$, and (10') then gives

$$\mu(A \cap V) \leq d$$

for all hyperplanes $A \in (\mathbb{P}^n)^*$. Iterating this we find that V meets every line through the origin in $\leq d$ points, from which it easily follows that V is algebraic of degree $\leq d$ (cf. the proof of Chow's theorem given in § 2 of [6]).

5. Curves in \mathbb{C}^3 ; statement of the main theorem

Cornalba and Shiffman [5] have given an example of an analytic curve $V \subset \mathbb{C}^3$ such that $\mu(V, r) = O(r^\epsilon)$ for every $\epsilon > 0$, but for which there is a 2-plane $A \in (\mathbb{P}^3)^*$ with

$$n(A \cap V[r]) \neq O(r^N)$$

for any N . Thus, in the terminology of the theory of functions of finite order, V has *order zero* but $A \cap V$ has *infinite order*. Observe that V is necessarily *non-degenerate* in the sense that it is not contained in a \mathbb{C}^2 , since otherwise the Bezout Theorem for hypersurfaces could be used. This suggests that we seek a Bezout estimate which involves not only the growth of the area of V , but also the size of the *osculating variety* V^* associated to V . More precisely, we consider the residual mapping

$$f: V \longrightarrow \mathbb{P}^3.$$

Associated to this *holomorphic curve* is the *dual curve*

$$f^*: V \longrightarrow (\mathbb{P}^3)^*$$

which associates to each point $z \in V$ the 2-plane $f^*(z)$ spanned by z and the tangent line to V at z . If φ is the standard Kähler metric on a projective space, the (1, 1)-forms

$$\begin{cases} \Omega_0 = f^*\varphi, \\ \Omega_1 = (f^*)^*\varphi \end{cases}$$

are the fundamental local invariants for the metric geometry of $f(V)$. The

order functions

$$(11) \quad \begin{cases} T_0(r) = \int_0^r \left\{ \int_{r(t)} \Omega_0 \right\} \frac{dt}{t}, \\ T_1(r) = \int_0^r \left\{ \int_{r(t)} \Omega_1 \right\} \frac{dt}{t} \end{cases}$$

measure the growth of V and of the osculating variety V^* respectively. If

$$(12) \quad \Omega_0 = h\Omega_1,$$

the non-negative function h becomes infinite at the *inflection points* of V (cf. (26) in §7 below). The quantity

$$(13) \quad S(r) = \int_{r(t)} \log^+ h \cdot \Omega_0 \geq 0$$

is intrinsically associated to V and would appear to become large if V has many inflection points (cf. the example in §7 below).

To state our main result, we suppose given $\varepsilon > 0$, and use the notation

$$\theta(r) \leq \psi(r) \quad //$$

to mean that the stated inequality holds outside an open set $E \subset \mathbb{R}^+$ such that $\int_E dt/t < \infty$.

THEOREM I. *There exist constants C, C', C'' such that for any $A \in (\mathbb{P}^2)^*$, the counting function $N(A, r)$ satisfies an estimate*

$$(14) \quad N(A, r) \leq C\{T_0(r)^{1+\varepsilon} + T_1(r)\} + C'S(r) + C'' \quad //$$

An easy corollary results by assuming that the holomorphic curve V is bounded away from having inflection points in the sense that $h \leq c < \infty$ in (13). Then $S(r) = O(T_0(r))$ and so we obtain the

(15) **COROLLARY.** *If $V \subset \mathbb{C}^3$ is an analytic curve which is bounded away from having inflection points, then*

$$N(A, r) \leq C\{T_0(r)^{1+\varepsilon} + T_1(r)\} + C'$$

for all 2-planes $A \in (\mathbb{P}^2)^*$.

Remark. In the Cornalba-Shiffman example, both $T_0(r)$ and $T_1(r)$ are $O(r^{2+\delta})$ for any $\delta > 0$ (cf. §7 below), but V has many inflection points.

