

Duality for Representations of a Reductive Group over a Finite Field

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The purpose of this paper is to construct a duality operation for representations of a reductive group over a finite field. Its effect, very roughly speaking, is to interchange irreducible representations of small degree with ones of large degree (for example, the unit and Steinberg representation.) At level of characters, this operation has been also considered by Alvis [1], Curtis [2], and Kawanaka [4].

We shall now fix some notation. G will denote a connected reductive group defined over a finite field F_q , (W, S) its Weyl group, and \bar{S} the set of orbits of the Frobenius map on S . The subsets of \bar{S} parametrize the classes of parabolic subgroup of G which are defined over F_q ; let \mathcal{P}_I be the class corresponding to $I \subset S$. (Thus \mathcal{P}_\emptyset is the class of Borel subgroups and $\mathcal{P}_S = \{G\}$.) We denote by G the group of F_q -rational points of G and by \mathcal{P}_I the set of parabolic subgroups in \mathcal{P}_I which are defined over F_q . Similarly, if $P \in \mathcal{P}_I$, we denote by P its group of F_q -rational points and by U_P the group of F_q -rational points of its unipotent radical. Let K be an algebraically closed field of characteristic zero. All G -modules will be over K .

Let E be a G -module. For each $I \subset \bar{S}$, we define

$$E_{(I)} = \bigoplus_{P \in \mathcal{P}_I} E^{U_P},$$

where E^{U_P} denotes the set of U_P -invariant vectors of E . We regard $E_{(I)}$ as a

G -module in a natural way. If $I \subset I'$, there is a canonical linear map $\varphi_{I'}^I: E_{(I)} \rightarrow E_{(I')}$. It is defined as follows: if $e \in E^{U_P}$ ($\mathbf{P} \in \mathcal{S}_I$), e can be also regarded as an element of $E^{U_{P'}}$, where $\mathbf{P}' \in \mathcal{S}_{I'}$ is uniquely defined by the condition $\mathbf{P} \subset \mathbf{P}'$. (Note that $U_P \supset U_{P'}$, hence $E^{U_P} \subset E^{U_{P'}}$.) The map $\varphi_{I'}^I$ takes $e \in E^{U_P}$ to e regarded as an element of $E^{U_{P'}}$. It is clear that if $I \subset I' \subset I''$, then

$$\varphi_{I''}^{I'} \varphi_{I'}^I = \varphi_{I''}^I. \tag{1.1}$$

Now let $\tilde{E}_{(I)} = E_{(I)} \otimes A^{|I|}(K^I)$. (We regard $A^{|I|}(K^I)$ as G -module with trivial action.) If $I \subset I'$ and $|I'| = |I| + 1$, we have a natural map $\varepsilon_{I'}^I: A^{|I|}(K^I) \cong A^{|I'|}(K^{I'})$ given by $\omega \rightarrow \omega \wedge f$ where f is the unique element in $I' - I$. We define $\tilde{\varphi}_{I'}^I: \tilde{E}_{(I)} \rightarrow \tilde{E}_{(I')}$ by $\tilde{\varphi}_{I'}^I = \varphi_{I'}^I \otimes \varepsilon_{I'}^I$.

We now consider the sequence of maps of G -modules.

$$0 \rightarrow \tilde{E}_\phi \xrightarrow{d_0} \bigoplus_{|I|=1} \tilde{E}_{(I)} \xrightarrow{d_1} \bigoplus_{|I|=2} \tilde{E}_{(I)} \xrightarrow{d_2} \dots \rightarrow \tilde{E}_{(\bar{S})} \rightarrow 0, \tag{1.2}$$

where the maps d have components $\tilde{\varphi}_{I'}^I$ ($I \subset I'$, $|I'| = |I| + 1$). Using (1.1), we see that (1.2) is a complex.

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We have the following:

THEOREM. *Assume that E is irreducible. Let i_0 be the smallest integer ≥ 0 such that $\bigoplus_{|I|=i_0} \tilde{E}_{(I)} \neq 0$. Then the sequence*

$$\bigoplus_{|I|=i_0} \tilde{E}_{(I)} \xrightarrow{d_{i_0}} \bigoplus_{|I|=i_0+1} \tilde{E}_{(I)} \xrightarrow{d_{i_0+1}} \dots \rightarrow \tilde{E}_{(\bar{S})} \rightarrow 0 \tag{2.1}$$

is exact.

