## NOTES ON THE KNAPP-ZUCKERMAN THEORY

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The point of these notes is to redefine some of their concepts in terms of the *L*-group. I observe, however, that it is best and indeed essential for further applications that their results be formulated for reductive groups rather than just for simply-connected semi-simple groups. I use the notation of *CIRRAG* (On the classification of irreducible representations of real algebraic groups) modified sometimes according to Borel's suggestions.

Since we are dealing with tempered representations we start from  $\varphi: W_{\mathbf{C}/\mathbf{R}} \to {}^L G$  with image which is essentially compact. We suppose  $\varphi$  defines an element of  $\Phi(G)$ . Choose a parabolic  ${}^L P$  in  ${}^L G$  which is minimal with respect to the property that  $\varphi(W_{\mathbf{C}/\mathbf{R}}) \subseteq {}^L P$ . The group  ${}^L P$  defines P and M. Let  $\rho$  (with character  $\Theta$ ) be one of the representations of M associated to  $\varphi$ . Thus  $\rho \in \Pi_{\varphi}$ , if  $\varphi$  is regarded as taking  $W_{\mathbf{C}/\mathbf{R}}$  to  ${}^L M$ . It is

$$\operatorname{Ind}(G, P, \rho)$$

that Knapp-Zuckerman study.

They define W on p. 3, formula [2] of their paper Classification of irreducible tempered representations of semisimple Lie groups. We want another definition. For this we observe that  $\Omega_{\mathbf{C}}(T,G)$  is isomorphic to  $\Omega(^LT^0,^LG^0)$ . Here T is a CSG (Cartan subgroup) of M. We want to regard W as a subgroup [2] of the latter group. We may assume, along the lines of CIRRAG that  $\varphi(\mathbf{C}^{\times}) \subseteq {}^LT$ , that  $\varphi(W_{\mathbf{C}/\mathbf{R}})$  normalizes  ${}^LT$ , and that  ${}^LT \subseteq {}^LM$ , a chosen Levi factor of  ${}^LP$ .

**Lemma 1.** W is the quotient  $Norm(^LT) \cap Cent \varphi(W_{\mathbf{C}/\mathbf{R}})/^LT^0 \cap Cent \varphi(W_{\mathbf{C}/\mathbf{R}})$ , the normalizer and centralizer being taken in  $^LG^0$ .

Let  $\{1, \sigma\}$  be  $\mathfrak{G}(\mathbf{C}/\mathbf{R})$  so that  $W_{\mathbf{C}/\mathbf{R}}$  is generated by  $\mathbf{C}^{\times}$  and  $\sigma$  with  $\sigma^2 = -1$ . As on pages 48 and 49 of CIRRAG with M replacing G the homomorphism  $\varphi$  is defined by  $\mu$ ,  $\nu$  with  $\nu = \varphi(\sigma)\mu$  and by  $\lambda_0$ . If  $\omega$  in  $\Omega_{\mathbf{R}}(T,G)$  normalizes M then

$$\omega \in W \iff \omega \rho \sim \rho \iff \omega \mu = \omega_1 \mu, \ \omega \lambda_0 \equiv \omega_1 \lambda_0 \mod \left( {}^L\!X_* + \left( 1 - \varphi(\sigma) \right) ({}^L\!X_* \otimes \mathbf{C}) \right)$$

with  $\omega_1 \in \Omega_{\mathbf{R}}(T, M)$  and  ${}^L\!X_* = \mathrm{Hom}\big(GL(1), {}^LT\big)$ . Replace  $\omega$  by  $\omega_1^{-1}\omega$ . Since  $\omega$  normalizes M,

$$\varphi(\sigma)\omega = \omega\varphi(\sigma)$$

on  ${}^L\!X_*$  and

$$\omega \mu = \mu \iff \omega \mu = \mu, \omega \nu = \nu \iff w \varphi(z) = \varphi(z) w \text{ for } z \in \mathbf{C}^{\times}$$

if  $w \in {}^LG^0$  represents  $\omega$ . We write

$${}^{L}M = {}^{L}M^{0} \rtimes W_{\mathbf{C}/\mathbf{R}}[3]$$

and let

$$\varphi(\sigma)=a\rtimes\sigma$$

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with

$$\lambda^{\vee}(a) = e^{2\pi i \langle \lambda_0, \lambda^{\vee} \rangle}.$$

By the first paragraph on p. 37 of Problems in the theory of automorphic forms we may choose w so that  $w\sigma = \sigma w$ . But this is the wrong choice. We should choose  $\omega(a) = \sigma(b)b^{-1}a$ . Replace w by bw then

$$w\varphi(\sigma)w^{-1} = \sigma(b)b^{-1}ab\sigma(b)^{-1} \rtimes \sigma = a \rtimes \sigma = \varphi(\sigma).$$