There are two criticisms of the above theorem. The first is that the result fails to yield the Stoll theorem, which we recall is the statement that V is an algebraic curve, if, and only if, $T_0(r) \leq d \log r + c$ (cf. [12]). The second is that the quantity $S(r)$ given by (13) does not have a direct geometric interpretation, although it does appear somewhat naturally in the

second main theorem for $V \subset \mathbb{C}^3$ (not discussed here). Consequently, Theorem I should be thought of as indicating that some understanding of the Bezout problem is perhaps possible rather than as a definitive result.

Finally, it is possible to prove a result similar to Theorem I for an analytic curve $V \subset \mathbb{C}^n$ for any n . The estimate will bound $N(A, r)$ in terms of

$$(a) \quad T_0(r)^{1+\epsilon}, T_1(r)^{\epsilon}, \dots, T_{n-1}(r)^{\epsilon}$$

where $T_{k-1}(r)$ is the order function for the k^{th} associated curve [4], and

(b) A quantity $S(r)$ which measures the number of stationary points of order k for $1 \leq k \leq n$.

I do not know even a conjectural statement in case $\dim V > 1$, $\text{codim } V > 1$, and this is another indication that the correct result is yet to be found.*

6. Proof of the main theorem

(a) We will apply the theory of holomorphic curves, especially the so-called *Ahlfors' inequalities* [1], to the residual mapping $f: V \rightarrow \mathbb{P}^n$. In doing this we will follow the terminology of the paper by Chern [4], and will also use his notation with the following two exceptions:

- (i) We use z instead of Chern's Z to denote a point in \mathbb{C}^3 ;
- (ii) Hyperplanes in \mathbb{P}^n will be denoted by A instead of α .

Given a local holomorphic coordinate ζ on V , the corresponding point in \mathbb{C}^3 will be denoted by $z(\zeta)$. Following Chern, we then use *Frenet frames* z_1, z_2, z_3 where

$$\begin{cases} z_1 = \frac{z}{|z|}, \\ z_0 \wedge z_1 = \frac{z \wedge z'}{|z \wedge z'|} & \left(z' = \frac{dz}{d\zeta} \right), \\ z_0 \wedge z_1 \wedge z_2 = \frac{z \wedge z' \wedge z''}{|z \wedge z' \wedge z''|} & ((z'' = (z')')) \end{cases}$$

For a hyperplane $A \in (\mathbb{P}^n)^*$, we set

$$\begin{aligned} \varphi_0 &= |z_0, A|^2 = |\langle z_0, A \rangle|^2 & (\text{assuming } |A| = 1), \\ \varphi_1 &= |z_0, A|^2 + |z_1, A|^2. \end{aligned}$$

Then $\varphi_0 = 0$ at the points of intersection $A \cap V$ and $\varphi_1 = 0$ at the points of $A \cap V$ where V is tangent to A .

Referring to the F.M.T. (7), we have an inequality (for $0 < \lambda < 1$)

* (Footnote added in proof) See W. Stoll, Deficit and Bezout estimates Tulane Conf. in Value Distribution Theory, I, Marcel Dekker, 1973.

$$(16) \quad N(A, r) \leq T_0(r) + \frac{1}{\lambda} \int_{r[r]} \log\left(\frac{1}{\varphi_0^i}\right) \Omega_0.$$

Motivated by the first proof of Bezout for hypersurfaces, we might try to use concavity of the log to write

$$\int \log \frac{1}{\varphi_0^i} \Omega_0 \leq \log \int \frac{\Omega_0}{\varphi_0^i}.$$

However, the area integral Ω_0/φ_0^i will fail to converge at points where V has high order contact with A . This suggests that we write

$$(17) \quad \int_{r[r]} \log \frac{1}{\varphi_0^i} \Omega_0 = \int_{r[r]} \log\left(\frac{\varphi_1}{\varphi_0^i}\right) \Omega_0 + \frac{1}{\lambda} \int_{r[r]} \log\left(\frac{h_1}{\varphi_1^i}\right) \Omega_0 + \frac{1}{\lambda} \int_{r[r]} \log h \Omega_0$$

where $h_1 \Omega_0 = \Omega_1$, so that $h = (1/h_1)$. The third term on the right hand side of (17) is less than or equal to $S(r)$, and in particular is independent of A .