In particular, the homology of the complex (1.2) is concentrated in a single degree.

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We shall prove that the conclusion of Theorem 2 holds in the case where instead of assuming E irreducible we shall assume that:

(3.1) E admits a direct sum decomposition $E = \bigoplus_{\mathbf{P} \in \mathcal{S}_{I_0}} E_{\mathbf{P}}$ (for some $I_0 \subset S$) such that

(a) $gE_P = E_{gPg^{-1}}$ ($\forall g \in G, P \in \mathcal{S}_{I_0}$);

(b) for each $P \in \mathcal{S}_{I_0}$, the P -module E_P factors through P/U_P and is irreducible, cuspidal as a P/U_P module.

This would imply the theorem since any irreducible G -module is a direct summand of a G -module E as in (3.1).

In this and the following section, we assume that E is as in (3.1).

Given $J \subset S$, we define Z_J to be the set of G -orbits σ on $\mathcal{S}_J \times \mathcal{S}_{I_0}$ which have the following property. There exists $P \in \mathcal{S}_{I_0}, Q \in \mathcal{S}_J, (Q, P) \in \sigma$ such that Q contains a Levi subgroup of P .

If $Q \in \mathcal{S}_J$, we have

$$E^{U_Q} = \left(\bigoplus_{P \in \mathcal{S}_{I_0}} E_P \right)^{U_Q} = \left(\bigoplus_{\substack{P \in \mathcal{S}_{I_0} \\ (Q \cap P)U_P = P}} E_P \right)^{U_Q} \oplus \left(\bigoplus_{\substack{P \in \mathcal{S}_{I_0} \\ (Q \cap P)U_P \neq P}} E_P \right)^{U_Q}.$$

The second summand is zero (since E_P is cuspidal for P/U_P , so that $E_P^{U_Q \cap P} = 0$). Thus

$$E_{(J)} = \bigoplus_{Q \in \mathcal{S}_J} E^{U_Q} = \bigoplus_{Q \in \mathcal{S}_J} \left(\bigoplus_{\substack{P \in \mathcal{S}_{I_0} \\ (Q \cap P)U_P = P}} E_P \right)^{U_Q} = \bigoplus_{\sigma \in Z_J} E^\sigma, \tag{3.2}$$

where

$$E^\sigma = \bigoplus_{Q \in \mathcal{S}_J} \left(\bigoplus_{\substack{P \in \mathcal{S}_{I_0} \\ (Q \cap P)U_P = P}} E_P \right)^{U_Q} \quad (\sigma \in Z_J).$$

Now assume that $J \subset J'$ and $\sigma \in Z_J$; we define $\delta'_{J'}(\sigma) = \sigma' = \{(Q', P) \in \mathcal{S}_{J'} \times \mathcal{S}_{I_0} \mid \exists Q \in \mathcal{S}_J, Q \subset Q', (Q, P) \in \sigma\}$. Then $\sigma' \in Z_{J'}$ and we say that σ' is the face of type J' of σ . In this case, we define a linear map $\Psi_\sigma^\sigma: E^\sigma \rightarrow E^{\sigma'}$ as follows. If $e = (e_{Q,P}) \in E^\sigma, e_{Q,P} \in E_P \forall (Q, P) \in \sigma$, we set $\Psi_\sigma^\sigma(e) = (e'_{Q',P}) \in E^{\sigma'}$ where, for any $(Q', P) \in \sigma'$, we have

$$e'_{Q',P} = \sum_{\substack{Q \in \mathcal{S}_J \\ Q \subset Q' \\ (Q,P) \in \sigma}} e_{Q,P} \in E_P.$$

Let us admit the following

LEMMA 3.3. *For any σ, σ' as above, the map $\Psi_\sigma^\sigma: E^\sigma \rightarrow E^{\sigma'}$ is an isomorphism.*

Note also that given $J \subset J' \subset J''$ and $\sigma \in Z_J, \sigma' \in Z_{J'}$, as above we can

define $\sigma'' \in Z_{J''}$ to be the face of type J'' of σ (or of σ') and we have $\Psi_{\sigma''}^{\sigma} = \Psi_{\sigma''}^{\sigma'} \cdot \Psi_{\sigma'}^{\sigma}$.