In other words this new choice of w satisfies

$$w\varphi(v)w^{-1} = \varphi(v) \quad \forall v \in W_{\mathbf{C}/\mathbf{R}}.$$

Since  $\omega \in \Omega_{\mathbf{R}}(T, M)$  and  $\omega \mu = \mu$  imply that  $\omega = 1$  we have found

$$W \hookrightarrow \operatorname{Norm}(^{L}T^{0}) \cap \operatorname{Cent} \varphi(W_{\mathbf{C}/\mathbf{R}})/^{L}T^{0} \cap \operatorname{Cent} \varphi(W_{\mathbf{C}/\mathbf{R}}).$$

To obtain the full lemma we have only to show that if w lies in  $\operatorname{Norm}(^LT^0) \cap \operatorname{Cent} \varphi(W_{\mathbf{C}/\mathbf{R}})$  then the corresponding element of the Weyl group stabilizes M and lies in  $\Omega_{\mathbf{R}}(T,G)$ . It stabilizes  $^LM$  because [4]  $\alpha^{\vee}$  is a root of  $^LM$  if and only if  $\varphi(\sigma)\alpha^{\vee} = -\alpha^{\vee}$ . Hence it stabilizes M. By Lemma 5.2 of Shelstad's thesis

$$\omega = \omega_1 \omega_2$$

with  $\omega_1 \in \Omega_{\mathbf{C}}(T, M), \, \omega_2 \in \Omega_{\mathbf{R}}(T, G)$ . Then

$$w\varphi = \varphi \implies \omega_1^{-1}\mu = \omega_2\mu, \ \omega_1^{-1}\nu = \omega_2\nu, \ \omega_1^{-1}\lambda_0 \equiv \omega_2\lambda_0.$$

Another lemma of Shelstad implies that  $\omega_1 \in \Omega_{\mathbf{R}}(T, M)$ . Hence

$$\omega \in \Omega_{\mathbf{R}}(T,G).$$

The advantage of introducing the L-group appears immediately when Knapp's R-group is discussed. Let S be the centralizer of  $\varphi(W_{\mathbf{C}/\mathbf{R}})$  in  ${}^LG^0$  and  $S^0$  the connected component.

**Lemma 2.** If G is semi-simple and simply-connected then the R-group is  $S/S^0$ .

Let  ${}^{L}\mathfrak{t}$  be the Lie algebra of  ${}^{L}T$  and set

$$^{L}\mathfrak{t}=^{L}\mathfrak{t}_{+}+^{L}\mathfrak{t}_{-}.$$

where  ${}^L\mathfrak{t}_+$  and  ${}^L\mathfrak{t}_-$  are the +1 and -1 eigenspaces for  $\varphi(\sigma)$ . I claim that  ${}^L\mathfrak{t}_+$  which certainly lies in  $\mathfrak{s}$ , the Lie algebra of  $S^0$ , is in fact a Cartan subalgebra of  $S^0$ . Indeed [5]

$$\mathfrak{s} \subseteq {}^{L}\mathfrak{t}_{+} + \sum_{\langle \mu, \alpha^{\vee} \rangle = \langle \nu, \alpha^{\vee} \rangle = 0} \mathbf{C} X_{\alpha^{\vee}}.$$

If  $\langle \mu, \alpha^{\vee} \rangle = \langle \nu, \alpha^{\vee} \rangle = 0$  then  $\alpha^{\vee}$  cannot be a root of  ${}^LT$  in  ${}^LM$ . Hence

$$\varphi(\sigma)\alpha^{\vee} \neq -\alpha^{\vee}$$

and  $\alpha^{\vee}$  is not 0 on  ${}^{L}\mathfrak{t}_{+}$ . The assertion follows.