As for the first term, setting $v_0(r) = \int_{r[r]} \Omega_0$ we have

$$\begin{aligned} \int_{r[r]} \log\left(\frac{\varphi_1}{\varphi_0^i}\right) \Omega_0 &= v_0(r) \left\{ \frac{1}{v_0(r)} \int_{r[r]} \log\left(\frac{\varphi_1}{\varphi_0^i}\right) \Omega_0 \right\} \\ &\leq v_0(r) \log \left\{ \frac{1}{v_0(r)} \int_{r[r]} \frac{\varphi_1}{\varphi_0^i} \Omega_0 \right\} \\ &\leq v_0(r) \log \left\{ \int_{r[r]} \frac{\varphi_1}{\varphi_0^i} \Omega_0 \right\} \quad (\text{for large } r). \end{aligned}$$

Similarly we obtain

$$\int_{r[r]} \log\left(\frac{h_1}{\varphi_1^i}\right) \Omega_0 \leq v_1(r) \log \left\{ \int_{r[r]} \frac{\Omega_1}{\varphi_1^i} \right\}.$$

Since $v_0(r) = dT_0(r)/d \log r$, we may use a standard lemma ([4, pp. 253-254]) to obtain

$$(18) \quad \int_{r[r]} \log \frac{1}{\varphi_0^i} \Omega_0 \leq T_0(r)^{1+\epsilon} \left\{ \log \int_{r[r]} \frac{\varphi_1 \Omega_0}{\varphi_0^i} + \frac{1}{\lambda} \log \int_{r[r]} \frac{\Omega_1}{\varphi_1^i} \right\} + \frac{1}{\lambda} S(r) \quad //.$$

To prove our theorem, it will suffice to prove the estimates

$$(19) \quad \begin{cases} \int_{r[r]} \frac{\varphi_1 \Omega_0}{\varphi_0^i} \leq T_0(r)^{1+\epsilon} & //, \\ \int_{r[r]} \frac{\Omega_1}{\varphi_1^i} \leq T_1(r)^{1+\epsilon} & //. \end{cases}$$

Indeed, (16), (18), and (19) give

$$N(A, r) \leq CT_0(r)^{1+\epsilon} + CT_0(r)^{1+\epsilon/2} \log T_1(r) + \frac{1}{\lambda} S(r).$$

Using the inequality

$$ab \leq a^{1+\epsilon} + b^{1+\epsilon/(1+\epsilon)} \quad (a, b \geq 0)$$

we may estimate the product $T_0(r)^{1+\epsilon/\lambda} \log T_1(r)$ by

$$T_0(r)^{1+\epsilon} + \log T_1(r)^{1+(1/\lambda)}$$

for sufficiently small δ . This gives the inequality

$$N(A, r) \leq CT_0(r)^{1+\epsilon} + C[\log T_1(r)]^\lambda + \frac{1}{\lambda} S(r),$$

which obviously implies (14).

(b) We now prove the first estimate in (19) using the inequalities (70), (71) in Chern [4]. From these we obtain

$$(20) \quad \int_{r(t)} \frac{\varphi_1}{\varphi_0^2} \Omega_0 \leq c \int_{B \in (P^2)^*} n(B \cap V[t]) g(|A, B|^2) dB$$

where

$$g(s) = \frac{a}{(1-s)^2} + \frac{bs}{(1-s)^{2+\lambda}}, \quad (a, b > 0).$$

Integrating (20) with respect to dt/t and using the F.M.T.(8), we get

$$(21) \quad \int_0^r \left\{ \int_{r(t)} \frac{\varphi_1 \Omega_0}{\varphi_0^2} \right\} \frac{dt}{t} \leq cT_0(r) + c' \int_{B \in (P^2)^*} \frac{S(B, r) dB}{(1 - |A, B|^2)^{1+\lambda}}$$

where

$$S(B, r) = \int_{r(t)} \log \frac{1}{|z_0, B|} \Omega_0$$

is the remainder term. The main step in our proof is the

LEMMA.

$$\int_{B \in (P^2)^*} \log \left(\frac{1}{|z, B|} \right) \frac{1}{(1 - |A, B|^2)^{1+\lambda}} dB \leq c'$$

where c' is independent of z , A (assume $|z|, |A|, |B| = 1$).

