

Lecture 5

0-EXPANSION AND WEIGHTED ORBITAL INTEGRALS

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5.1. The second form of the 0-expansion.

Let P be an ε -invariant parabolic and σ an ε -semisimple-conjugacy class. For $\gamma \in \sigma \cap M$ let $\gamma' = \gamma\varepsilon$ and $N(\gamma'_S)$ the centralizer in N of the semisimple part γ'_S of γ' . We introduce

$$N(\phi, x, \gamma') = \int_{N(\gamma'_S)} \omega(x) \phi(x^{-1} n^{-1} \gamma \varepsilon(x)) dn$$

and

$$j_{P, \sigma}(x) = \sum_{\gamma \in M \cap \sigma} \sum_{n \in N(\gamma'_S) \setminus N} N(\phi, nx, \gamma') .$$

Using Lemma 3.1.1 we see that the series are in fact finite sums since ϕ is compactly supported. We now define a truncated term by

$$j_{\sigma}^T(x) = \sum_{\varepsilon(P)=P} \sum_{\delta \in P \setminus G} (-1)^{a_P^\varepsilon} \hat{\tau}_P(H(\delta x) - T) j_{P, \sigma}(\delta x) .$$

Here also the series are finite sums; this is a consequence of Lemma 2.1.

The aim of this section is to prove the

THEOREM 5.1.1. (i) For a sufficiently regular T

$$\sum_{\sigma \in \mathcal{O}} \int_{\mathbb{G}^1} |j_{\sigma}^T(x)| dx$$

is finite.

(ii) For any $\sigma \in \mathcal{O}$

$$\int_{\mathbb{G}^1} j_{\sigma}^T(x) dx = \int_{\mathbb{G}^1} k_{\sigma}^T(x) dx .$$

The proof of statement (i) is, with minor modifications, the same as the proof of Theorem 3.1.2 and will not be repeated (see Lectures 3 and 4).

To prove the statement (ii) we need the

LEMMA 5.1.2.

$$K_{P, \sigma}(x, x) = \int_{\mathbb{N}} j_{P, \sigma}(nx) dn .$$

Recall that

$$K_{P, \sigma}(x, x) = \sum_{\gamma \in M \cap \sigma} \omega(x) \int_{\mathbb{N}} \phi(x^{-1} n \gamma \epsilon(x)) dx .$$

The continuous analogue of Lemma 3.1.1 shows that

$$\int_{\mathbb{N}} \omega(x) \phi(x^{-1} n^{-1} \gamma \epsilon(x)) dn = \int_{\mathbb{N}(\gamma') \setminus \mathbb{N}} N(\phi, nx, \gamma') dn .$$

The lemma is now an immediate consequence of the definition of $j_{P, \sigma}$. \square

COROLLARY 5.1.3. Given $P_1 \subset P$ we have

$$\int_{\mathbb{N}_1} j_{P, \sigma}(nx) dn = \int_{\mathbb{N}_1} K_{P, \sigma}(nx, nx) dn .$$

We need only to remark that $P \supset \mathbb{N}_1 \supset \mathbb{N}$. \square

In Lecture 3 we introduced a function $H_1^2(x)_\sigma^T$ such that

$$\int_{\textcircled{G}^1} k_\sigma^T(x) dx = \sum_{P_1 \subset P_2} \int_{P_1 \setminus G^1} H_1^2(x)_\sigma^T dx .$$

If we substitute $j_{P, \sigma}(x)$ for $K_{P, \sigma}(x, x)$ in the definition of $H_1^2(x)_\sigma^T$ we obtain a function $J_1^2(x)_\sigma^T$. Then Corollary 5.1.3 tells us that

$$\int_{\textcircled{N}_1} H_1^2(nx)_\sigma^T dn = \int_{\textcircled{N}_1} J_1^2(nx)_\sigma^T dn$$

and the assertion (ii) in the above theorem follows from the fact that integration over $P_1 \setminus G^1$ can be seen as an integration over \textcircled{N}_1 followed by an integration over $P_1 \textcircled{N}_1 \setminus G^1$. \square