We may identify  $\operatorname{Hom}(^L\mathfrak{t}, \mathbf{C})$  with  $\mathfrak{t} \otimes \mathbf{C}$  as a  $\mathfrak{G}(\mathbf{C}/\mathbf{R})$ -module if  $\mathfrak{t}$  is the Lie algebra of T. If  $\alpha^{\vee}$  is a root of  $^LT^0$  in  $^LG^0$  with  $\varphi(\sigma)\alpha^{\vee} \neq -\alpha^{\vee}$  set

$$\mathfrak{a}_{\alpha^{\vee}} = ({}^{L}\mathfrak{t}_{-} + \mathbf{C}\alpha^{\vee})^{\perp}.$$

Then  $G_{\alpha^{\vee}}$  the centralizer of  $\mathfrak{a}_{\alpha^{\vee}}$  in G is defined over  $\mathbf{R}$  and M is the Levi factor of a maximal PSG of  $G_{\alpha^{\vee}}$ . Let  $\mu(\rho, \alpha^{\vee})$  be the value of the Plancherel measure for

$$\operatorname{Ind}(G_{\alpha^{\vee}}(\mathbf{R}), M(\mathbf{R}), \rho).$$

Let

$$\mathfrak{X}_{\alpha^{\vee}} = \{ \beta^{\vee} \mid \varphi(\sigma)\beta^{\vee} \neq -\beta^{\vee}, G_{\beta^{\vee}} = G_{\alpha^{\vee}} \}.$$

The centralizer of  $L_{\mathfrak{t}_+}$  is

$$^{L}\mathfrak{t}_{+}+\sum_{arphi(\sigma)lpha^{ee}=-lpha^{ee}}\mathbf{C}X_{lpha^{ee}}$$
[6]

and this is the Lie algebra of  ${}^{L}\!M$ . Moreover

$$S/S^0 \simeq \operatorname{Norm}_S({}^L\mathfrak{t}_+)/\operatorname{Norm}_{S^0}({}^L\mathfrak{t}_+).$$

If  $w \in \text{Norm}_S(^L \mathfrak{t}_+)$  then w normalizes  $^L M^0$  and centralizes  $\varphi(\mathbf{C}^{\times})$ . Consequently it normalizes  $^L \mathfrak{t}$  and we have

$$\operatorname{Norm}_S({}^L\mathfrak{t}_+)/{}^LT_+ \simeq W.$$

The lemma and indeed more will be established once the following facts are proved. They will be proved for any G.

- (i)  $\dim \mathfrak{s}_{\alpha^{\vee}} = \dim \left( \left( \sum_{\beta^{\vee} \in \mathfrak{X}_{\alpha^{\vee}}} \mathbf{C} X_{\beta^{\vee}} \right) \cap \mathfrak{s} \right) \leqslant 1.$
- (ii) It is equal to 1 if and only if  $\mu(\rho, \alpha^{\vee}) = 0$ .
- (iii) If it is one then  $\mathfrak{s}_{\alpha^{\vee}}$  defines a root space of  ${}^{L}\mathfrak{t}_{+}$  in  $\mathfrak{t}$ . The corresponding reflection in  ${}^{L}\mathfrak{t}_{+}$  is the same as that defined by the real root of T in  $G_{\alpha^{\vee}}$ .

There are a number of possibilities to consider.

(a)  $\mathfrak{X}_{\alpha^{\vee}}$  consists of a single element. Then  $\varphi(\sigma)\alpha^{\vee} = \alpha^{\vee}$  and  $\alpha$ , the corresponding root of T, is real. Since  $\sigma\mu = \nu$ ,  $\langle \mu, \alpha^{\vee} \rangle = \langle \nu, \alpha^{\vee} \rangle$  and  $\dim \mathfrak{s}_{\alpha^{\vee}} = 1$  if and only if  $\langle \mu, \alpha^{\vee} \rangle = 0$  and

$$\varphi(\sigma)X_{\alpha^{\vee}}=X_{\alpha^{\vee}}.$$
[7]

Certainly  $T(\mathbf{R})$  is not fundamental. According to the formula on p. 141 of Harish-Chandra's preprint *Harmonic analysis III*,  $\mu(\rho, \alpha^*)$  is 0 if and only if

$$\nu_{\alpha} = 0$$
 and  $\frac{(-1)^{\rho_{\alpha}}}{2} \left( \sigma_{a^*}(\gamma) + \sigma_{a^*}(\gamma^{-1}) \right) \neq 1.$ 