*Proof.*³⁾ Take $1 < q < (2/(1+\lambda))$ and $(1/p) + (1/q) = 1$. Hölder's inequality gives

$$\begin{aligned} & \int_{B \in (P^2)^*} \log \frac{1}{|z, B|} \frac{1}{(1 - |A, B|^2)^{1+\lambda}} dB \\ & \leq \frac{1}{2} \left[\left(\log \frac{1}{|z, B|^2} \right)^p dB \right]^{1/p} \left[\frac{1}{(1 - |A, B|^2)^{(1+\lambda)q}} dB \right]^{1/q}. \end{aligned}$$

According to Chern [4], formulae (39) and (48):

$$\int_{B \in (P^2)^*} \left(\log \frac{1}{|z_0, B|^2} \right)^p dB = 2 \int_0^1 \left(\log \frac{1}{t} \right)^p (1-t) dt < \infty,$$

and

³⁾ This proof is due to the referee.

$$\begin{aligned} \int_{B \in (\mathbb{P}^2)^*} \frac{1}{(1 - |A, B|^2)^{(1-\lambda)q}} dB &= \int_{B \in (\mathbb{P}^2)^*} \frac{1}{(1 - |A^\perp, B|^2)^{q(1+\lambda)}} dB \\ &= 2 \int_0^1 \frac{(1-t)dt}{(1-t)^{q(1+\lambda)}} = \frac{2}{q(1+\lambda)-1} < \infty, \end{aligned}$$

where $A^\perp \in \mathbb{C}^3$ is defined by

$$(z, A^\perp) = \langle A, z \rangle$$

for all $z \in \mathbb{C}^3$.

Q.E.D.

Combining (21) and (22) gives

$$\int_0^r \left\{ \int_{V(t)} \frac{\varphi_t \Omega_0}{\varphi_0^2} \right\} \frac{dt}{t} \leq cT_0(r) + c'v_0(r),$$

which implies the first estimate in (19).

The second estimate in (19) is proved similarly using (115) and (116) in Chern [4]. More precisely, associated to $f: V \rightarrow \mathbb{P}^2$ is the dual curve $f^*: V \rightarrow (\mathbb{P}^2)^*$, where locally

$$f^*(\zeta) = z(\zeta) \wedge z'(\zeta),$$

viewed as a 2-plane in \mathbb{C}^3 . Adding together (115) and (116) in [4] gives

$$\int_{V(t)} \frac{\Omega_0}{(1 - |A, Z_0|^2)^2} \leq \int_{B \in (\mathbb{P}^2)^*} n(B, t) g(|A, B|^2) dB.$$

Applying the F.M.T. to this leads, as before, to

$$(22) \quad \int_{V(r)} \frac{\Omega_0}{(1 - |A, Z_0|^2)^2} \leq CT_0(r) + C' \quad //.$$

Replacing the original holomorphic curve by its dual converts (22) into

$$\int_{V(r)} \frac{\Omega_1}{(|A, Z_0|^2 + |A, Z_1|^2)^2} \leq CT_1(r) + C' \quad //,$$

which implies the second inequality in (19).

Q.E.D.

7. An example

We shall discuss the Cornalba-Shiffman example of an analytic curve $V \subset \mathbb{C}^3$ for which the usual Bezout theorem is false. For this V we will find that

$$T_0(r), T_1(r) = O(r^{2+\epsilon}),^{**}$$

but there will be a 2-plane A such that

$$n(A \cap V[r]) \neq O(r^2)$$

^{**} These estimates are crude; by being more careful it is possible to lower the $2+\epsilon$ to any $\epsilon > 0$. The computation of $T_1(r)$ was shown to me by Jim Carlson.

for any N . The dominant role of the *inflection points* on V may be clearly seen in this example, both geometrically and by the computation of the term $S(r)$ appearing in our main theorem.