Using (3.2), the complex (1.2) can be rewritten as

$$\begin{aligned}
 0 \rightarrow \dots \rightarrow 0 \rightarrow \bigoplus_{\substack{|J|=|I_0| \\ \sigma \in Z_J}} E^{\sigma} \otimes A^{|J|}(K^J) \\
 \xrightarrow{d} \bigoplus_{\substack{|J|=|I_0|+1 \\ \sigma \in Z_J}} E^{\sigma} \otimes A^{|J|}(K^J) \xrightarrow{d} \dots, \tag{3.4}
 \end{aligned}$$

where the maps d have components $\Psi_{\sigma'}^{\sigma} \otimes \varepsilon_{J'}$, ($J \subset J'$, $|J'| = |J| + 1$, $\sigma \in Z_J$, $\sigma' \in Z_{J'}$, the face of type J' of σ). Let ρ be the unique element in $Z_{\bar{\sigma}}$. We have $E^{\rho} = E$. Using Lemma 3.3, we may identify E^{σ} with E for any $\sigma \in Z_J$, via the isomorphism $\Psi_{\sigma_1}^{\sigma}$; the complex (3.4) becomes the tensor product of E with the complex

$$0 \rightarrow \dots \rightarrow 0 \rightarrow \bigoplus_{|J|=|I_0|} K^{Z_J} \otimes A^{|J|}(K^J) \rightarrow \bigoplus_{|J|=|I_0|+1} K^{Z_J} \otimes A^{|J|}(K^J) \rightarrow \dots \tag{3.5}$$

whose differential has components $\delta_{J'}^J \otimes \varepsilon_{J'}$, ($J \subset J'$, $|J'| = |J| + 1$). This complex has the following simple interpretation. Let T be an F_q -split torus of maximal possible dimension in the adjoint group G^{ad} . Let Y be the lattice of one-parameter subgroups of T . The root hyperplanes in the real vector space $Y \otimes \mathbb{R}$ give rise to a partition of $Y \otimes \mathbb{R}$ into simplicial cones. These are in a natural 1-1 correspondence with the parabolic subgroups in G which are defined over F_q and whose image in G^{ad} contain T . Let us fix $\mathbf{P}_0 \in \mathcal{S}_0$. It corresponds to a cone in $Y \otimes \mathbb{R}$, which spans a linear subspace $L \subset Y \otimes \mathbb{R}$ of codimension $|I_0|$. The cones contained in L are in 1-1 correspondence with those parabolic subgroups $\mathbf{Q} \subset G$ (defined over F_q) which contain a Levi subgroup of \mathbf{P}_0 and whose image in G^{ad} contain T ; hence they are in 1-1 correspondence with $\bigcup_J Z_J$. Thus $\bigcup_{J \neq \bar{\sigma}} Z_J$ can be regarded as the set of simplices in a triangulation of a unit sphere with centre O in L ; and (3.5) is the (reduced) chain complex of this triangulation. It follows that its homology is concentrated in a single degree (corresponding to $|J| = |I_0|$) where it is $\approx K$.

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It remains to prove Lemma 3.3. We will give the proof in six steps. Parts of the argument are similar in spirit to arguments in [3].

Step 1. Given $\sigma \in Z_J$ and $(\mathbf{Q}, \mathbf{P}) \in \sigma$, we consider the parabolic subgroup $\mathbf{R} = (\mathbf{Q} \cap \mathbf{P}) U_{\mathbf{Q}} \subset \mathbf{Q}$. Then $\mathbf{R} \in \tilde{\sigma} \in Z_{\tilde{J}}$, $\tilde{J} \subset J$ and σ is the face of

type J of $\tilde{\sigma}$. Also \mathbf{R}, \mathbf{P} have a common Levi subgroup and hence are associated. We first show that

$$\tilde{\Psi}_\sigma^\sigma: \bigoplus_{\mathbf{R} \in \mathcal{S}_J} \left(\bigoplus_{\substack{\mathbf{P} \in \mathcal{S}_{I_0} \\ (\mathbf{R}, \mathbf{P}) \in \tilde{\sigma}}} E_P \right)^{U_R} \rightarrow \bigoplus_{\mathbf{Q} \in \mathcal{S}_J} \left(\bigoplus_{\substack{\mathbf{P} \in \mathcal{S}_{I_0} \\ (\mathbf{Q}, \mathbf{P}) \in \sigma}} E_P \right)^{U_Q}$$

is an isomorphism.