Now

$$\nu_{\alpha} = \langle \mu, \alpha^{\vee} \rangle.$$

Also  $\mathfrak{s}_{a^*}$  is now of dimension one and

$$\sigma_{a^*}(\gamma) = \sigma_{a^*}(\gamma^{-1}) = \chi(\alpha^{\vee}(-1)).$$

Here  $\chi$  is associated to  $\varphi:W_{\mathbf{C}/\mathbf{R}}\to {}^L\!\!M$  as on p. 50 of CIRRAG and if the definition of a coroot is taken into account

$$\gamma = \alpha^{\vee}(-1).$$

Thus (cf. p. 51 of CIRRAG)

$$\chi(\alpha^{\vee}(-1)) = e^{2\pi i \langle \lambda_0, \alpha^{\vee} \rangle}.$$

Apologies are necessary for this phase of the discussion but the transition from Harish-Chandra's notation to that used in *CIRRAG* is clumsy.

On the other hand

$$\varphi(\sigma) = a \rtimes \sigma[8]$$

and

$$\varphi(\sigma)X_{\alpha^{\vee}} = e^{2\pi i \langle \lambda_0, \alpha^{\vee} \rangle} \varphi'(\sigma)(X_{\alpha^{\vee}})$$

if  $\varphi'(\sigma) = a' \rtimes \sigma$ ,  $a' \in {}^L M_{\operatorname{der}}$ ,  $a^{-1}a' \in {}^L T^0$ . The assertion (ii) will be verified if we show that

$$\varphi'(\sigma)(X_{\alpha^{\vee}}) = -(-1)^{\rho_{\alpha}} X_{\alpha^{\vee}}.$$

Now, by p. 122 of Harmonic Analysis III

$$\rho_{\alpha} = \langle \rho_{\alpha^{\vee}}, \alpha^{\vee} \rangle$$

if  $\rho_{\alpha^{\vee}}$  is one-half the sum of the positive roots of  $G_{\alpha^{\vee}}$ . But in the present circumstances the derived algebra of  $\mathfrak{g}_{\alpha^{\vee}}$  is a direct sum because  $\alpha^{\vee}$  is perpendicular to all roots of  $G_{\alpha^{\vee}}$  except  $\pm \alpha^{\vee}$ . Thus

$$\langle \rho_{\alpha}, \alpha^{\vee} \rangle = \frac{1}{2} \langle \alpha, \alpha^{\vee} \rangle = 1.$$

Moreover  $\alpha^{\vee}$  must be a simple root and so by the definition of  ${}^{L}\!M$ 

$$\varphi'(\sigma)(X_{\alpha^{\vee}}) = \sigma(X_{\alpha^{\vee}}) = 1.$$

The assertion (ii) follows. Since the reflections corresponding to  $\alpha$  and  $\alpha^{\vee}$  are the same, the assertion (iii) does also.

- (b) Suppose  $\varphi(\sigma)\alpha^{\vee} = \alpha^{\vee}$  and  $\beta^{\vee}$  different from  $\alpha^{\vee}$  lies in  $\mathfrak{X}_{\alpha^{\vee}}$ . [9]
  - (i) Suppose

$$\langle \mu, \beta^{\vee} \rangle = \langle \nu, \beta^{\vee} \rangle = 0.$$

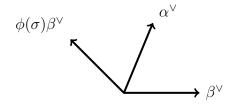
Then

$$\langle \mu, \varphi(\sigma)\beta^{\vee} \rangle = \langle \nu, \varphi(\sigma)\beta^{\vee} \rangle = 0.$$

Since  $\varphi(\sigma)\beta^{\vee}$  lies in the span of  $\{\alpha^{\vee},\beta^{\vee}\}$  and is different from  $\beta^{\vee}$ , both  $\mu$  and  $\nu$  vanish on this two-dimensional space. As a consequence there are no roots  $\gamma^{\vee}$  on it orthogonal to  $\alpha^{\vee}$ . For then  $\varphi(\sigma)\gamma^{\vee}$  would be  $-\gamma^{\vee}$  and as a consequence

$$\langle \mu, \gamma^{\vee} \rangle \neq 0.$$

This leaves only



of type  $A_2$ .