The curve $V \subset \mathbb{C}^3$ will be an infinite union $V = \bigcup_{i=1}^{\infty} V_i$ of algebraic curves. Explicitly, we have for V_i ,

$$V_i = \{(z_1, z_2, z_3) \in \mathbb{C}^3 : z_1 = 2^i, z_2 = 3^{-c_i} z_1^{c_i}\}$$

where $\{c_i\}$ forms a strictly increasing sequence of positive integers to be chosen later. Parametrically, V_i is given by

$$(23) \quad \begin{cases} z_1 = 2^i, \\ z_2 = \zeta, \\ z_3 = 3^{-c_i} \zeta^{c_i}, \end{cases}$$

and is thus a rational curve of order c_i . The 2-plane A is given by $z_3 = 0$, and thus

$$A \cap V = \sum_i c_i (2^i, 0, 0).$$

For the counting function we consequently obtain

$$(24) \quad n(A \cap V[r]) = \sum_{2^i \leq r} c_i,$$

and thus we may make $n(A \cap V[r])$ grow as fast as we wish by choosing the c_i properly.

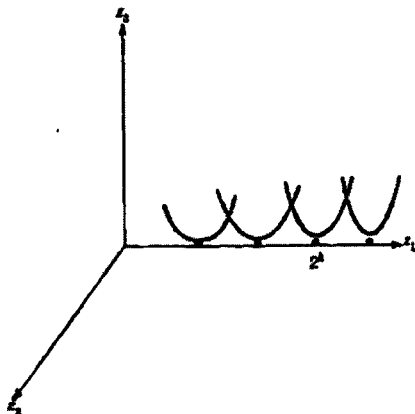


FIG. 1

Referring to (23), for the vectors $z(\zeta)$, $z'(\zeta)$, $z''(\zeta)$ we find respectively

$$\begin{cases} z(\zeta) = [2^i, \zeta, 3^{-c_i} \zeta^{c_i}], \\ z'(\zeta) = [0, 1, c_i 3^{-c_i} \zeta^{c_i-1}], \\ z''(\zeta) = [0, 0, c_i(c_i - 1) 3^{-c_i} \zeta^{c_i-2}]. \end{cases}$$

Throughout we will replace the multiplicative constants $c_i - 1$ by c_i for

simplicity of notation; this will not affect calculation of rates of growth. When this is done, then

$$\begin{cases} z \wedge z' = [2^h, 2^{2h} 3^{-e_1} \zeta^{e_h-1}, c_h 3^{-e_1} \zeta^{e_h}] , \\ z \wedge z' \wedge z'' = 2^h c_h^2 3^{-e_1} \zeta^{e_h-2} , \end{cases}$$

and

$$(25) \quad \begin{cases} |z|^2 = 2^{2h} + |\zeta|^2 + 3^{-2e_1} |\zeta|^{2e_h} , \\ |z \wedge z'|^2 = 2^{2h} + 2^{2h} c_h^2 3^{-2e_1} |\zeta|^{2e_h-2} + c_h^2 3^{-2e_1} |\zeta|^{2e_h} , \\ |z \wedge z' \wedge z''|^2 = 2^{2h} c_h^4 3^{-4e_1} |\zeta|^{2e_h-4} . \end{cases}$$

Referring to (25), we see that at the points of $A \cap V$, both $|z|^2$ and $|z \wedge z'|^2$ are $\geq 2^{2h}$ but $|z \wedge z' \wedge z''|^2$ has a zero of order $2e_h - 4$. Thus these points are inflection point of increasingly high order.

Now for the forms Ω_0, Ω_1 we have (cf. equation (29) in [4])

$$(26) \quad \begin{cases} \Omega_0 = \frac{|z \wedge z'|^2}{|z|^4} \left(\frac{\sqrt{-1}}{2\pi} d\zeta \wedge d\bar{\zeta} \right) , \\ \Omega_1 = \frac{|z|^2 |z \wedge z' \wedge z''|^2}{|z \wedge z'|^4} \left(\frac{\sqrt{-1}}{2\pi} d\zeta \wedge d\bar{\zeta} \right) , \\ h = \frac{|z \wedge z'|^2}{|z|^2 |z \wedge z' \wedge z''|^2} . \end{cases}$$

Referring to (25), on $V[r]$ we have

$$(27) \quad \begin{cases} h = \frac{\log r}{\log 2} , \\ |\zeta|^2 \leq r^2 , \\ 3^{-2e_1} |\zeta|^{2e_h} \leq r^2 . \end{cases}$$