Another variant of the θ -expansion will be of interest. Let P be an ε -invariant parabolic subgroup, the group E of connected components of G' acts on Δ_P and to each orbit $\bar{\alpha}$ we may attach an averaged weight \bar{w}_α :

$$\bar{w}_\alpha = \frac{1}{\ell} \sum_{r=0}^{\ell-1} \varepsilon^r w_\alpha$$

where α is any element in $\bar{\alpha}$. We define ${}_\varepsilon \hat{\tau}_P$ as the characteristic function of the $X \in \mathfrak{a}_0$ such that $\bar{w}_\alpha(X) > 0$ for any $\alpha \in \Delta_P$. If we substitute ${}_\varepsilon \hat{\tau}_P$ for $\hat{\tau}_P$ in the definition of k_σ^T and j_σ^T we obtain new functions which we shall denote by ${}_\varepsilon k_\sigma^T$ and ${}_\varepsilon j_\sigma^T$: their definition makes sense since the analogue of Lemma 2.1 is available. We may

reproduce the proofs in Lectures 2, 3, 4 with minor changes; we simply have to replace from time to time weights by averaged weights and σ_1^2 by ${}_{\varepsilon}\sigma_1^2$ the characteristic functions of the H such that $\alpha(H) > 0$ if $\alpha \in \Delta_1^2$, $\alpha(H) \leq 0$ if $\alpha \in \Delta_1 - \Delta_1^2$ and $\pi_{\bar{\alpha}}(H) > 0$ if $\alpha \in \Delta_Q$ where Q is the maximal ε -invariant parabolic subgroup contained in P_2 if $Q \supset P_1$, and ${}_{\varepsilon}\sigma_1^2 = 0$ if there is no ε -invariant P between P_1 and P_2 . More details will be given in Lecture 9.

5.2. Conjugacy classes and parabolic subgroups.

Let P be a (not necessarily standard) parabolic subgroup and let $P' = N_{G'}(P)$ be its normalizer in G' . We shall say that P' is a parabolic subgroup in G' if its projection on E , the group of connected components of G' , is surjective.

LEMMA 5.2.1. Assume P' is a parabolic subgroup in G' whose neutral connected component P is standard, then $\varepsilon \in P'$.

By assumption there is an element $\varepsilon_1 \in P'$ which projects on ε_0 the given generator of E . We have $P_0 \subset P'$, let $P_1 = \varepsilon_1(P_0)$; this is a minimal parabolic subgroup and hence there exist $\delta_1 \in P$ such that $\delta_1 P_1 \delta_1^{-1} = P_0$. Then $\delta_1 \varepsilon_1$ leaves P_0 invariant; so does ε and hence $\delta = \delta_1 \varepsilon_1 \varepsilon^{-1}$ normalizes P_0 and is an element of G so that $\delta \in P_0$ and $\varepsilon = \delta^{-1} \delta_1 \varepsilon_1 \in P'$. \square

Such parabolic subgroups in G' will be called standard; P' is standard if and only if P is standard and ε -invariant; moreover $P' \supset P'_0$. Let M be the Levi component of P containing M_0 , then M

and ε generate a subgroup M' in P' which will be called "the" Levi component of P' . Let A be the split component of the center of M , then A^ε is the split component of the center of M' . The weights of A^ε in G are the orbits under E of the weights of A ; since E preserves positivity of weights, the centralizers of A and A^ε in G (which are connected) are equal to M . The centralizer of A^ε in G' is M' .

Consider $\gamma_1 \in G$ such that $\gamma_1' = \gamma_1 \varepsilon$ is semisimple and P_1' a standard parabolic subgroup of G' such that $\gamma_1' \in M_1'$ its Levi component and such that moreover no strictly smaller standard parabolic subgroup contains an M_1' -conjugate of γ_1' in its Levi component.

Let $A_1 \varepsilon$ be the split component of the center of M_1' .

LEMMA 5.2.2. The torus A_1^ε is a maximal split torus in $G'(\gamma_1')$ the centralizer of γ_1' in G' .

