

Bonn  
December 17, 1970

Dear Lang,

It occurred to me after I sent you the last letter that it would be worthwhile to see if the considerations in it agreed with Serre's suggestions about the form of the  $\Gamma$ -factor. I just want to explain now that as far as the matter can be checked formally everything is alright.

I had better explain first in more detail, at least for a group over  $\mathbf{R}$ , how one goes about defining the dual group and, in the cases of interest to us, local zeta-functions corresponding to representations of this group.

$\tilde{G}$  will be a semi-simple Lie group over  $\mathbf{C}$  with Lie algebra  $\tilde{\mathfrak{g}}$  and fixed Cartan subalgebra  $\tilde{\mathfrak{h}}$ . Fix also an order on the roots and hence a positive Weyl chamber. Choosing a Chevalley basis with root vectors  $e_\alpha$  we obtain a real (even rational) structure on  $\tilde{\mathfrak{g}}$  and hence on  $\tilde{G}$ . Let  $C_0$  be the complex anti-linear involution on  $\tilde{\mathfrak{g}}$  with respect to this structure.  $C_0$  determines the split real structure on  $\tilde{\mathfrak{g}}$  of  $\tilde{G}$ . Any other real structure can be obtained by an involution  $C$  which maps  $\tilde{\mathfrak{h}}$  into itself.  $C = IC_0$  where  $I$  is an automorphism of  $\tilde{\mathfrak{g}}$ . The real structure is inner or outer according as  $I$  is inner or outer. Let  $L_+$  be the lattice of weights of  $\tilde{\mathfrak{h}}$ —(or  $\tilde{\mathfrak{g}}$ ),  $L_-$  the sublattice generated by the roots and  $L$ , where  $L_- \subseteq L \subseteq L_+$ , the weights of  $\tilde{\mathfrak{g}}$  which actually define weights of  $\tilde{G}$ .  $\alpha$  denotes a root. We have the following scheme

$$\begin{array}{ccc}
 \alpha & & \hat{\alpha} \\
 \cap & & \cap \\
 L_- & \hat{L}_- = \text{Hom}(L_+, \mathbf{Z}) & \\
 \cap & \cap & \hat{\alpha}(\lambda) \text{ (or } \langle \lambda, \hat{\alpha} \rangle \text{) is } 2 \frac{(\lambda, \alpha)}{(\alpha, \alpha)} \\
 L & L = \text{Hom}(L, \mathbf{Z}) & \text{if } \lambda \in L_+. \text{ } (\cdot, \cdot) \text{ is the} \\
 \cap & \cap & \text{Killing form on } \tilde{\mathfrak{h}}. \\
 L_+ & L_+ = \text{Hom}(L_-, \mathbf{Z}) &
 \end{array}$$

We may suppose that  $\hat{\alpha}$  is simple or positive only when  $\alpha$  is. There is a semi-simple Lie algebra  $\hat{\mathfrak{g}}$  and a corresponding group  $\hat{G}_0$  and Cartan subalgebra  $\hat{\mathfrak{h}}$  for which the roofed objects have the same significance as the unroofed for  $\tilde{\mathfrak{g}}$ ,  $\tilde{G}$ , and  $\tilde{\mathfrak{h}}$ . The Weyl groups of  $\hat{\mathfrak{h}}$  in  $\hat{\mathfrak{g}}$  and  $\tilde{\mathfrak{h}}$  in  $\tilde{\mathfrak{g}}$  are isomorphic ( $\sigma \leftrightarrow \hat{\sigma}$ ) in such a way that  $\langle \sigma\lambda, \hat{\sigma}\hat{\lambda} \rangle = \langle \lambda, \hat{\lambda} \rangle$ .  $\hat{G}_0$  is the connected component of the dual group for any real form  $G$  of  $\tilde{G}$ . Let  $G$  be determined by the involution  $C = IC_0$ .  $I$  is the product of an inner automorphism normalizing  $\tilde{\mathfrak{h}}$  and an outer automorphism permuting the positive simple roots of  $\tilde{\mathfrak{h}}$ . Every such permutation naturally determines a permutation of the positive simple  $\hat{\alpha}$  and hence an automorphism of  $\hat{G}_0$  (called the straight extension in Freudenthal-de Vries). We take  $\hat{G}$  to be the corresponding split extension of  $\hat{G}_0$  so that  $[\hat{G} : \hat{G}_0] = 2$  and  $\hat{G} = \hat{G}_0 \cup \omega \hat{G}_0$  where  $\omega^2 = 1$  (I note that two forms which differ by an inner twisting have the same dual group. I also observe that, according to