Now the pairs (\mathbf{R}, \mathbf{P}) such that $(\mathbf{R}, \mathbf{P}) \in \tilde{\sigma}$ are in 1-1 correspondence with the pairs (\mathbf{Q}, \mathbf{P}) such that $(\mathbf{Q}, \mathbf{P}) \in \sigma$. Hence $\tilde{\Psi}_\sigma^\sigma$ is injective. If we fix $\mathbf{Q} \subset \mathcal{S}_J$, there is a natural 1-1 correspondence between the set $\{\mathbf{R} \in \mathcal{S}_J, \mathbf{R} \subset \mathbf{Q}\}$ and the set of orbits of U_Q on the set $\{\mathbf{P} \in \mathcal{S}_{I_0}, (\mathbf{Q}, \mathbf{P}) \in \sigma\}$. Hence the space E^σ is a direct sum over all pairs $\mathbf{R} \subset \mathbf{Q}$ ($\mathbf{R} \in \mathcal{S}_J, \mathbf{Q} \in \mathcal{S}_J$) of pieces of form $(\bigoplus_{\mathbf{P}} E_P)^{U_Q}$, where \mathbf{P} runs through a fixed U_Q -orbit. But this piece has the same dimension as E_P . (Note that for \mathbf{P} in that U_Q -orbit we have $P \cap U_Q \subset U_P$ and U_P acts trivially on E_P .) Thus $\dim E^\sigma = \dim E_P \cdot \#(\mathcal{S}_J) = \dim E_P \cdot \#(\mathcal{S}_{I_0}) = \dim E$. Similarly, $\dim E^{\tilde{\sigma}} = \dim E$. It follows that $\varphi_\sigma^{\tilde{\sigma}}$ is an isomorphism.

Step 2. Assume that $|I_0| = |\bar{S}| - 1$. Let \mathcal{S}_J be the class of parabolic subgroups opposed to \mathcal{S}_{I_0} , and let $\sigma = \{(\mathbf{Q}, \mathbf{P}) \in \mathcal{S}_J \times \mathcal{S}_{I_0}, \mathbf{Q} \text{ opposed to } \mathbf{P}\}$. We will show that $\varphi_\rho^\sigma: E^\sigma \rightarrow E^\rho = E$ is an isomorphism. It is certainly non-zero. Thus, if E is irreducible, φ_ρ^σ is indeed an isomorphism. If E is reducible, it has exactly two composition factors, and J is necessarily equal to I_0 . For each $\mathbf{P} \in \mathcal{S}_{I_0}$, there exists an isomorphism

$$\eta_P: E_P \cong \left(\bigoplus_{\substack{\mathbf{P}' \\ (\mathbf{P}', \mathbf{P}) \in \sigma}} E_{P'} \right)^{U_P}$$

of P/U_P -modules unique up to a scalar. We may assume that $\eta_{gPg^{-1}} = g\eta_P g^{-1}$ ($\forall \mathbf{P} \in \mathcal{S}_{I_0}, \forall g \in G$). These maps together define an isomorphism of G -modules $\eta = \bigoplus \eta_P$:

$$\bigoplus_{\mathbf{P} \in \mathcal{S}_{I_0}} E_P \rightarrow \bigoplus_{\mathbf{P}} \left(\bigoplus_{\substack{\mathbf{P}' \\ (\mathbf{P}', \mathbf{P}) \in \sigma}} E_{P'} \right)^{U_P}$$

The composition $\varphi_\rho^\sigma \cdot \eta$ is a semisimple G -endomorphism of E . It clearly has trace zero (its diagonal blocks are zero). Let λ', λ'' be its eigenvalues on the two irreducible pieces E', E'' of E . Then $\lambda \dim E' + \mu \dim E'' = 0$. Since $\dim E' \neq 0, \dim E'' \neq 0, \lambda$ and μ are either both zero or both non-zero. They cannot be both zero since $\varphi_\rho^\sigma \eta \neq 0$. Hence they are both non-zero. It follows that $\varphi_\rho^\sigma \eta$ and hence φ_ρ^σ are isomorphisms.