Let B be a maximal split torus in $G'(\gamma_1')$. Since γ_1' is semisimple $G'(\gamma_1')$ is reductive and up to conjugacy in $G'(\gamma_1')$ we may assume $A_1^\varepsilon \subset B$. Let M_2 (resp. M_2') be the centralizer of B in G (resp. G'), we have $M_2' \subset M_1'$. Up to conjugacy inside M_1' we may assume that M_2 is the Levi component of a standard parabolic subgroup $P_2 \subset P_1$ of G . Since γ_1' commutes with B we have $\gamma_1' \in M_2'$ and γ_1' normalizes N_2 the unipotent radical of P_2 (γ_1' fixes the weights of B) and hence $\gamma_1' \in P_2'$. This implies that P_2' projects surjectively on E . The minimality property of P_1' implies $P_1' = P_2'$; moreover $\varepsilon \in M_1' = M_2'$ so that $B = B^\varepsilon = A_1^\varepsilon$. \square

COROLLARY 5.2.3. Up to conjugacy M'_1 is well defined by $c(\gamma'_1)$ the G-conjugacy class of γ'_1 .

Given $\gamma'_1 \in M'_1 \subset P'_1$ and $\gamma'_2 \in M'_2 \subset P'_2$ minimal as above we know that A_1^ε and A_2^ε are maximal split tori in $G'(\gamma'_1)$ and $G'(\gamma'_2)$. If γ'_1 and γ'_2 are conjugate then A_1^ε and A_2^ε are also conjugate and the same is true for the M'_i . \square

COROLLARY 5.2.4. Given P' a standard parabolic subgroup of G' with Levi component M' and $\gamma' \in M' \cap C(\gamma'_1)$ there exists a standard parabolic P'_2 of G' associated with P'_1 such that $P'_2 \subset P'$ and $m\gamma'm^{-1} \in M'_2$ for some $m \in M'$. \square

Given P'_1 and P'_2 as above, let \mathfrak{a}_i be the Lie algebra of $A_i(\mathbb{R})^\circ$. Let us denote as usual by $\Omega(\mathfrak{a}_1, \mathfrak{a}_2)$ the set of restrictions to \mathfrak{a}_1 of elements $s \in \Omega$, the Weyl group of G , such that $s(\mathfrak{a}_1) = \mathfrak{a}_2$. Given $\sigma \in \Omega(\mathfrak{a}_1, \mathfrak{a}_2)$ there exist a unique element $s \in \Omega$ such that s induces σ and such that moreover $s^{-1}\alpha > 0$ for all $\alpha \in \Delta_0^2$; it is the element with minimal length in the class σ . This provides us with an injective map from $\Omega(\mathfrak{a}_1, \mathfrak{a}_2)$ into Ω . We shall identify $\Omega(\mathfrak{a}_1, \mathfrak{a}_2)$ with its image.

Let us denote by $\Omega(\mathfrak{a}_1^\varepsilon, \mathfrak{a}_2^\varepsilon)$ the set of restrictions to $\mathfrak{a}_1^\varepsilon$ of elements $s \in \Omega$ such that $s(\mathfrak{a}_1^\varepsilon) = \mathfrak{a}_2^\varepsilon$. Since M'_i is the centralizer of A_i^ε (in G) such an s defines an element in $\Omega(\mathfrak{a}_1, \mathfrak{a}_2)$ and hence $\Omega(\mathfrak{a}_1^\varepsilon, \mathfrak{a}_2^\varepsilon)$ may be regarded as a subset of $\Omega(\mathfrak{a}_1, \mathfrak{a}_2)$ and be identified with a subset of Ω .

LEMMA 5.2.5. $\Omega(\sigma_1^\varepsilon, \sigma_2^\varepsilon)$ is the set of $s \in \Omega$ such that

- (i) $s(\sigma_1) = \sigma_2$
- (ii) $s^{-1}(\alpha) > 0 \quad \forall \alpha \in \Delta_0^2$
- (iii) $\varepsilon s = s\varepsilon$.

The first two conditions define $\Omega(\sigma_1, \sigma_2)$; if an element satisfies the three conditions it clearly defines an element in $\Omega(\sigma_1^\varepsilon, \sigma_2^\varepsilon)$. Conversely if $s(\sigma_1^\varepsilon) = \sigma_2^\varepsilon$ and $s^{-1}(\alpha) > 0$ for all $\alpha \in \Delta_0^2$ the same is true for $s_1 = \varepsilon s \varepsilon^{-1}$ since P_2 is ε -invariant. Moreover s_1 and s have equal restrictions to σ_1^ε and hence equal restrictions to σ_1 . This implies $s = s_1$. \square