the definitions of my Washington lecture,  $\widehat{G}$  is not uniquely determined—if  $G$  is inner one is free to take  $\widehat{G}_0 = \widehat{G}$ —however the present choice is here convenient.)  $\omega$  corresponds to an automorphism of the Dynkin diagram and therefore also to a permutation of the highest weights  $\widehat{\lambda} \rightarrow \omega\widehat{\lambda}$ . Let  $\widehat{\sigma}_0$  be an irreducible representation of  $\widehat{G}_0$  with highest weight  $\widehat{\lambda}$ . Then  $\widehat{\sigma}_0(\omega g \omega^{-1})$  has highest weight  $\omega\widehat{\lambda}$ . If  $\widehat{\lambda} = \omega\widehat{\lambda}$  there is an  $A$  such that

$$A\widehat{\sigma}_0(g)A^{-1} = \widehat{\sigma}_0(\omega g \omega^{-1}).$$

$A$  takes the vector corresponding to  $\lambda$  to a scalar multiple of itself. We may suppose that this scalar is  $\pm 1$  so that  $A^2 = 1$ . Setting  $\widehat{\sigma}(g) = \widehat{\sigma}_0(g)$  and  $\widehat{\sigma}(\omega) = A$  we obtain a representation  $\widehat{\sigma}$  of  $\widehat{G}$  (that with highest weight  $\widehat{\lambda}$ .) If  $\widehat{\lambda} \neq \omega\widehat{\lambda}$  set

$$\widehat{\sigma}(g) = \begin{pmatrix} \widehat{\sigma}_0(g) & 0 \\ 0 & \widehat{\sigma}_0(\omega g \omega^{-1}) \end{pmatrix} \quad g \in \widehat{G}_0,$$

$$\widehat{\sigma}(\omega) = \pm \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix}.$$

$\widehat{\sigma}$  is irreducible and is said to have the highest weight  $\widehat{\lambda}$  (or  $\omega\widehat{\lambda}$ ). These are the only representations of  $\widehat{G}$  we need to consider.

We are interested at first in the case that  $G = G_{\text{un}}$  is the compact form. Then the effect of  $I$  is to take every root into its negative.  $G_{\text{un}}$  is inner if and only if this can be effected by an element of the Weyl group. When  $G = G_{\text{un}}$  then  $\widehat{\mu}$  is a root of  $\widehat{\sigma}$  only when  $-\widehat{\mu}$  is also. Moreover  $\omega\widehat{G}_0$  contains an element  $\epsilon$  which normalizes  $\widehat{\mathfrak{h}}$  and sends roots to their negatives.  $\epsilon$  is determined up to conjugacy by an element of  $\exp \widehat{\mathfrak{h}} = \widehat{T}_0$ .

I want to describe how in accordance with the suggestions of my Washington lecture the irreducible representations of  $G_{\text{un}}$  correspond to certain homomorphisms of the Weil group  $W_{\mathbf{C}/\mathbf{R}}$  into  $\widehat{G}$ . Let  $W_{\mathbf{C}/\mathbf{R}} = \mathbf{C}^\times \cup \delta\mathbf{C}^\times$  where  $\delta^2 = -1$ . I consider only homomorphisms  $\tau$  which map  $\mathbf{C}^\times$  into  $\widehat{T}_0$  and  $\delta$  into  $\epsilon$ . If  $\widehat{\mu}$  belongs to  $\widehat{L}$  and  $\xi_{\widehat{\mu}}$  is the corresponding rational character of  $\widehat{T}_0$  there is an  $m(\widehat{\mu}) \in \mathbf{Z}$  such that

$$\xi_{\widehat{\mu}}(\tau(z)) = \left( \frac{z}{|z|} \right)^{m(\widehat{\mu})}.$$

Define  $2\Lambda \in L$  by  $m(\widehat{\mu}) = \langle 2\Lambda, \widehat{\mu} \rangle$  for every  $\widehat{\mu}$ . Then

$$\xi_{\widehat{\mu}}(\tau(-1)) = (-1)^{\langle 2\Lambda, \widehat{\mu} \rangle} = \xi_{\widehat{\mu}}(\epsilon^2).$$