Step 3. Assume that $|I_0| \leq |\bar{S}| - 1$. Let $J \subset S$ be such that $|J| = |I_0| + 1$,

and let $\tau \in Z_J$. There are precisely two elements $\sigma' \in Z_{J'}$, $\sigma'' \in Z_{J''}$ such that $J', J'' \subset J$, $|J'| = |J''| = |I_0|$, and such that τ is the J -face of σ' and the J -face of σ'' . We shall prove that $\varphi_{\tau}^{\sigma'}$ and $\varphi_{\tau}^{\sigma''}$ are isomorphisms. One of these maps, say φ_{τ}^{σ} , is of the type considered in Step 1 ($\sigma = \tilde{\tau}$); hence it is known to be an isomorphism. We now turn to $\varphi_{\tau}^{\sigma''}$. Define

$$E' = \bigoplus_{\mathbf{R}' \in \mathcal{S}_{J'}} E'_{\mathbf{R}'},$$

where, by definition,

$$E'_{\mathbf{R}'} = \left(\bigoplus_{\substack{\mathbf{P} \in \mathcal{S}_{I_0} \\ (\mathbf{R}', \mathbf{P}) \in \sigma'}} E_{\mathbf{P}} \right)^{U_{\mathbf{R}'}}.$$

Then E' is a G -module in a natural way. It satisfies a property similar to property (3.1) for E . Let α be the set of pairs $(\mathbf{R}'', \mathbf{R}') \in \mathcal{S}_{J''} \times \mathcal{S}_{J'}$ such that $\mathbf{R}'', \mathbf{R}'$ are both contained in the same $\mathbf{Q} \subset \mathcal{S}_J$ and are opposed in \mathbf{Q} . We define E'^{α} and $\Psi^{\alpha}: E'^{\alpha} \rightarrow E'$ in the same way as $E^{\sigma''}$ and $\varphi_{\rho}^{\sigma'':} E^{\sigma''} \rightarrow E$. We have a natural commutative diagram

$$\begin{array}{ccc} E'^{\alpha} & \xrightarrow{\Psi^{\alpha}} & E' (= E^{\sigma'}) \\ \downarrow \mathcal{I} & & \downarrow \varphi_{\tau}^{\sigma'} \\ E^{\sigma''} & \xrightarrow{\varphi_{\tau}^{\sigma''}} & E^{\tau} \end{array}$$

(Note that, given $(\mathbf{R}'', \mathbf{P}) \in \sigma''$ there is a unique $\mathbf{R}' \in \mathcal{S}_{J'}$ such that $(\mathbf{R}'', \mathbf{R}') \in \alpha$, $(\mathbf{R}', \mathbf{P}) \in \sigma'$; conversely, if $(\mathbf{R}'', \mathbf{R}') \in \alpha$, $(\mathbf{R}', \mathbf{P}) \in \sigma'$ then $(\mathbf{R}'', \mathbf{P}) \in \sigma''$. This gives rise to the isomorphism $E'^{\alpha} \cong E^{\sigma''}$.) Now $\varphi_{\tau}^{\sigma'}$ is known to be an isomorphism; moreover, by Step 2, Ψ^{α} is an isomorphism. It follows that $\varphi_{\tau}^{\sigma''}$ is an isomorphism.

Step 4. Let $\sigma \in Z_J$, $|J| = |I_0|$. (Thus σ corresponds to an open cone in L .) We show that $\varphi_{\rho}^{\sigma}: E^{\sigma} \rightarrow E$ is an isomorphism. We can find a sequence $\sigma = \sigma^1, \sigma^2, \dots, \sigma^n = \rho$ of elements corresponding to open cones in L such that (when regarded as cones in L) two consecutive ones have a common face of codimension 1; moreover, we take n as small as possible. Let τ be the element corresponding to the common face of codimension 1 of $\sigma = \sigma^1$ and σ^2 . By induction on n , we may assume that $\varphi_{\rho}^{\sigma^n}$ is an isomorphism. From Step 3, we know that φ_{τ}^{σ} and $\varphi_{\tau}^{\sigma^2}$ are isomorphisms. From $\varphi_{\rho}^{\sigma^n} = \varphi_{\rho}^{\tau} \varphi_{\tau}^{\sigma^n}$, it follows that φ_{ρ}^{τ} is an isomorphism. From $\varphi_{\rho}^{\sigma} = \varphi_{\rho}^{\tau} \varphi_{\tau}^{\sigma}$ it follows that φ_{ρ}^{σ} is an isomorphism.