I claim next that if  $\gamma^{\vee}$  lies in  $X_{\alpha^{\vee}}$  and is different from  $\alpha^{\vee}$ ,  $\beta^{\vee}$ , and  $\varphi(\sigma)\beta^{\vee}$  then either  $\langle \mu, \gamma^{\vee} \rangle \neq 0$  or  $\langle \nu, \gamma^{\vee} \rangle \neq 0$ . If not, consider all roots in the span of  $\{\alpha^{\vee}, \beta^{\vee}, \gamma^{\vee}\}$ . They form a root system of rank 3 on which  $\varphi(\sigma)$  acts. If  $\delta^{\vee}$  lies in this system then  $\langle \mu, \delta^{\vee} \rangle = \langle \nu, \delta^{\vee} \rangle = 0$  so  $\varphi(\sigma)\delta^{\vee} \neq -\delta^{\vee}$ . As a consequence [10]

$$\delta^{\vee} + \varphi(\sigma)\delta^{\vee} = a\alpha^{\vee} \quad a \neq 0$$

and

$$\left\{ \, \delta^{\vee} \, \left| \, \left\langle \alpha, \delta^{\vee} \right\rangle \geqslant 0 \, \right. \right\}$$

defines a system of positive roots stable under  $\varphi(\sigma)$ . Let  $\alpha_1^{\vee}$ ,  $\alpha_2^{\vee}$ ,  $\alpha_3^{\vee}$  be the simple roots. They are permuted amongst themselves by  $\varphi(\sigma)$ . Thus by a suitable numbering

$$a_1^{\vee} = \alpha^{\vee}, \quad a_3^{\vee} = \varphi(\sigma)a_2^{\vee}.$$

Then

$$a\alpha^{\vee} = \alpha_2^{\vee} + a_3^{\vee}.$$

This is a contradiction.

Also we may take

$$X_{\alpha^{\vee}} = \left[ X_{\beta^{\vee}}, \varphi(\sigma) X_{\beta^{\vee}} \right]$$

and

$$\varphi(\sigma)X_{\alpha^{\vee}} = -X_{\alpha^{\vee}}.$$

Thus

$$\mathfrak{s}_{\alpha^{\vee}} = \mathbf{C}(X_{\beta^{\vee}} + \varphi(\sigma)X_{\beta^{\vee}})$$

has dimension 1. Since [11]

$$\langle \mu, \beta' \rangle = (\lambda + i\nu)(H_{\beta}),$$

the right side conforming to Harish-Chandra's notation, the measure  $\mu(\rho, \alpha^{\vee})$  is certainly zero. The reflection defined by  $\mathfrak{s}_{\alpha^{\vee}}$  is clearly correct on  ${}^{L}\mathfrak{t}_{+}$ .

(ii) Suppose that for every  $\beta^{\vee}$  different from  $\alpha^{\vee}$  in  $\mathfrak{X}_{\alpha^{\vee}}$ 

$$\langle \mu, \beta^{\vee} \rangle \neq 0 \text{ or } \langle \nu, \beta^{\vee} \rangle \neq 0.$$

Then  $\dim \mathfrak{s}_{\alpha^{\vee}} = 1$  if and only if

$$\langle \mu, \alpha^{\vee} \rangle = 0, \qquad \varphi(\sigma) X_{\alpha^{\vee}} = X_{\alpha^{\vee}}.$$

Again the first condition is equivalent to  $\nu_{\alpha} = 0$ . We have to show that when this is so then the second is equivalent to

$$\frac{(-1)^{\rho_{\alpha}}}{2} \left( \sigma_{a^*}(\gamma) + \sigma_{a^*}(\gamma^{-1}) \right) \neq 1.$$

Let

$$\varphi(\sigma)X_{\alpha^{\vee}} = \lambda X_{\alpha^{\vee}}.$$

We show that

$$\left(\frac{(-1)^{\rho_{\alpha}}}{2}\right)\left(\sigma_{a^*}(\gamma) + \sigma_{a^*}(\gamma^{-1})\right) = -\lambda.$$

This is enough, for  $\lambda = \pm 1$ . As before [12]

$$\sigma_{a^*}(\gamma) = \sigma_{a^*}(\gamma^{-1}) = e^{2\pi i \langle \lambda_0, \alpha^\vee \rangle}$$

and

$$\varphi(\sigma)X_{\alpha^{\vee}} = e^{2\pi i \langle \lambda_0, \alpha^{\vee} \rangle} \varphi'(\sigma)(X_{\alpha^{\vee}}).$$

if  $\varphi'(\sigma)$  is defined as before. What we must do is show that

$$\varphi'(\sigma)(X_{\alpha^{\vee}}) = -(-1)^{\langle \rho_{\alpha^{\vee}}, \alpha^{\vee} \rangle} X_{\alpha^{\vee}}.$$