$\epsilon^2$  does not depend on the choice of  $\epsilon$ . By some messy calculations involving classification one can show that

$$\xi_{\widehat{\mu}}(\epsilon^2) = (-1)^{\langle 2\rho, \widehat{\mu} \rangle}$$

if  $2\rho$  is the sum of the positive roots of  $\widehat{\mathfrak{h}}$ . Thus  $2\Lambda - 2\rho$  is even for all  $\widehat{\mu}$  in  $\widehat{L}$  and  $\Lambda - \rho$  is in  $L$ . Of course any  $\Lambda$  satisfying this condition arises from a suitable  $\tau$ . The irreducible representation  $\pi(\tau)$  of  $G_{\text{un}}$  corresponding to  $\tau$  is (this is a definition) the representation with natural parameter  $\Lambda$ . To obtain this representation one chooses  $w$  in the Weyl group so that  $w\Lambda$  lies in the positive Weyl chamber. The representation with “natural” parameter  $\Lambda$  is that with highest weight  $w\Lambda - \rho = w(\Lambda - \rho) + w\rho - \rho$  ( $w\rho - \rho \in L_- \subseteq L$ ). Thus  $\pi(\tau)$  is only defined if  $\Lambda$  is non-singular. (This fits perfectly well with my Washington lecture since  $G_{\text{un}}$  is not quasi-split.)

If  $G$  is any form with compact Cartan subgroup then  $G$  is an inner form of  $G_{\text{un}}$ ; so  $\widehat{G}$  is defined as above. In this case the representations of  $G$  corresponding to  $\tau$  are those members of the discrete series for  $G$  with a parameter  $\Lambda'$  which equals  $w\Lambda$  for some  $w$  in the (complex) Weyl group of  $G$ . We set (a definition)

$$L(s, \pi(\tau), \widehat{\sigma}) = L(s, \widehat{\sigma} \circ \tau)$$

if  $\widehat{\sigma}$  is a complex-analytic representation of  $\widehat{G}$ .  $L(s, \widehat{\sigma} \circ \tau)$  is the  $\Gamma$ -factor (defined as in my notes on Artin  $L$ -functions) for the representation  $\widehat{\sigma} \circ \tau$  of  $W_{\mathbf{C}/\mathbf{R}}$ . We consider those  $\widehat{\sigma}$  introduced above. Every time that a non-zero weight  $\widehat{\mu}$  occurs in  $\widehat{\sigma}$  so does  $-\widehat{\mu}$ . Thus the representation

$$\text{Ind}(W_{\mathbf{C}/\mathbf{R}}, W_{\mathbf{C}/\mathbf{C}}, \delta_{\langle \widehat{\mu}, 2\Lambda \rangle})$$

with

$$\delta_m(z) = \left( \frac{z}{|z|} \right)^m : \mathbf{C}^\times \rightarrow \mathbf{C}^\times$$

is included in  $\widehat{\sigma} \circ \tau$  as often as  $\widehat{\mu}$  is a weight of  $\widehat{\sigma}$ . Therefore each time that  $\widehat{\mu}$  occurs one gets a factor

$$2(2\pi)^{-(s+|\langle \widehat{\mu}, \Lambda \rangle|)} \Gamma(s + |\langle \widehat{\mu}, \Lambda \rangle|)$$

in  $L(s, \widehat{\sigma} \circ \tau)$ . On the spaces corresponding to the weight 0,  $\widehat{\sigma} \circ \tau$  kills  $\mathbf{C}^\times$  and is a representation of  $\mathfrak{G}(\mathbf{C}/\mathbf{R}) = W_{\mathbf{C}/\mathbf{R}}/\mathbf{C}^\times$ . Every time that this representation contains the trivial representation we get a factor

$$\pi^{-\frac{1}{2}s} \Gamma\left(\frac{s}{2}\right)$$

and every time that it contains the non-trivial representation we get a factor

$$\pi^{-\frac{1}{2}(s+1)} \Gamma\left(\frac{s+1}{2}\right)$$

Notice that if the weight 0 does not occur in  $\widehat{\sigma}_0$  the function  $L(s, \pi(\tau), \widehat{\sigma})$  depends only on  $\widehat{\sigma}_0$ .