Given P' a standard parabolic subgroup of G' , let us denote by $\tilde{\Omega}(\sigma_1^\varepsilon, P')$ the set of elements $s \in \Omega$ such that there exists a parabolic subgroup $P'_2 \subset P'$ standard in G' with $s(\sigma_1^\varepsilon) = \sigma_2^\varepsilon$. The Weyl group of M , denoted by Ω^M , acts on the left on $\tilde{\Omega}(\sigma_1^\varepsilon, P')$ and each class $\sigma \in \Omega^M \setminus \tilde{\Omega}(\sigma_1^\varepsilon, P')$ contains a unique element s such that $s^{-1}\alpha > 0$ for any $\alpha \in \Delta_0^P$. As usual s is the element of minimal length in σ . Thanks to Lemma 5.2.5 we see that such an s commutes with ε . We shall identify $\Omega^M \setminus \tilde{\Omega}(\sigma_1^\varepsilon, P')$ with the set $\Omega(\sigma_1^\varepsilon, P')$ of those s in Ω .

We can now describe rather explicitly the set $M' \cap c(\gamma'_1)$. Given $\gamma' \in M' \cap c(\gamma'_1)$ there exists $s \in \Omega(\sigma_1^\varepsilon, P')$ and $m \in M$ such that

$$\gamma' = m^{-1} w_s \gamma'_1 w_s^{-1} m$$

where $w_s \in G$ represents s . But s is not always uniquely defined by γ' ; it defines only a double coset in Ω :

$$\Omega^M \cdot s \cdot \Omega(\alpha_1^\varepsilon, \gamma_1')$$

where $\Omega(\alpha_1^\varepsilon, \gamma_1')$ is the subgroup of the $\sigma \in \Omega(\alpha_1^\varepsilon, \alpha_1^\varepsilon)$ such that

$$w_\sigma \gamma_1' w_\sigma^{-1} = m_1 \gamma_1' m_1^{-1}$$

for some $m_1 \in M_1$. The element $m \in M$ is defined by γ' and $w_s \gamma_1' w_s^{-1}$ up to an element in $M(w_s \gamma_1' w_s^{-1})$ its centralizer in M .

5.3. Tame semisimple conjugacy classes.

The aim of this section is to give a simple expression for $j_\sigma^T(x)$ when σ contains only semisimple elements. Such classes will be called tame semisimple. Given such a class σ and $\gamma \in \sigma$, then $\gamma' = \gamma\varepsilon$ is semisimple and for any parabolic subgroup P' of G' containing γ' we have $N(\gamma') = N(\gamma_1') = \{1\}$.

An element γ' defines a tame semisimple class if and only if its centralizer $G(\gamma')$, in G , contains no unipotent element. In particular, regular semisimple elements give rise to tame semisimple classes.

Let γ_1', P_1', M_1' be as in Lemma 5.2.2 with γ' conjugate to γ_1' (in G) and assume that $G(\gamma_1')$ contains no unipotent elements. Recall that A_1^ε is a maximal split torus in $G(\gamma_1')$, since $G(\gamma_1')$ contains no unipotent element the neutral component $G(\gamma_1')^0$ lies in the centralizer

of A_1^ε that is M_1' . Hence $M_1(\gamma_1')$ is of finite index say $d(\gamma_1')$ in $G(\gamma_1')$.

More generally given P' standard in G' with Levi component M' such that $\gamma' \in M'$ let us denote by $d(M, \gamma')$ the index of $M(\gamma')$ in $G(\gamma')$.

Let $s \in \tilde{\Omega}(\alpha_1^\varepsilon, P')$ be such that $\gamma' = m^{-1}w_s\gamma_1'w_s^{-1}m$ where w_s represents s and $m \in M$.

LEMMA 5.3.1. The cardinality of the set

$$\Omega^M \setminus \Omega^M \cdot s \cdot \Omega(\alpha_1^\varepsilon, \gamma_1')$$

is $d(M, \gamma)$.

Consider first the case where $\gamma' = \gamma_1'$, $s = 1$ and $P' = P_1'$, then all we have to prove is that the order of $\Omega(\alpha_1^\varepsilon, \gamma_1')$ is $d(\gamma_1')$ and this follows from the

LEMMA 5.3.2. There is a natural map from $G(\gamma_1')$ onto $\Omega(\alpha_1^\varepsilon, \gamma_1')$ with
kernel $M_1(\gamma_1')$.