This is a statement about a reductive group  $G_{\alpha^{\vee}}$  and a Levi factor M of a maximal parabolic, M and G both having compact CSGs. It is not bound to the present situation and may be proved by induction on the rank of  $G_{\alpha^{\vee}}$ . Let  $\beta^{\vee}$  be the largest root of one of the simple factors of  ${}^{L}M_{\text{der}}$  and introduce  $a_2$ ,  $a_1$  as on p. 46 of CIRRAG. We may take  $a' = a_2a_1$ . If  $\rho'$  is the analogue of  $\rho_{\alpha^{\vee}}$  for the roots perpendicular to  $\beta^{\vee}$  then by induction

$$a_1 \rtimes \sigma(X_{\alpha^{\vee}}) = -(-1)^{\langle \rho', \alpha^{\vee} \rangle} X_{\alpha^{\vee}}.$$

What we have to do is show that

$$a_2(X_{\alpha^{\vee}}) = (-1)^{\ell} X_{\alpha^{\vee}}, \quad \ell = \left(\frac{1}{2}\right) \sum_{\substack{\langle \gamma, \beta^{\vee} \rangle \neq 0 \\ \gamma > 0}} \langle \gamma, \alpha^{\vee} \rangle.$$
[13]

Suppose  $\gamma > 0$ ,  $\langle \gamma, \beta^{\vee} \rangle \neq 0$ ,  $\langle \gamma, \alpha^{\vee} \rangle \neq 0$  and  $\gamma^{\vee}$  is not in the plane spanned by  $\alpha^{\vee}$ ,  $\beta^{\vee}$ . Then:

- 1)  $\gamma^{\vee} = a_2 \gamma^{\vee} \implies \gamma = a_2 \gamma \implies \langle \gamma, \beta^{\vee} \rangle = 0$ —impossible 2)  $\gamma^{\vee} = \varphi(\sigma) \gamma^{\vee} \implies \gamma^{\vee} = \pm \alpha^{\vee}$ —impossible
- 3)  $\gamma^{\vee} = a_2 \varphi(\sigma) \gamma^{\vee} \implies \gamma^{\vee}$  in plane of  $\alpha^{\vee}$ ,  $\beta^{\vee}$  because  $(\alpha^{\vee}, \beta^{\vee}) = 0$ . Thus  $\gamma$ ,  $a_2\gamma$ ,  $\varphi(\sigma)\gamma$ ,  $a_2\varphi(\sigma)\gamma$  are distinct and positive. Since

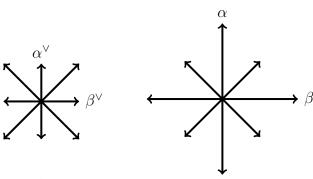
$$\langle \gamma, \alpha^{\vee} \rangle = \langle a_2 \gamma, \alpha^{\vee} \rangle = \langle \varphi(\sigma) \gamma, \alpha^{\vee} \rangle = \langle a_2 \varphi(\sigma) \gamma, \alpha^{\vee} \rangle$$

the sum of the four of them even after division by 2 is even and may be dropped from the exponent. So may those  $\langle \gamma, \alpha^{\vee} \rangle$  which are 0. We confine ourselves to  $\gamma$  with  $\gamma^{\vee}$  in the plane of  $\alpha^{\vee}$ ,  $\beta^{\vee}$ .

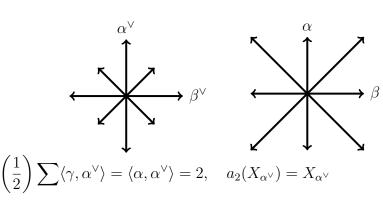
The possibilities are:

A) No roots except  $\pm \alpha^{\vee}$ ,  $\pm \beta^{\vee}$  in the plane. Then the exponent is 0 and  $a_2(X_{\alpha^{\vee}}) = X_{\alpha^{\vee}}.$ 

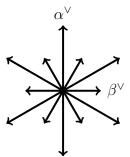
B)

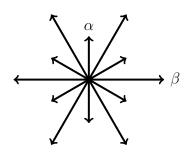


$$\left(\frac{1}{2}\right) \sum \langle \gamma, \alpha^{\vee} \rangle = \frac{1}{2} \langle \alpha, \alpha^{\vee} \rangle = 1, \quad a_2(X_{\alpha^{\vee}}) = -X_{\alpha^{\vee}} [\mathbf{14}]$$