We have to apply this to the representation  $\widehat{\sigma}_0 = \sigma_0$  introduced in my last letter. According to the vague suggestions of that letter a certain part of the cohomology group in the middle dimension is broken up into  $d$ -dimensional subspaces. To compare with Serre's suggestion we have to see how much of this  $d$ -dimensional part corresponds to a given  $H^{p,q}$ . We arrange things so that  $\widetilde{\mathfrak{h}}$  corresponds to a compact Cartan subgroup of  $G$  and so that, with respect to the order chosen, every non-compact positive root is totally positive. (To fix ideas I have in mind the case that  $G$  is simple and defined over  $\mathbf{Q}$ . Presumably  $\dim \widehat{\sigma}_0 = \dim \widehat{\sigma}$  (with the given  $\widehat{\sigma}_0 = \sigma_0$ ) corresponds to the case that we should think of the Shimura variety  $\widetilde{V}$  as defined over  $\mathbf{Q}$  and  $\dim \widehat{\sigma}_0 = \frac{1}{2} \dim \widehat{\sigma}$  to the case that we should think of  $\widetilde{V}$  as defined over a certain imaginary quadratic extension of  $\mathbf{Q}$ —see remark in previous letter.)

The tangent space  $T$  at  $K \in K \setminus G = X$  breaks up into the direct sum of the holomorphic tangent vectors  $T^+$  and the anti-holomorphic  $T^-$ . If  $t = \frac{1}{2} \text{Real-dim } X$  then

$$\bigwedge^t T = \bigoplus_{p+q=t} \bigwedge^p (T^+) \otimes \bigwedge^q (T^-).$$

Let  $\rho_+$  be one-half the sum of the positive non-compact roots (with respect to the given order). This order determines a positive (or for us standard) Weyl chamber  $W$ . Take another

Weyl chamber  $W'$  and let  $\rho'$  be one-half the sum of the corresponding positive roots and  $\rho'_+$  one-half the sum of the corresponding non-compact positive roots. Choose  $w$  in  $W_G$ , the Weyl group of  $G_{\mathbf{C}}$ , so that  $wW = W'$  and let  $T_{\rho'}$  be the element of the discrete series corresponding to  $\rho'$ .  $T_{\rho'}$  depends only on the coset  $W_K w$ , if  $W_K \subseteq W_G$  is the Weyl group of  $K_{\mathbf{C}}$ . Let  $p$  positive non-compact roots with respect to  $W'$  be positive with respect to  $W$  and let  $q$  be negative. Then according to Matsushima's discussion (at least formally) and the remarks at the end of the last letter the representation of  $K$  with extreme weight  $2\rho'_+$  occurs with multiplicity one in  $\bigwedge^p T^+ \otimes \bigwedge^q T^-$  so that the one-dimensional part of the  $d$ -dimensional space contributed by  $T_{\rho'}$  lies in  $H^{p,q}$ .

However  $\dim \widehat{\sigma}_0 = [W_G : W_K] = d$  so that the weights of  $\widehat{\sigma}_0 (= \sigma_0)$  are  $\widehat{w}^{-1}\widehat{\mu}_0$ ,  $w$  varying over representations of  $W_K \backslash W_G$ . In particular 0 is not a weight. Finally

$$\langle \widehat{w}^{-1}\widehat{\mu}_0, 2\rho \rangle = \langle \widehat{\mu}_0, w(2\rho) \rangle = \langle \widehat{\mu}_0, 2\rho' \rangle = \langle \widehat{\mu}_0, 2\rho'_+ \rangle$$

because  $\widehat{\mu}_0$  annihilates compact roots. Because of the definition of  $\widehat{\mu}_0$

$$\langle \widehat{\mu}_0, 2\rho'_+ \rangle = p - q.$$

Moreover the relevant part of the Hasse-Weil(?) zeta-function should be

$$L\left(s - \frac{t}{2}, T_{\rho}, \widehat{\sigma}\right) \quad \text{with } t = \frac{1}{2} \dim X = p + q$$

if  $\sigma$  is the representation constructed from  $\widehat{\sigma}_0$  as above. Since

$$-\frac{p+q}{2} + \frac{|p-q|}{2} = -\inf(p, q),$$

we are in perfect agreement with Serre (Sem. Delange-Pisot-Poitou—May 1970). Of course this supposes that the previous parenthetical remarks are O.K. When  $\dim \widehat{\sigma}_0 = \dim \widehat{\sigma}$  so that, under the circumstances mentioned,  $\widetilde{V}$  is to be thought of as defined over  $\mathbf{Q}$  one has also to check the effect of Serre's  $\sigma$  on  $H^{\frac{t}{2}, \frac{t}{2}}$  (when  $t$  is even). This one can of course do only in those cases where Shimura has actually defined  $\widetilde{V}$ . I have not yet checked anything.

Yours truly,

Bob Langlands

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