To estimate the rate of growth of $\int_{V[r]} \Omega_0$ we write

$$(28) \quad \int_{V[r]} \Omega_0 \leq \left(\frac{\log r}{\log 2} \right) \left\{ \max_{\lambda} \int_{V[\lambda r]} \Omega_0 \right\} ,$$

and similarly for $\int_{V[r]} \Omega_1$. On V_λ ,

$$(29) \quad \frac{|z \wedge z'|^2}{|z|^4} = \frac{2^{2h}}{|z|^4} + \frac{2^{2h} c_h^2 3^{-2e_1} |\zeta|^{2e_h-2}}{|z|^4} + \frac{c_h^2 3^{-2e_1} |\zeta|^{2e_h}}{|z|^4}$$

and the first term on the right hand side is ≤ 1 and contributes $\leq r^2$ to $\int_{V_\lambda[r]} \Omega_0$. For $|\zeta| \leq 1$, the second and third terms on the right hand side of (29) are $\leq c_h^2 3^{-2e_1}$ which goes to zero as $h \rightarrow \infty$. Thus these terms contribute $\leq (\text{constant})$ to $\int_{V_\lambda[r]} \Omega_0$. Finally, for $|\zeta| \geq 1$,

$$(30) \quad c^2 3^{-2\sigma^2} |\zeta|^{2\sigma} = c^2 (3^{-2\sigma^2})^{1/\sigma} (3^{-2\sigma^2})^{(\sigma-1)/\sigma} (|\zeta|^{2\sigma})^{(\sigma-1)/\sigma} |\zeta|^2$$

where

$$c^2 (3^{-2\sigma^2})^{1/\sigma} = c^2 3^{-2\sigma} \longrightarrow 0, \quad \text{as } c \longrightarrow \infty,$$

and by (27)

$$(3^{-2\sigma^2})^{(\sigma-1)/\sigma} (|\zeta|^{2\sigma})^{(\sigma-1)/\sigma} \leq (r^2)^{(\sigma-1)/\sigma} \leq r^2, \quad \text{for } r \geq 1.$$

On the other hand, $|z|^4 \geq 2^{2h} |\zeta|^2 + |\zeta|^4$ so that the second and third terms on the right hand side of (29) are $\leq (\text{constant}) r / |\zeta|^2$ and contribute $\leq (\text{constant})(\log r) r^2$ to $\int_{V_h[r]} \Omega_0$. In summary,

$$\int_{V[r]} \Omega_0 \leq C(\log r) r^2.$$

It follows that $T_0(r) \leq C r^{2+\epsilon}$.

As for $\int_{V_h} \Omega_1$, we have

$$\frac{|z|^2 |z \wedge z' \wedge z''|}{|z \wedge z'|^4} + \frac{2^{2h} c_h^4 3^{-2\sigma^2 h} |\zeta|^{2\sigma h-4}}{|z \wedge z'|^4} + \frac{2^{2h} c_h^4 3^{-2\sigma^2 h} |\zeta|^{2\sigma h-2}}{|z \wedge z'|^4} + \frac{2^{2h} c_h^4 3^{-4\sigma^2 h} |\zeta|^{4\sigma h-4}}{|z \wedge z'|^4}.$$

For $|\zeta| \leq 1$, this is $\leq (\text{constant})$ since

$$c_h^4 3^{-2\sigma^2 h} \longrightarrow 0 \quad \text{as } h \longrightarrow \infty.$$

For $|\zeta| \geq 1$, the first two terms are $\leq (\text{constant}) r^2 / |\zeta|^2$ by (30) and (27). The third term is less than or equal to

$$c_h^4 3^{-2\sigma^2 h} |\zeta|^{2\sigma h-4}$$

since

$$|z \wedge z'|^4 \geq 2^{2h} c_h^2 3^{-2\sigma^2 h} |\zeta|^{2\sigma h}.$$

Then

$$c_h^4 3^{-2\sigma^2 h} |\zeta|^{2\sigma h-4} \leq (\text{constant}) r^2 / |\zeta|^2$$

by (30) again. In summary, the term $\int_{V_h} \Omega_1$ is $\leq (\text{constant})(\log r) r^2$ and so $T_1(r) \leq C r^{2+\epsilon}$. This shows that both $T_0(r)$ and $T_1(r)$ are $O(r^{2+\epsilon})$.