D)





$$\left(\frac{1}{2}\right)\sum\langle\gamma,\alpha^{\vee}\rangle=2\langle\alpha,\alpha^{\vee}\rangle=4,\quad a_2(X_{\alpha^{\vee}})=X_{\alpha^{\vee}}$$

E) The roles of  $\alpha$ ,  $\alpha^{\vee}$  and  $\beta$ ,  $\beta^{\vee}$  are reversed

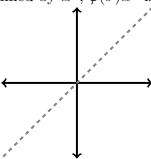
$$\left(\frac{1}{2}\right)\sum\langle\gamma,\alpha^{\vee}\rangle = \langle\alpha,\alpha^{\vee}\rangle = 2, \quad a_2(X_{\alpha^{\vee}}) = X_{\alpha^{\vee}}.$$

All that is claimed in A) through E) is easy to check. Finally it is clear that the reflection defined by  $\mathfrak{s}_{\alpha^{\vee}}$  is that defined by  $\alpha$  or  $\alpha^{\vee}$ .

(i) Suppose that  $\varphi(\sigma)\beta^{\vee} \neq \beta^{\vee}$  for all  $\beta^{\vee}$  in  $\mathfrak{X}_{\alpha^{\vee}}$ . Then  $\beta^{\vee} + \varphi(\sigma)\beta^{\vee}$  is not a root, nor is [15]

$$\frac{\beta^{\vee} + \varphi(\sigma)\beta^{\vee}}{2}.$$

(ii) Suppose that  $\langle \mu, \alpha^{\vee} \rangle = \langle \nu, \alpha^{\vee} \rangle = 0$ . Then  $\alpha^{\vee} - \varphi(\sigma)\alpha^{\vee}$  is not a root and  $\langle \alpha^{\vee}, \varphi(\sigma)\alpha^{\vee} \rangle = 0$ . Since  $\alpha^{\vee}$  and  $\varphi(\sigma)\alpha^{\vee}$  have the same length, the root diagram of the plane spanned by  $\alpha^{\vee}$ ,  $\varphi(\sigma)\alpha^{\vee}$  is



I claim that if  $\beta^{\vee}$  lies in  $\mathfrak{X}_{\alpha^{\vee}}$  but not in this plane then either  $\langle \mu, \beta^{\vee} \rangle = 0$  or  $\langle \nu, \beta^{\vee} \rangle = 0$ . Otherwise in the three-dimensional plane spanned by  $\alpha^{\vee}$ ,  $\varphi(\sigma)\alpha^{\vee}$ ,  $\beta^{\vee}$ ,  $\varphi(\sigma)\beta^{\vee}$  we have a root system and

$$\left\{ \left. \gamma^{\vee} \, \right| \, \left\langle \gamma, \alpha^{\vee} + \varphi(\sigma) \alpha^{\vee} \right\rangle \geqslant 0 \, \right\}$$

is a set of positive roots, for

$$\langle \gamma, \alpha^{\vee} + \varphi(\sigma)\alpha^{\vee} \rangle$$

is never 0, because if it were then  $\varphi(\sigma)\gamma^{\vee} = -\gamma^{\vee}$ . Since  $\langle \mu, \gamma^{\vee} \rangle = \langle \nu, \gamma^{\vee} \rangle = 0$  this is impossible. Then  $\varphi(\sigma)$  permutes the three simple roots amongst themselves, and leaves one fixed. This is a contradiction. Thus [16]

$$\mathfrak{s}_{\alpha} = \mathbf{C} (X_{\alpha^{\vee}} + \varphi(\sigma) X_{\alpha^{\vee}})$$

has dimension one. Since T is fundamental in  $G_{\alpha^{\vee}}$ , the formula on p. 97 of Harmonic analysis III shows that  $\mu(\rho, \alpha^{\vee}) = 0$ . The three assertions follow again.