An element $g \in G(\gamma_1')$ normalizes A_1^ε the center of $G(\gamma_1')^0$ and hence it normalizes M_1 . Then g defines an element s_g of $\Omega(\alpha_1^\varepsilon, \alpha_1^\varepsilon)$ and since g commutes with γ_1' it lies in $\Omega(\alpha_1^\varepsilon, \gamma_1')$. By the very definition of $\Omega(\alpha_1^\varepsilon, \gamma_1')$ this map is surjective and its kernel is $M_1 \cap G(\gamma_1') = M_1(\gamma_1')$. \square

We can now return to the general case. We need only to prove it when $\gamma' = \gamma'_1$, $w_s = 1$, $P'_1 \subset P'$, in which case it amounts to saying that the index of $M_1(\gamma'_1)$ in $M(\gamma'_1)$ is the cardinality of $\Omega^M \cap \Omega(\alpha_1^\varepsilon, \gamma'_1)$ which is clear. \square

Given σ a tame semisimple class we have

$$j_{P, \sigma}(x) = \sum_{\gamma \in M \cap \sigma} \sum_{\eta \in N} \omega(x) \phi(x^{-1} \eta^{-1} \gamma \eta x)$$

since $N(\gamma'_s) = N(\gamma') = \{1\}$. Now since in such a case σ is the twisted conjugacy class of some γ_1 with $\gamma'_1 = \gamma_1 \varepsilon$ semisimple in M'_1 , minimal as above, we may use the description of $c(\gamma'_1) \cap M$ obtained at the end of 5.2 to see that $j_{P, \sigma}(x)$ is the sum over

$$s \in \Omega(\alpha_1^\varepsilon, P', \gamma'_1) = \Omega^M \setminus \tilde{\Omega}(\alpha_1^\varepsilon, P') / \Omega(\alpha_1^\varepsilon, \gamma'_1)$$

and over $\xi \in M(w_s \gamma'_1 w_s^{-1}) \setminus P$, where w_s represents s of

$$\omega(x) \phi(x^{-1} \xi^{-1} w_s^{-1} \gamma_1 \varepsilon (w_s^{-1} \xi x)) .$$

We may replace the sum over $\Omega(\alpha_1^\varepsilon, P', \gamma'_1)$ by a sum over $\Omega(\alpha_1^\varepsilon, P')$ but we must divide each term by the integer $d(M, w_s \gamma'_1 w_s^{-1})$ as follows from the Lemma 5.3.1. We may also replace the sum over $M(w_s \gamma'_1 w_s^{-1}) \setminus P$ by a sum over $w_s M_1(\gamma'_1) w_s^{-1} \setminus P$ but we must divide each term by the index of $w_s M_1(\gamma'_1) w_s^{-1}$ in $M(w_s \gamma'_1 w_s^{-1})$ which equals

$$d(\gamma'_1) / d(M, w_s \gamma'_1 w_s^{-1}) .$$

We finally obtain $j_{P, \sigma}(x)$ as the sum over $s \in \Omega(\alpha_1^\varepsilon, P')$ of the sum over $\xi \in w_s M_1(\gamma_1') w_s^{-1} \setminus P$ of

$$d(\gamma_1')^{-1} \omega(x) \phi(x^{-1} \xi^{-1} w_s \gamma_1' \varepsilon(w_s^{-1} \xi x)) \quad .$$

This yields immediately the following expression for $j_\sigma^T(x)$:

$$j_\sigma^T(x) = \sum_{\delta \in M_1(\gamma_1') \setminus G} d(\gamma_1')^{-1} \omega(x) \phi(x^{-1} \delta^{-1} \gamma_1' \varepsilon(\delta x)) e_1(\delta x, T)$$

where

$$e_1(x, T) = \sum_{\varepsilon(P)=P} \sum_{s \in \Omega(\alpha_1^\varepsilon, P')} (-1)^{a_P^\varepsilon} \hat{\tau}_P(H(w_s x) - T)$$

depends only on the parabolic subgroup P_1' . We may get rid of the factor $d(\gamma_1')^{-1}$ if we replace $M_1(\gamma_1')$ by $G(\gamma_1')$; we obtain the