As for the term

$$S(r) = \int_{V[r]} \log^+ h \Omega_0,^{51}$$

from (25) and (26) we have on $V[r]$ that

$$h \geq \frac{2^{2h}}{r^2 2^{2h} c_h^4 3^{-2\sigma^2 h} |\zeta|^{2\sigma h-4}},$$

⁵¹ Here we are using boldface for the function h given by (12), in order to distinguish the function h from the index h .

and

$$\log h \geq 2c_k^2 \log 3 + (2c_k - 4) \log \frac{1}{|\zeta|} - 6 \log r - 4 \log c_k.$$

The term $-4 \log c_k$ is small relative to $2c_k^2 \log 3$ and will be ignored. Now

$$\Omega_0 \geq \frac{2^{2k}}{r^4} \left(\frac{\sqrt{-1}}{2\pi} d\zeta \wedge d\bar{\zeta} \right),$$

so that

$$\begin{aligned} S(r) &= \int_{r(r)} \log^+ h \Omega_0 \geq \sum_{2^k \leq r^2} \left(\int_{\substack{\zeta \in V_k \\ |\zeta| \leq 1}} \log h \Omega_0 \right) \\ &\geq \sum_{2^k \leq r^2} \frac{2^{2k}}{r^4} (2c_k^2 \log 3 + 2c_k - 6 \log r + C). \end{aligned}$$

The terms involving $2c_k$, $6 \log r$, and C are relatively negligible, so that, approximately,

$$S(r) \geq \sum_{k \leq \log r / \log 2} c_k^2 \left(\frac{2^{2k}}{r^4} \right).$$

Comparing this with (24), we see that $S(r)$ is the dominant term in our Bezout estimate.

8. Miscellany

(a) *Comments on the various Bezout problems.* In Section 2 we discussed the following two questions: (i) Given entire holomorphic functions $f_1, \dots, f_k \in \mathcal{O}(C^n)$, estimate the size of the zero set

$$V = \{z \in C^n : f_1(z) = \dots = f_k(z) = 0\}$$

in terms of the growth of the f_i 's; and (ii) Given analytic sets $V, W \subset C^n$, estimate the size of the intersection $V \cap W$ in terms of the sizes of V, W . In that section we remarked that (ii) could be reduced to the case where W is a linear subspace of C^n , and also that (i) is a special case of (ii). Of course, in all of this the notion of the "size" of an analytic set has been left somewhat ambiguous. The most primitive concept of size is the order of growth of the function

$$\mu(V, r) = \frac{\text{Euclidean volume of } V[r]}{r^{2n-2k}}$$

where $\text{codim}(V) = k$. Now $\mu(V, r)$ is the analogue for analytic sets of the *degree* of an algebraic variety in C^n , and seems to be a satisfactory notion for measuring size when $\text{codim}(V) = 1$. Moreover, a recent result of H. Skoda [11] states that for a given V , we may write

$$V = \{z \in \mathbb{C}^n: f_1(z) = \cdots = f_{n+1}(z) = 0\}$$

where the modulus of the f_j 's grows essentially like $\mu(V, r + \varepsilon)r^{2-2(n-k)}$. In particular, if "size" is taken to mean the growth of $\mu(V, r)$, then problems (i) and (ii) above are roughly equivalent.