(iii) Suppose that for any  $\beta^{\vee}$  in  $\mathfrak{X}_{\alpha^{\vee}}$  either  $\langle \mu, \beta^{\vee} \rangle \neq 0$  or  $\langle \nu, \beta^{\vee} \rangle \neq 0$ . Then  $\mathfrak{s}_{\alpha^{\vee}} = 0$ . By the same formula in *Harmonic analysis III*,

$$\mu(\rho, \alpha^{\vee}) \neq 0.$$

Lemma 2 is now completely proved. I should observe, for it will remove a confusion that could otherwise arise, that

$$-\overline{\langle \mu, \alpha^{\vee} \rangle} = \langle \nu, \alpha^{\vee} \rangle$$

for any  $\alpha^{\vee}$ .

It is also possible to give Zuckerman's proof that the R-group is a sum of  $Z_2$ 's in the above context. Let  $\operatorname{Norm}_S^+({}^L\mathfrak{t}_+)$  be the set of elements of  $\operatorname{Norm}_S({}^L\mathfrak{t}_+)$  that take positive roots of  $\mathfrak{s}_0$  to positive roots. Then

$$R = S/S^0 \simeq \text{Norm}_S^+({}^L\mathfrak{t}_+)/{}^L\mathfrak{t}_+.$$

Let

$$\mathfrak{s}_1 = {}^L \mathfrak{t} + \sum_{\langle \mu, lpha^ee 
angle = \langle 
u, lpha^ee 
angle} \mathbf{C} X_{lpha^ee} [\mathbf{17}]$$

The elements of Norm<sub>S</sub><sup>+</sup>( $^{L}\mathfrak{t}_{+}$ ) take  $\mathfrak{s}_{1}$  to itself. Let Q be the operator

$$\frac{1}{|R|} \sum_{R} r$$

on  $\mathfrak{t} \otimes \mathbf{C}$ . Since the centralizer of  $\varphi(\mathbf{C}^{\times})$  is connected, S lies in the connected group  $S_1$  with Lie algebra  $\mathfrak{s}_1$ . Thus by Chevalley's theorem R is contained in the group generated by the reflections associated to the roots  $\alpha^{\vee}$  of  $\mathfrak{s}_1$  for which  $Q\alpha^{\vee} = 0$ .

If  $\alpha^{\vee}$  is a root of  $\mathfrak{s}_1$  then  $\varphi(\sigma)\alpha^{\vee} \neq -\alpha^{\vee}$ . Suppose  $\varphi(\sigma)\alpha^{\vee} \neq \alpha^{\vee}$ . Then

$$X_{\alpha^{\vee}} + \varphi(\sigma)X_{\alpha^{\vee}} \neq 0$$

and lies in  $\mathfrak{s}$ . Thus  $\alpha^{\vee}$  restricted to  ${}^{L}\mathfrak{t}_{+}$  defines a root of  $\mathfrak{s}$ . Since the elements of r stabilize  ${}^{L}\mathfrak{t}_{+}$  and each r takes positive roots of  ${}^{L}\mathfrak{t}_{+}$  in  $\mathfrak{s}$  to positive roots,

$$Q\alpha^{\vee} \neq 0.$$

Thus if  $\alpha^{\vee}$  is a root of  $\mathfrak{s}_1$  then

$$Q\alpha^{\vee} = 0 \implies \varphi(\sigma)\alpha^{\vee} = \alpha^{\vee}.$$

Moreover  $\alpha^{\vee}$  cannot be a root of  $\mathfrak{s}$  and therefore

$$\varphi(\sigma)X_{\alpha^{\vee}} = -X_{\alpha^{\vee}}.$$
[18]

Finally if  $Q\alpha^{\vee} = 0$ ,  $Q\beta^{\vee} = 0$  then  $\alpha^{\vee} \pm \beta^{\vee}$  is not a root because  $\varphi(\sigma)X_{\alpha^{\vee}+\beta^{\vee}} = \varphi(\sigma)[X_{\alpha^{\vee}}, X_{\beta^{\vee}}] = [-X_{\alpha^{\vee}}, -X_{\beta^{\vee}}] = X_{\alpha^{\vee}+\beta^{\vee}}$  and  $\alpha^{\vee} + \beta^{\vee}$  would have to be a root of  $\mathfrak{s}$ . This is inconsistent with

$$Q(\alpha^{\vee} + \beta^{\vee}) = 0.$$

The set of positive  $\alpha^{\vee}$  for which  $\langle \mu, \alpha^{\vee} \rangle = \langle \nu, \alpha^{\vee} \rangle = 0$  and  $Q\alpha^{\vee} = 0$  is the strongly orthogonal system needed for Zuckerman's argument.

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