LEMMA 5.3.3. Given σ a tame semisimple class we have

$$j_\sigma^T(x) = \sum_{\delta \in G(\gamma_1') \setminus G} \omega(x) \phi(x^{-1} \delta^{-1} \gamma_1' \varepsilon(\delta x)) e_1(\delta x, T) \quad .$$

Replacing $\hat{\tau}_P$ by ${}_\varepsilon \hat{\tau}_P$ we define e_1^ε the analogue of e_1 and we have the

LEMMA 5.3.4. Given σ a tame semisimple class we have

$${}_\varepsilon j_\sigma^T(x) = \sum_{\delta \in G(\gamma_1') \setminus G} \omega(x) \phi(x^{-1} \delta^{-1} \gamma_1' \varepsilon(\delta x)) e_1^\varepsilon(\delta x, T) \quad .$$

The reason for introducing the e_1^ε is so that the weighted orbital integrals have a usable form, that is, can be treated along the lines suggested by Y. Flicker in "Base change for GL(3)" and used again in his preprints on GL(3) and SU(3).

Given $s \in \Omega(\alpha_1^\varepsilon, \alpha_2^\varepsilon)$ we define Δ_0^S to be the set of $\alpha \in \Delta_0$ such that $s^{-1}\alpha > 0$. The Lemma 5.2.5 tells us that this is the set of simple roots attached to a standard parabolic subgroup P'_s of G' containing P'_2 . Given s as above we introduce a function on α_0 :

$${}_\varepsilon B_1^S(x) = \sum_{P'_2 \subset P' \subset P'_s} (-1)^{a_P^\varepsilon} \hat{\tau}_P^\varepsilon(sX) .$$

This is the product of $(-1)^{a_P^\varepsilon}$ and of the characteristic functions of the $X \in \alpha_0$ such that $\overline{w}_\alpha(sX) > 0$ for any $\alpha \in \Delta_0 - \Delta_0^S$ and $\overline{w}_\alpha(sX) \leq 0$ for any $\alpha \in \Delta_0^S - \Delta_0^2$.

Given $s \in \Omega$ we introduce

$$H_s(x, T) = s^{-1}(T - H(w_s x)) .$$

With these notations we have

$$e_1^\varepsilon(x, T) = \sum_{s \in \Omega(\alpha_1^\varepsilon)} {}_\varepsilon B_1^S(-H_s(x, T))$$

where $\Omega(\alpha_1^\varepsilon)$ is the (disjoint) union over the P'_2 of $\Omega(\alpha_1^\varepsilon, \alpha_2^\varepsilon)$. Let $c_1^\varepsilon(x, T)$ be the set of $H \in \alpha_0$ whose projection on $\mathfrak{z}^\varepsilon \setminus \alpha_1^\varepsilon$ lies in the convex hull of the projections on $\mathfrak{z}^\varepsilon \setminus \alpha_1^\varepsilon$ of the set of

$H_s(x, T)$ with $s \in \Omega(\mathfrak{a}_1^\varepsilon)$.

LEMMA 5.3.5. Assume T is sufficiently regular then

$$H \longrightarrow \sum_{s \in \Omega(\mathfrak{a}_1^\varepsilon)} \varepsilon B_1^s(H - H_s(x, T))$$

is the characteristic function of $c_1^\varepsilon(x, T)$.

This lemma is essentially Lemma 3.2 in Arthur's paper [Inventiones Math. 32, 1976]. More details will be given in Lecture 9 below. \square

We shall denote by $v_1^\varepsilon(x, T)$ the volume of the projection on $\mathfrak{z}^\varepsilon \setminus \mathfrak{a}_1^\varepsilon$ of $c_1^\varepsilon(x, T)$. We obtain the

PROPOSITION 5.3.6. Given σ a tame semisimple class we have

$$\int_{\mathbb{G}^1} \varepsilon j_\sigma^T(x) dx = \int_{\mathbf{G}(\gamma_1') \mathbf{G}(\gamma_1')^\circ \setminus \mathbf{G}} v(\gamma_1') \omega(x) \phi(x^{-1} \gamma_1 \varepsilon(x)) v_1^\varepsilon(x, t) dx$$

where $v(\gamma_1')$ is the volume of $A_1^\varepsilon(\mathbf{R})^\circ \mathbf{G}(\gamma_1')^\circ \setminus \mathbf{G}(\gamma_1')^\circ$.