Step 5. Let $J \subset \bar{S}$ and $\sigma \in Z_J$. We to show that φ_{ρ}^{σ} is an isomorphism. We can find $\tilde{J} \subset J$ and $\tilde{\sigma} \in Z_{\tilde{J}}$ such that $|\tilde{J}| = |I_0|$, such that σ is the face of type J of $\tilde{\sigma}$, and such that $\varphi_{\rho}^{\tilde{\sigma}}$ is of the type considered in Step 1 (hence an

isomorphism). We have $\varphi_\rho^\sigma \varphi_\sigma^\delta = \varphi_\rho^\delta$. By Step 4, φ_ρ^δ is an isomorphism. It follows that φ_ρ^σ is an isomorphism.

Step 6. Let $J \subset J'$ and $\sigma \in Z_J$; let $\sigma' \in Z_{J'}$ be the face of type J' of σ . We want to show that $\varphi_{\sigma'}^\sigma$ is an isomorphism. We have $\varphi_\rho^{\sigma'} \varphi_{\sigma'}^\sigma = \varphi_\rho^\sigma$. By Step 5, φ_ρ^σ and $\varphi_\rho^{\sigma'}$ are isomorphisms. It follows that $\varphi_{\sigma'}^\sigma$ is an isomorphism. This completes the proof of the Lemma 3.3 and hence that of the theorem.

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We have

COROLLARY (see Alvis [1]). *In the setting of the theorem, let $E^\#$ denote the kernel of d_{i_0} in (2.1). Then*

- (a) $E^\#$ is an irreducible G -module,
- (b) $(E^\#)^\#$ is isomorphic to E as a G -module,
- (c) $(-1)^{i_0} E^\# = \sum_{I \subset \bar{S}} (-1)^{|I|} E_{(I)}$

in the Grothendieck group of virtual G -modules.

Statement (c) is obvious.

Let E' be a G -module of the type considered in (3.1) which has a direct sum decomposition $E' = E_1 \oplus \dots \oplus E_n$ with E_i irreducible G -modules and $E_1 \approx E$. The proof of Theorem 2 shows that $(E')^\#$ can be defined in the same way as $E^\#$, and that it has the following properties:

$$E'^\# \approx E_1^\# \oplus \dots \oplus E_n^\#, \quad E'^\# \approx E'. \tag{5.1}$$

For each $1 \leq i, j \leq n$, we have from (c)

$$\langle E_i, E_j^\# \rangle = \langle E_i^\#, E_j \rangle = \sum_{I \subset \bar{S}} (-1)^{|I| + i_0} \langle E_i^{U_{P_I}}, E_j^{U_{P_I}} \rangle_{P_I} \tag{5.2}$$

(where $P_I \in \mathcal{P}_I$). It follows that

$$\langle E_i^\#, E' \rangle = \sum_j \langle E_i^\#, E_j \rangle = \sum_j \langle E_i, E_j^\# \rangle = \langle E_i, E'^\# \rangle = \langle E_i, E' \rangle$$

and, in particular, $E_i^\# \neq 0$. If $E_i^\#$ is not irreducible for some i , then $E_1^\# \oplus \dots \oplus E_n^\#$ would have more irreducible components than $E_1 \oplus \dots \oplus E_n$, contradicting (5.1). Thus, $E_i^\#$ is irreducible for each i . Hence, there exists a permutation π of $\{1, 2, \dots, n\}$ such that $E_i^\# = E_{\pi(i)}$ for all i . From (5.2), we have

$$\langle E_{\pi^2(i)}, E_i \rangle = \langle (E_i^\#)^\#, E_1 \rangle = \langle E_i^\#, E_i^\# \rangle = \langle E_{\pi(i)}, E_{\pi(i)} \rangle = 1.$$

It follows that $E_{\pi^2(i)}$ is isomorphic to E_i . The corollary is proved.

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