However, as suggested by the Cornalba-Shiffman counterexamples, in case $\text{codim}(V) > 1$ the concept of size of V should probably involve more than just the growth of $\mu(V, r)$. Roughly speaking, it seems necessary to measure not only the *volume* of $V[r]$, but also the *directions* in which V is going to infinity. For example, suppose that we let $P^{n-1}(\infty)$ be the hyperplane at infinity in $P^n \supset \mathbb{C}^n$, \bar{V} the closure of V in P^n , and consider the intersection $\bar{V} \cap P^{n-1}(\infty)$. In case $\text{codim}(V) = 1$, either $\bar{V} \cap P^{n-1}(\infty) = P^{n-1}(\infty)$ or else V is algebraic. If $\text{codim}(V) = k > 1$, then of course $\bar{V} \cap P^{n-1}(\infty)$ may be equal to $P^{n-1}(\infty)$, but it may also happen that $\bar{V} \cap P^{n-1}(\infty)$ misses a P^{k-1} contained in $P^{n-1}(\infty)$ without V being algebraic. To put matters another way, in codimension one, as in functions of one complex variable, the character of an essential singularity is qualitatively rather simple: *the closure must be everything*. If the codimension is larger than one, then this *Casorati-Weierstrass phenomenon* no longer holds, and the general character of an essential singularity is evidently more complicated. It may be that some understanding of the Bezout problem would result from a deeper qualitative study of such essential singularities, in which study the Bishop-Stoll and Remmert-Stein theorems should appear as the simplest special cases.

(b) *Remarks on the zeroes of two entire functions on \mathbb{C}^2 .*

(i) Let $f_1(z_1, z_2)$ and $f_2(z_1, z_2)$ be two holomorphic functions on \mathbb{C}^2 and consider the set of common zeroes

$$Z = \{z: f_1(z) = f_2(z) = 0\},$$

assumed to be a discrete set of points. In this case, it would seem that the "size" of Z can only mean the number $n(r)$ of points in $Z[r] = Z \cap \{|z| \leq r\}$ together with the distribution of the lines through the origin on which the points of Z lie. With this notion of size, there may be a Bezout theorem, whereas there is not one for $n(r)$ alone.

In this connection, an interesting observation has been made by Cornalba. Suppose that

$$n(r) \sim cr^\lambda$$

for some λ (so that Z is of finite order λ). Pan [10] has proved that Z may be defined by three functions g_1, g_2, g_3 of finite order $\leq \lambda$. If, for example, all of the points of Z lie on a line, then one of the g 's must have order ex-

actly λ because of the relationship between the number of zeroes and growth of the maximum modulus of a function of one complex variable. On the other hand, if the points of Z are *evenly distributed* on the lines through the origin, then, as Cornalba proved, we may take the g 's all to have order $< \lambda$. This gives an easily understandable counterexample to a sharp form of the Bezout problem, and also motivates the above loose definition of "size" of Z .

(ii) Continuing with the discussion of the common zeroes of two entire functions f_1 and f_2 on C^2 , we may consider $f = (f_1, f_2)$ as a holomorphic mapping $f: C^2 \rightarrow P^2$ and try to apply value distribution theory to f to study the size of $Z_A = f^{-1}(A)$ for $A \in P^2$. Letting Ω be the Kähler metric on P^2 , the *order function*

$$T(r) = \int_0^r \left\{ \int_{|z| \leq t} f^*(\Omega \wedge \Omega) \right\} \frac{dt}{t}$$

represents the average $\int_{A \in P^2} N(A, r) dA$ of the counting function for Z_A over $A \in P^2$. Letting $\varepsilon(T(r))$ be a quantity with

$$\lim_{r \rightarrow \infty} \frac{\varepsilon(T(r))}{T(r)} = 0,$$

a variant of the Bezout problem is to ask if there is an estimate of the form

$$(31) \quad N(A, r) < T(r) + \varepsilon(T(r))$$

for all $A \in P^2$. If (23) were to hold, then integrating with respect to A gives

$$(32) \quad T(r) = \int_{A \in P^2} N(A, r) dA = \int_{A \in f(C^2)} N(A, r) dA < \text{vol}[f(C^2)] T(r) + \varepsilon(T(r))$$

where it is always assumed that $\text{vol}(P^2) = 1$. From (24) it follows that $\text{vol}[f(C^2)] = 1$ so that the image $f(C^2)$ is dense in P^2 . But Fatou and Bieberbach [3] have given an example of $f: C^2 \rightarrow P^2$ whose image omits an open set. For this f , there is no estimate of the form (31) which is quite reasonable geometrically, since if an open set of points $A \in P^2$ fails to be covered by f , then some other points must be covered more than on the average in order to compensate for this.

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